### FY 2005 Grant Programs

## Office of Healthy Homes and Lead Hazard Control

### U.S. Department of Housing and Urban Development

**April 6, 2005** 

### Agenda

- Introduction
- Office's Grant Programs
  - Lead-Based Paint Hazard Control
  - Lead Hazard Reduction Demonstration
  - Operation Lead Elimination Action Program
  - Lead Outreach
  - Lead Homes Technical Studies
  - Healthy Homes Technical Studies
  - Healthy Homes Demonstration Program
- Questions

### Office of Healthy Homes and Lead Hazard Control's Mission

- Eliminate childhood lead poisoning
- Address other children's diseases caused by substandard housing conditions, e.g.:
  - Asthma (Allergens)
  - Mold and Moisture
  - Unintentional Injuries
  - Radon
  - Asbestos
  - Pesticides

#### FY 2005 Grant Programs

Seven Grant Programs

Approximately \$163 Million Available

# FY 2005 Grant Program Funding

- Lead-Based Paint Hazard Control: \$93.6 M
- Lead Hazard Reduction Demonstration: \$49.4 M
- Operation Lead Elimination Action Program (LEAP): \$8 M
- Lead Outreach: \$2 M
- Lead Technical Studies: \$3 M
- Healthy Homes Technical Studies: \$2 M
- Healthy Homes Demonstration: \$5 M

# Administrative Information For All Applicants

- See General Section for application info
- Download application: www.grants.gov
- Attachments at: www.hud.gov
- NOFA information:
  - Grants.gov: 800-518-GRANTS support@grants.gov
  - SuperNOFA help desk: 800-HUD-8929
  - Hearing impaired: HUD 800-HUD-2209
     or Fed Info Relay Svc 800-877-8339

## Application Submittal Information

For 2005 HUD is accepting electronic applications through Grants.gov

- See the General Section for specific instructions for:
  - Application submission procedure
  - -Timely filing

#### FY 2005 Due Dates: Received by Grants.gov by 11:59:59 PM

- Lead-Based Paint Hazard Control: June 7
- Lead Technical Studies: June 8
- Healthy Homes Technical Studies: June 8
- Operation LEAP: June 9
- Lead Outreach Grant Program: June 14
- Lead Hazard Reduction Demonstration:

June 14

Healthy Homes Demonstration Program:
 June 15

#### FY 2005 CFDA Numbers

 Catalog of Federal Domestic Assistance numbers – used in applying

Lead-Based Paint Hazard Control: 14.900

Healthy Homes Demonstration Program:

14.901

Lead Technical Studies: 14.902

Operation LEAP: 14.903

Lead Outreach Grant Program: 14.904

Lead Hazard Reduction Demonstration:

14.905

Healthy Homes Technical Studies: 14.906

### Highlights for All Programs

- High emphasis on performance
  - Work plans with benchmark milestones
- Minimum score of 75 needed for funding Consideration

#### **Tips For Successful Applications**

- READ, READ understand the NOFA requirements
- Review other related material, e.g., Title X,
   OHHLHC website (www.hud.gov/offices/lead), etc.
- Contact previous successful applicants
- Respond to the <u>specific</u> rating factor criteria and requirements in order of NOFA appearance
- Prepare application according to the instructions: use checklist and submission table of contents for completeness check; address every item
- Write applications assuming that objective reviewers do not know your program

### LEAD-BASED PAINT HAZARD CONTROL AND LEAD HAZARD REDUCTION DEMONSTRATION GRANT PROGRAMS

# Purpose of LBPHC & LHRD Programs:

- Assist States, Native American Tribes and local governments in undertaking comprehensive programs:
  - To identify and control lead-based paint hazards in eligible privately owned housing for rental or owner-occupants
  - In partnership with grassroots community based, non-profit organizations, including faith based organizations

# Approach of LBPHC & LHRD Programs

- Protect young children
- Stimulate cost-effective state and local lead strategies (lead-safe, affordable units)
- Build local capacity
- Promote job training and employment for local residents (lead abatement and lead-safe work practices)
- Integrate lead-safe work practices into housing maintenance, repair, weatherization, rehabilitation, and other programs that will continue after the grant period ends

## LBP Hazard Control Grant Program Overview

- Focus is hazard reduction not complete lead-based paint abatement
  - Lead-safe housing
- Perform lead hazard control in housing:
  - Low-income
  - Privately owned
  - Owner-occupied or Rental

# LBP Hazard Control Grant Program Overview (1)

- Lead hazard control work conducted by qualified persons (24 CFR Part 35)
  - Certification for LBP inspectors, risk assessors, abatement contractors, abatement workers
  - Lead-safe work practices training for interim controls – training meets HUD criteria (www.hud.gov/offices/lead)
- Use lead hazard evaluation and control approaches in Lead Safe Housing Rule

# LBP Hazard Control Grant Program Overview (2)

- 36 month period of performance
- A combined risk assessment and paint inspection is required
- All identified lead hazards are to be controlled or eliminated
- Clearance exam after all but the smallest lead hazard control work (e.g., 2 sq. ft. in a room) is required

### Funding: LBP Hazard Control

- General:
  - About \$1 M to maximum \$3 M
  - About 32 40 grants
  - Funding minimum \$63.6 million
- Competitive performance-based renewals of existing grants (described later):
  - About \$2 M to maximum \$4 M
  - About 6 8 grants
  - Funding maximum \$32.7 million
- Total LBPHC funding about \$96.3

### Funding: Lead Demonstration

- Larger cities with:
  - At least 7,000 units of pre-1940 rental housing
  - Many children under 6 years old with elevated blood lead levels
- Minimum \$2 M to maximum \$4 M
- About 13 25 grants
- Total about \$49.4 M

# Major Grant Program Tasks and Eligible Activities

- Program management
- Testing (lead risk assessments, paint inspections, blood lead testing, clearance)
- Direct LHC interventions
- Temporary relocation
- Education, outreach, training
- Program evaluation
- Other support activities

## Budgets to Reflect Program Requirements

- 10% minimum match required LBPHC
- 25% minimum match required LHRD
- Sources of funds can include:
  - CDBG funds
  - Other non-federal funds
  - Other leveraged or contributed resources above statutory minimum

#### Develop Budgets Reflecting Program Requirements

- LBPHC Minimum of 65% of federal funds for lead hazard control work
  - Dust testing, LBP inspection and risk assessment, relocation, lead hazard control work, and clearance testing
- LHRD Minimum of 90% of federal funds for lead hazard control work
- Maximum of 10% of grant funds for administrative costs

## Program Requirements: LBPHC and LHRD

- Use of qualified lead hazard control workers (with training and, when required, certification)
- Involvement with grassroots community based, non-profit organizations, including faith based organizations, as partners
- Demonstrate potential for program to become self-sustaining

### **LHC** Rating Factors

1.	Organizational Capacity	20	
2.	Need/Extent of the		
	Problem	20	
3.	Approach	40	
4.	Leveraging Resources	10	
5.	Achieving Results and		
	Program Evaluation	10	
	<b>RC/EC/EZ Bonus Points</b>	<u>2</u>	
	Total	102	
75 minimum for funding consideration			

### **LHRD** Rating Factors

1.	Organizational Capacity	20	
2.	Need/Extent of the		
	Problem	15	
3.	Approach	45	
4.	Leveraging Resources	10	
5.	Achieving Results and		
	Program Evaluation	10	
	<b>RC/EC/EZ Bonus Points</b>	<u>2</u>	
	Total	102	
75 minimum for funding consideration			

## Rating Factor 1: Organizational Capacity

- Is lead hazard control a priority?
  - Organization and community commitment
- Do you have prior LHC or related experience?
  - Rehab programs, weatherization, etc.
- How will the program be managed?
  - Key personnel experience/expertise
- Adequate certified/trained contractors available?
- Who are the key partners?
  - Health, housing, weatherization, community-based organizations, etc.

### Rating Factor 2: Need/Extent of Problem

- No. of children with elevated blood lead levels (threshold req't. for Lead Demo)
- No. of eligible pre-1978 privately owned housing units
- No. of pre-1940 occupied rental housing units – (threshold req't. for Lead Demo)
- Income eligible families (50% and 80% of area median income)
  - -Owner occupied, investor owners/tenants

### Rating Factor 3: Soundness of Approach (1)

- Work plan development follow guidance provided in NOFA
- Who, what, where, when, why & how
- Develop specific, measurable, realistic objectives and benchmark milestones

### Rating Factor 3: Soundness of Approach (2)

#### Describe implementation strategy:

- Management of program
- Trained and, when required, certified LBP contractor capacity
- Unit selection, prioritization, enrollment
- # units and LHC interventions (interim control, abatement of lead hazards)
- Financing mechanism for LHC work (grants, loans, contracts, etc.)

### Rating Factor 4: Leveraging Resources\*

- Documented commitment of funds <u>directly</u> supporting project activities (contract, letter of commitment, MOU, MOA, etc.)
- Sources such as CDBG, HOME, weatherization, Centers for Disease Control and Prevention (CDC), other public and private resources
- \* Requirement: Receives points only for leveraging above statutory minimum

### Rating Factor: Achieving Results and Program Evaluation

- Emphasizes HUD's commitment to ensuring that applicants:
  - Achieve the goals outlined in their work plan and other benchmark standards
  - Assess their performance to ensure performance goals are met

### Rating Factor 5 – Identify and describe:

- Specific methods, measures, and tools to measure progress, evaluate program effectiveness, & identify program changes necessary to improve performance
- How you will obtain, document and report the information
- How you will be held accountable for meeting program goals, objectives, and the actions undertaken in implementing the grant program

### LHC Competitive Performance-Based Renewal – (1)

- Eligibility: Current lead-based paint hazard control grantees with grants ending:
  - On or before December 31, 2005 are eligible
  - After December 31, 2005 are not eligible
- A statutory minimum of 10 percent match is required for the renewal, as it was for the original grant

### LHC Competitive Performance-Based Renewal (2)

- Organizational capacity to implement proposed activities in timely manner
- Project director and day-to-day manager:
  - Knowledge & experience planning or managing large complex interdisciplinary programs
  - Especially housing rehab, public health, or environmental programs
  - Dedicated to project ≥ 75% full time

### LHC Competitive Performance-Based Renewal (3)

- How any other components of applicant agency, other public entities, or other organizations will help implement or otherwise support the program
- Progress and performance implementing most recent grant award, including no. of housing units enrolled, assessed, completed and cleared; and funds spent

#### LHC Competitive Performance-Based Renewal (4)

- Specific instances where the program has had positive impacts in the community
- Activities that developed, enhanced or expanded the local infrastructure through collaboration

#### Changes from 2004

- Streamlined criteria for Competitive Performance-Based Renewal applications
- Participation in state/local childhood lead poisoning elimination programs under CDC lead grants emphasized
- Partnering in childhood lead poisoning prevention effort emphasized
- Performance period extension criteria clarified
- Appendices on HUD web site

### Operation Lead Elimination Action Program (LEAP)

#### **Operation LEAP**

 The purpose of the Operation **Lead Elimination Action Program** (LEAP) is to leverage private sector resources to eliminate lead poisoning as a major public health threat to young children by performing lead hazard control work

#### **Operation LEAP Funding:**

- Maximum \$2 M
- About 4 6 grants
- Total funding about \$8 M

#### Eligible LEAP Applicants

- Tax-exempt 501(c)(3) and other nonprofit organizations, including national and local parent groups
- For-profit entities or firms (no fee)
- Colleges and universities as nonprofits

Not eligible – Federal govt., states and units of general local governments

#### Eligible Activities

- Fundraising and outreach activities resulting in increased lead hazard control work
- Innovative approaches for mobilizing resources and coordinating activities for lead hazard control work
- Direct lead hazard control work

## Operation LEAP Rating Factors

1.	Organizational Capacity	20	
2.	Need/Extent of the		
	Problem	10	
3.	Approach	40	
4.	Leveraging Resources	20	
5.	Achieving Results and		
	Program Evaluation	10	
	<b>RC/EC/EZ Bonus Points</b>	<u>2</u>	
Total		102	
75 minimum for funding consideration			

### Rating Factor 1: Organizational Capacity

- Project manager's knowledge and experience
- Staff knowledge and expertise in raising, leveraging and managing funds
- Prior experience or how applicant will develop necessary capacity
- Ability to manage grants and leverage program funds and activities
- Capacity to remove barriers to affordable housing

### Rating Factor 2: Documented Need

- Need for the proposed program
- Score based on credible and appropriate data and information
- Document the number of children with elevated blood lead levels in the proposed target area (e.g., health dept. data)
- Document the number of pre-1940 occupied rental units in proposed target area (e.g., Census data)

#### Rating Factor 3: Soundness of Approach

- Approach and strategy to meet program goals and objectives in 36 months
- Work plan must contain specific measurable time-phased objectives for each major program activity
- Selection process for those organizations that are to conduct, coordinate work activities for lead hazard control, outreach, and program evaluation

#### Rating Factor 4: Leveraging Resources

- Ability to obtain and use private sector resources or leverage private sector activities with HUD and other resources
- Contributions will be counted only if:
  - Clearly stated monetary value shown
  - Supporting documentation signed by official authorized to make the commitment
- Recent past experience that demonstrates ability to leverage private sector resources

### Rating Factor 5: Achieving Results and Program Evaluation Logic Model

- How program will achieve work plan goals and benchmark milestones
- Outcome benefits expected as a direct result of program activities, e.g.:
  - LHC with other housing activities (e.g., rehab, weatherization, code correction)
  - Private / public lending partnerships
  - Lead-safe housing registry
  - Adopting / improving lead laws, regs, policies, agencies

### LEAP Grant Program Changes from FY 2004

- Participation in state/local childhood lead poisoning elimination programs under CDC lead grants emphasized
- Partnering by local agencies critical to childhood lead poisoning prevention effort emphasized
- Circumstances for extending performance period clarified
- Appendices are on HUD web site

# Lead Outreach Grant Program

#### Outreach: Overall Purpose

- Increase the number of units in lead hazard control treatment programs
- Encourage occupants to identify potential paint hazards
- Raise awareness of lead poisoning prevention and treatment
- <u>Example:</u> Outreach by a local government that increases enrollment rate in lead hazard control program

#### **Funding**

- \$2 Million available for grants
- Between 4 and 10 grants
- Each grant about \$200,000 to \$500,000

#### Outreach: Eligible Applicants

- Grassroots community based, non-profit organizations, including faith based organizations
- Federally-recognized Native American tribes
- States, and units of general local government

#### Partnerships Encouraged With:

- Non-profit organizations
- Grass-roots organizations
  - Community-based organizations
  - Faith-based organizations
  - Groups of parents of lead-poisoned children
- Corporations and other entities

Note for all partnerships: Application must be made by single entity

### Measuring Success of Outreach Activities

- Demonstrate that outreach activities result in measurable:
  - Increased lead awareness
  - Increased numbers of units recruited and treated for lead hazards
- Documenting work and the impacts of outreach grant activities

### Lead Hazard Control Treatment Programs

- A program performing rehab of pre-1978 housing in accordance with HUD's Lead Safe Housing Rule (24 CFR Part 35), for example:
  - CDBG or HOME Grant
  - Similar program of another Agency
    - E.g., Department of Agriculture's Rural Housing Service
  - HUD's Lead Hazard Control Grant Program

#### **Lead Outreach Rating Factors**

Applicant Capacity/Experience	20	
Need/Extent of the problem	15	
Soundness of Approach	40	
Leveraging Resources	10	
Achieving Results and Program		
Evaluation	15	
RC/EC/EZ Bonus Points	<u>2</u>	
Total	102	
75 minimum for funding consideration		

## Rating Factor 1: Capacity and Experience

- Knowledge and experience of project director and day-to-day project manager in planning and managing large and complex interdisciplinary outreach programs
- How principal components of organization will participate in or support project
- Past performance in previous projects with an emphasis on health education, outreach and recruitment

### Rating Factor 2: Need/Extent of Problem

- Document critical need for proposed outreach activities in target area
- Need for outreach to increase enrollment in target area and target population (vs. larger area or general population)
  - Economic and demographic data
  - Rates of childhood lead poisoning
  - Percent of low and very low income families
  - Presence of existing outreach and educational resources in your target area(s)

#### Soundness of Approach (1)

- Overall: methods, schedules, milestones
- Methods of community education
- No. of low-income housing units to enroll in lead hazard control treatment
- How you will identify and track participants' enrollment
- Measurement tools to evaluate outreach effectiveness

#### Rating Factor 3: Soundness of Approach (2)

- Proposed involvement of grassroots organizations, including faith-based and other community-based non-profit organizations
- Approach for Implementing the project
  - Baseline plan for project management
- Budget justification

#### Rating Factor 4: Leveraging Resources

- Develop partnerships or consortia to secure additional resources
- Leveraged resources: funding or in-kind
- Document contributions by letter of commitment with dollar amount specified
- Sources: state, Tribal, local governmental entities; public or private organizations, or other partners
- Demonstrate potential to become selfsufficient

### Rating Factor 5: Achieving Results and Program Evaluation

- Demonstrate that outreach activities result in measurable:
  - Increased lead awareness
  - Increased numbers of units recruited and treated for lead hazards
- Documenting work and the impacts of outreach grant activities
- Inclusion of Logic Model

### Lead Outreach Grant Program Changes from FY 2004

- Advocacy groups for children's health, minority and ethnic groups and persons with disabilities can also be sub-grantees or sub-contractors
- Performance period extension criteria clarified
- Appendices are on HUD web site

### Additional Key Items for Outreach Applicants

- 90% for lead outreach work
- 10% cap on administrative costs:
  - Overall management of grant
  - Cost of passing on funding to subgrantees
- No match required
- 24-month period of performance
- Address all rating factors carefully and completely

# Healthy Homes Initiative

# Purpose of Healthy Homes Initiative Grant Program

- Develop protocols for assessing and reducing housing-based health and safety hazards
- Evaluate the effectiveness of interventions
- Build local capacity to sustain Healthy Homes programs
- Educate the public about hazards in the home

#### Priority Hazards/Concerns

- Mold and Moisture Control
- Allergens / asthma
   Insects / rodents
- Asbestos
- Combustion products
- Lead
- Pesticides

- Radon
- "Take home" and Work-at-home hazards
- Unintentional injuries
- Fire

# Healthy Homes Initiative Program - FY 2005

#### **Two Healthy Homes NOFAs:**

- Healthy Homes Technical Studies
- Healthy Homes Demonstration

### Healthy Homes **Technical Studies** Lead Technical Studies

Discussed together because of similarities

# Healthy Homes Technical Studies and Lead Technical Studies

- Purposes of Programs
  - Improving methods for detecting and controlling lead and other residential environmental health & safety hazards
  - Improving the efficacy and costeffectiveness of lead and other healthy homes interventions or treatments

#### Eligible Applicants

- Academic and nonprofit institutions
- State, local, and Tribal governments
- For-profit organizations (no fee)
- Lead Technical Studies NOFA has a set-aside for Historically Black Colleges and Universities

### Healthy Homes Technical Studies Funds:

- \$2 million available for FY 2005
- About 3 to 6 grants or cooperative agreements
- Each about \$200,000 to \$1 million

# Healthy Homes Technical Studies Topics

- Techniques to improve residential indoor air quality
- Improving or assessing the efficacy of Integrated Pest Management (IPM) methods
- Evaluating the effectiveness of education and outreach methods
- Controlling excess moisture and dust
- Other relevant research

### Lead Technical Studies Funds:

- \$3 million available for FY 2005
- About 3 to 8 grants or cooperative agreements
- Each about \$200,000 to \$1 Million

# Lead Technical Studies Grant Categories

- \$2 million for all applicants
- \$1 million set-aside for Historically Black Colleges and Universities (HBCUs)

### Lead Technical Studies Topics of Interest

- Evaluating novel cleaning techniques
- Reducing exterior soil and dust-lead hazards
- Potential exposure from sanding of lead-containing floor varnish
- Assessing the effectiveness of ongoing maintenance programs in controlling LBP hazards

### Lead Technical Studies Topics of Interest (cont.)

- Use of available databases to improve efficacy of LHC activities
- Other relevant research

### Technical Studies NOFAs Rating Factors

<b>Applicant Capacity/Experience</b>	22
Need/Extent of the problem	15
Soundness of Approach	45
Leveraging Resources	8
<b>Achieving Results and Program</b>	
<b>Evaluation:</b>	10
RC/EC/EZ Bonus Points	_2
Total	102

75 minimum for funding consideration

### Rating Factor 1: Capacity and Experience

- Quals./exper. of principal investigator/key personnel managing complex research
- Academic background, relevant publications, recent research
- Past performance of team in managing complex studies, e.g., logistics, data management, quality control, community study involvement (if applicable)
- Overall success in project completion on time and within budget

### Rating Factor 2: Need/Extent of Problem

- Concise review of research need to be studied, and why it is high priority
- How proposed project would significantly advance state of knowledge, especially re: development of practical solutions
- How study findings can be used to improve current methods for assessing or mitigating the hazard study addresses (e.g., low cost, easily replicated, lack of existing options)

#### Rating Factor 3: Soundness of Approach (1)

- Soundness of the study design:
  - Thorough and feasible, and reflect relevant scientific literature.
  - How study builds upon current state of knowledge
  - Address clearly stated testable hypotheses
  - Design should be statistically based with adequate power to test your stated hypotheses

#### Rating Factor 3: Soundness of Approach (2)

- Design should be a logical sequence of steps or phases
- Quality assurance integrated into design:
  - Acceptance criteria, sample site / sampling / sample handling procedures
  - Measurement and analysis procedures
  - Pre-testing and validation of questionnaires or surveys
- Address Institutional Review Board (IRB) approval for human subjects research

### Rating Factor 4: Leveraging Resources

- Match not required
- Points for demonstrating increased grant funding effectiveness by:
  - Securing other resources; or
  - Structuring project cost-effectively, such as by integrating the project into an existing study
- Document contributions (financial or inkind) supported by a letter of commitment with dollar amount specified

### Rating Factor 5:Achieving Results and Program Evaluation

- Performance goals and outcome measures
- Critical milestones and method for assuring accountability
- Inclusion of Logic Model

#### **Period of Performance**

- 36 months maximum
- We encourage projects with shorter duration, e.g., 24 months (but be realistic to avoid running out of time)

#### Key Items for all TS Applicants

- Link with overall goals and objectives of program NOFA
- Address a high priority lead or healthy homes issue
- Demonstrate how study builds upon existing knowledge
- Address ALL factors in a clear and organized manner

#### Key Items for all TS Applicants

- Determine if Institutional Review
   Board approval is needed (for human subjects research) and plan accordingly to prevent delays
- Be realistic with recruitment goals plan to exceed your target number

#### Technical Studies Programs: Changes from FY 2004

- The list of priority research topics is updated
- Circumstances for extending performance period clarified
- Appendices are on HUD web site

# Healthy Homes Demonstration Program

# Healthy Homes Demonstration Program

#### Demonstration Activities

- Develop and demonstrate cost-effective intervention strategies to identify and control multiple home safety and health hazards
- Evaluate program effectiveness
- Build local capacity for sustainable Healthy Homes programs

# Healthy Homes Demonstration Program

#### **Education Activities**

- Target specific high-risk communities and audiences, such as pregnant women and children
- Implement media strategies to increase public awareness of housing-related hazards
- Disseminate tools to inform parents and caregivers so prompt, corrective action can be taken

# FY 2005 Healthy Homes Demonstration Program

- Funding: \$5 million
- Grants or cooperative agreements of approximately \$250,000 to \$1 million
- 4 to 6 grants
- Period of performance maximum 36 months

#### Eligible Applicants

- Non-profit institutions
- States, local, and Tribal governments
- For-profit organizations (no fee)

#### **Eligible Activities**

- Hazard assessment and remediation
- Method development/evaluation
- Training
- Community education
- Applied research on residential hazard evaluation and control
- Education/Outreach

### Rating Factors

<b>Applicant Capacity/Experience</b>	20
Need/Extent of the problem	15
Soundness of Approach	40
Leveraging Resources	10
<b>Achieving Results and Program</b>	
Evaluation	15
RC/EC/EZ Bonus Points	2
Total	102
minimum for funding consideration	

### Rating Factor 1: Capacity and Experience

- Capacity/qualifications/time commitment of principal investigator/key personnel to manage complex programs
- Coordinate with qualified partners to implement environmental health or housing projects
- Past performance in another healthy homes or lead hazard control grant or similar grants related to environmental health and safety issues

### Rating Factor 2: Need/Extent of Problem

- Document critical need in target area
  - Economic and demographic data
  - Rates of childhood illness
  - Age and condition of housing
  - Percent of low and very low income families
- Data relevant and/or contained in Consolidated Plan

#### Rating Factor 3: Soundness of Approach (1)

- Technical approach: methods, schedules, milestones
- Project activities: select targeted housing, integrate safe work practices
- Potential impediments to recruitment and retention; strategies to overcome them
- Assessment tools, specialized testing, cost-effective interventions
- Referrals and case management

### Rating Factor 3: Soundness of Approach (2)

- Financing strategies, affirmation of fair housing
- Training and education of staff, parents, grassroots community-based non-profit organizations, including faith based organizations
- Include HUD's departmental priorities
- Define project goals and objectives
- Provide economic opportunity

### Rating Factor 4: Leveraging Resources

- Develop partnerships or consortia to secure additional resources
- Document contributions (financial or inkind) supported by a letter of commitment with dollar amount specified
- Coordinate with other housing-related activities/organizations
- Demonstrate potential for program to become self-sustaining

### Rating Factor 5: Achieving Results and Program Evaluation

- Performance goals and outcome measures
- Critical milestones and method for assuring accountability
- Inclusion of Logic Model

#### **Key Items for Applicants**

- Create project flow chart and complete Logic Model early in process
- Have realistic goals and outcome measures (e.g., number of enrollees)
- Involve the community in a meaningful way
- Plan on cost-effective intervention measures

### Key Items for Applicants

- Use appropriate assessment methods and include quality assurance / quality control (QA/QC) measures if conducting sampling
- Include Institutional Review Board approval process if conducting research involving human subjects
- Include meaningful community involvement

### Healthy Homes Demonstration: Changes from FY 2004

- Updated policy priorities
- Circumstances for extending performance period clarified
- The appendices are on HUD web site; application checklist is embedded in the NOFA

#### **Summary information**

- Key items for applicants
- Administrative information
- Application submittal
- Contact information

### Key Items for Applicants

- Read NOFA carefully
- Use "Checklist and Submission Table of Contents" for program NOFA to ensure that complete application is submitted
- Develop a sound plan for implementing the project
- Identify potential collaborators and secure commitments by authorized persons
- Address all elements of all rating factors

#### **Tips For Successful Applications**

- READ, READ understand the NOFA requirements
- Review other related material, e.g., Title X,
   OHHLHC website (www.hud.gov/offices/lead), etc.
- Contact previous successful applicants
- Respond to the <u>specific</u> rating factor criteria and requirements in order of NOFA appearance
- Prepare application according to the instructions: use checklist and submission table of contents for completeness check; address every item
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## Application Submittal Information

- For 2005 HUD is accepting electronic applications through Grants.gov
- See the General Section for specific instructions for:
  - Application submission procedure
  - -Timely filing

## **Application Submission (1)**

- Applicants must register at Grants.gov to get an authenticated electronic signature
  - You should register immediately, to complete the several registration steps
  - Signing up for notification of funding opportunities is not the registration process to receive electronic signature authentication

### **Application Submission (2)**

- Your application must be received by Grants.gov by 11:59:59 PM on the submission date.
- HUD recommends you submit your application while the Grants.gov support desk is open:
  - 7:00 AM 9:00 PM, Mon Fri, except Federal holidays
  - 800-518-GRANTS support@grants.gov

### **Application Submission (3)**

- Uploading:
  - Wait for the computer to process upload and complete transmission
  - Grants.gov will send a successfullyreceived message or an error message
  - Transmission of a large application, or one submitted near the deadline, will take some time

You should print out and save the receipt

### **Application Submission (4)**

- If you want to submit by paper, you must receive a waiver of the electronic submission requirement in advance using the instructions in General Section
- Waivers are granted only for good cause, and are not automatic

### **Application Submission (5)**

- If the electronic submission requirement waiver is approved:
  - Submit the original and the required number of application copies to the location specified in the NOFA by submission date
  - Take the submission to a Post Office
  - Get a proof-of-mailing receipt (see General Section)

### **Application Submission (6)**

- Cautions on what NOT to do:
  - Do not drop the application in a mailbox
    - Many post offices don't deliver large packages put in a mail box, even if it has correct postage
  - Do not use delivery or courier services or attempt to make hand deliveries
    - They will not be accepted because of security concerns

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- Healthy Homes Technical Studies: June 8
- Operation LEAP: June 9
- Lead Outreach Grant Program: June 14
- Lead Hazard Reduction Demonstration:

June 14

 Healthy Homes Demonstration Program: June 15

#### FY 2005 CFDA Numbers

- Catalog of Federal Domestic Assistance numbers – used in applying
- Lead-Based Paint Hazard Control: 14.900
- Healthy Homes Demonstration Program:

14.901

- Lead Technical Studies: 14.902
- Operation LEAP: 14.903
- Lead Outreach Grant Program: 14.904
- Lead Hazard Reduction Demonstration:

14.905

Healthy Homes Technical Studies: 14.906

#### **Contact Information (1)**

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