ROSS PROGRAM

- **2005 Grant Categories**
  - **Resident Service Delivery Models (RSDM)-Family**
  - **RSDM-Elderly/Persons with Disabilities**
  - **Homeownership Supportive Services (HSS)**

PROGRAM PURPOSE

– <u>RSDM-Family</u>: This program provides funding to create programs which will help Public and Indian Housing residents achieve economic self-sufficiency.

PROGRAM PURPOSE

– <u>RSDM-Elderly</u>: This program provides funding to help grantees coordinate supportive services that will help elderly and/or disabled Public and Indian Housing residents continue to live independently.

PROGRAM PURPOSE

- <u>HSS</u>: This category provides funds for homeownership training, counseling, and supportive services for residents of Public and Indian Housing who are participating or have participated in self-sufficiency programs.

FUNDING AVAILABLE

- **RSDM-Family: $22.95 million**
- **RSDM-Elderly: $16.27 million**
- **HSS: $18.9 million**

ELIGIBILE APPLICANTS

- **<u>RSDM-Family and Elderly/ Disabled</u>:**
  - PHAs
  - Tribes/TDHEs
  - RAs
  - Nonprofits, including community-based, grass-roots, and faith-based organizations
  - Local civic organizations or units of local government

ELIGIBILE APPLICANTS

- **RSDM-Family and Elderly/ Disabled**:

  IMPORTANT NOTE:

  PHAs that are recipients of the Elderly/Disabled renewal Service Coordinator grant (now moved to Operating Subsidy) are *NOT* eligible to apply for this category.

ELIGIBILE APPLICANTS

- **<u>HSS</u>:**
  - **PHAs**
  - **Tribes/TDHEs**
  - **Nonprofits, including community-based, grass-roots, and faith-based organizations**
  - **Local civic organizations or units of local government**
  - **RA's are NOT eligible for HSS**

NUMBER OF APPLICATIONS

- **PHAs—one application per grant**
- **RA's—one application per each grant for which they are eligible (RSDM-Family, RSDM–Elderly)**
- **Tribes/TDHEs—one per grant**
- **Nonprofits—one for each grant, unless targeting residents from distinct PHAs.**
- **Joint applicants—PHAs, RAs cannot be both a joint applicant and a sole applicant in the same grant category.**
  - **Lead applicant must be designated and is subject to all threshold requirements**

JOINT APPLICANTS

- **Joint Applicants – must designate a lead applicant.**
- **Non-lead applicants are subject to the following threshold requirements as applicable**
  - **Letters of support for nonprofit applicants**
  - **evidence of Nonprofit status, as outlined in *Threshold Requirements***
  - **Threshold Requirements outlined in Section III.C. of the General Section**
- ***All* parties to the joint application must be eligible applicants**

ELIGIBLE DEVELOPMENTS & PARTICIPANTS

- Only conventional Public and Indian housing developments may be served by ROSS grant funds.

- All participants must be residents of conventional public housing or NAHASDA-assisted housing.

- Participants in PH FSS are also eligible to participate in activities funded under ROSS.

MAXIMUM FUNDING AMOUNTS

- **RSDM-Family**:
  - PHAs and Tribes:
    - 1-780 units: $250,000
    - 781-2,500 units: $350,000
    - 2,501-7,300 units: $500,000
    - 7,301 or more units: $1,000,000
  - RAs: $125,000 max
  - Nonprofits: $125,000 for each RA, max of $375,000.

MAXIMUM FUNDING AMOUNTS

- **<u>RSDM-Elderly</u>:**
  - PHAs and Tribes:
    - 1-217 units: $250,000
    - 218-1,155 units: $350,000
    - 1,156 or more units: $450,000
  - RAs: $125,000 max
  - Nonprofits: $125,000 for each RA, max of $375,000.

MAXIMUM FUNDING AMOUNTS

- **HSS**:
  - PHAs and Tribes:
    - 1-780 units: $250,000
    - 781-2,500 units: $350,000
    - 2,501-7,300 units: $500,000
    - 7,301 or more units: $1,000,000
  - Nonprofits: $125,000 for each RA, max of $375,000.

GRANT PERIOD

- **Grants will be for 3 years from the date of the Grant Agreement**

- **Extensions of no more than 6 months may be requested at least 90 days before the grant is due to expire from the Field Office/Area ONAP office and may be granted one time.**

APPLICATION DEADLINES

- **RSDM-Family: July 25, 2005**
- **RSDM-Elderly: July 6, 2005**
- **HSS: May 26, 2005**

CHANGES FROM LAST YEAR'S NOFA

- **Inclusion of ineligible items is no longer a threshold. Now, there will be a 2 point deduction for every ineligible item. The requested budget amount will remain the same and it will be up to the grantee and the Field Office to re-allocate the funds to eligible items already in the proposed budget.**

THRESHOLD REQUIREMENTS

<u>All Categories</u>:

- **All applicants must clearly demonstrate at least a 25% firmly committed match**
  - **If you are applying for more than one grant, you must have different sources of match for each one.**

- **Past performance**
  - **Evaluated by the Field Office**

THRESHOLD REQUIREMENTS

All Categories:

- **Contract Administrator partnership agreement**
  - for all non-profits, RAs and troubled PHAs
  - Under NO circumstances will you be allowed to pay for a contract administrator

THRESHOLD REQUIREMENTS

For Non-Profit applicants:

- Nonprofit applicants must demonstrate support from Resident Associations, RABs, civic organizations, or units of local government or tribes/TDHEs or PHAs

- 501(c)(3) status must be documented with IRS designation letter.

THRESHOLD REQUIREMENTS

- Minimum score of 75 in order to be funded

- Applicants requesting funding in excess of the maximum grant which they are eligible to receive will not receive funding consideration.

- Dun & Bradstreet (DUNS) number- www.dnb.com/us

THRESHOLD REQUIREMENTS

- <u>**HSS program only**</u>:

    - PHA applicants with Homeownership Voucher Programs must *provide a letter* with their applications saying they will enroll the minimum number of residents and make the minimum number of homeownership vouchers (see table in NOFA) available to eligible participants annually.

THRESHOLD REQUIREMENTS

- **HSS program only**:
  - PHA applicants with an HCV program but no Homeownership Voucher Program will be required to implement a Homeownership Voucher Program and enroll the minimum number of residents and make available the minimum number of homeownership vouchers (see table in NOFA). Must also *provide a letter* certifying they will comply with this requirement

THRESHOLD REQUIREMENTS

- <u>HSS program only</u>:
  - Tribe/TDHE applicants must *provide a letter* stating they have a Low-Income Homeownership program outlined in their current Indian Housing Plan *and* that they will provide homeownership assistance to a minimum of 10 eligible families

ELIGIBLE ACTIVITIES

- **RSDM-FAMILY**:
  - **Four Categories, basic to advanced**
    - **Life skills training**
      - **Credit, banking, literacy, GED, etc.**
    - **Job training, job search and placement assistance**
      - **Skills assessment, workplace norms, interviewing, resume writing, etc.**

ELIGIBLE ACTIVITIES

- **RSDM-FAMILY**:
  - Four Categories, basic to advanced
    - Post-Employment Follow-up
    - Activities to support career advancement
      - IDAs (may use up to 20% grant funds as matching funds), housing counseling,

ELIGIBLE ACTIVITIES

- **<u>RSDM-FAMILY</u>:**
  - Hiring Project Coordinator
    - one person, full time, up to $65,000 salary and benefits; no more than 30% total grant
  - Stipends for participants
    - No more than $200/participant/month

ELIGIBLE ACTIVITIES

- **RSDM-FAMILY**:
  - **Hiring Residents to provide services**
    - **No more than 5% grant**
  - **Supportive services**
    - **After school program, child care, transportation, etc.**

ELIGIBLE ACTIVITIES

- **<u>RSDM-Elderly</u>:**
  - **Hiring of Project coordinator; up to $65,000 salary and benefits; no more than 30% total grant**
  - **Coordination and set-up of meal services**
  - **Coordination and set-up of transportation services**
  - **Coordination and set-up of Wellness programs**

ELIGIBLE ACTIVITIES

- **<u>RSDM-Elderly</u>:**

  – **Coordination and set-up of Personal emergency response**
  – **Coordination and set-up of congregate services**
  – **Case Management**

ELIGIBLE ACTIVITIES

- <u>HSS</u>:
  - Project coordinator; up to $65,000 salary and benefits; no more than 30% total grant
  - Homeownership training
    - Asset building, financial literacy, etc.
  - Individual Savings Accounts
    - may use up to 20% grant funds as matching funds

INELIGIBLE ACTIVITIES

- **Payment of wages/salaries to residents for receiving services**
  - **(different from stipends, which are only allowed in RSDM-Family)**
- **Purchase, lease, rental of land**
  - **New construction, rehab**
- **Purchase, lease, rental of vehicles**
- **Entertainment costs, including food**

INELIGIBLE ACTIVITIES

- **Wages/salaries medical personnel (including health aids/companions) for *medical services* provided to residents**
- **Medications of any kind**
- **Transportation for RSDM-Elderly**
  - **Coordination and set-up of transportation is eligible, just not the actual service provision.**

INELIGIBLE ACTIVITIES

- Down payment assistance (except ISA provision under RSDM-Family and HSS)

- Revolving loan funds

- Costs which exceed limits for:
  - Project coordinator, resident salaries, ISAs, stipends, administrative costs (10%) etc.

- Costs of application preparation

INELIGIBLE ACTIVITIES

- **Fee/salary for contract administrator**
- **Any other activity not listed as eligible**

FUNDING RESTRICTIONS

- No grant funds may be used to pay for fee or salary of contract administrator
- Project Coordinator - $65,000, max 30% of grant
- Administrative costs – max 10% of grant
- ISAs matching – max 20% of grant
- Resident salaries – max 5% of grant
  - (only eligible in RSDM-Family)
- Stipends - $200 per month per resident who is satisfactorily completing training and who has valid receipts.

RATING FACTORS

In addition to eligibility and threshold requirements, applications will be evaluated based on the rating factors.

Be sure to consult the checklist IV.B.2.b.(2) (middle column, p.14064) to ensure you have all necessary forms and charts in each Tab and Rating Factor section

RATING FACTOR 1
Capacity of the Applicant & Relevant Organizational Staff

- Proposed Program Staffing
  - Staff experience
    - Recent, relevant and successful
  - Organizational capacity
    - Partnerships, readiness to begin, etc.
- Past Performance of Applicant/Contract Administrator
  - Receiving and successfully managing other grants with similar intended outcomes
- Program Administration & Fiscal Management

RATING FACTOR 2
Need/Extent of the Problem

- Socioeconomic Profile of residents to be served
- Demonstrated Link Between Proposed Activities and Local Need

RATING FACTOR 3
Soundness of Approach

- **Quality of work plan**
  - **Specific Services/Activities**
    - **RSDM-Family: Enhancing economic opportunity**
    - **RSDM-Elderly: Enhancing residents' quality of life**
    - **HSS: Enhancing homeownership opportunities**
    - **Logical Order/Progress & comprehensive range of services**
    - **Ties to Outputs/Outcomes in logic model**

RATING FACTOR 3
Soundness of Approach

- **Quality of Work Plan**
  - **Feasibility and Demonstrable Benefits**
    - **Likely to achieve intended purpose**
    - **Timeliness**
    - **Solution fits the problem**
  - **Budget Appropriateness**
    - **Justification of expenses**
    - **Budget efficiency**

RATING FACTOR 3
Soundness of Approach

- **Quality of Work Plan**
  - **Ineligible Activities**
    - **Two points will be deducted for each ineligible activity proposed**

RATING FACTOR 3
Soundness of Approach

Addressing HUD's policy priorities

- **Improving the Quality of Life in Our Nation's Communities (RSDM-Family and RSDM-Elderly)**
- **Providing Increased Homeownership Opportunities (HSS)**
- **Providing Equal Access to Faith-Based and Community-Based Organizations (All applicants)**
- **Increasing the Supply of Affordable Housing through the Removal of Regulatory Barriers (All applicants)**

RATING FACTOR 4 –
Leveraging Resources

- Clearly indicate firmly committed match
  - Explicitly for THIS grant, in writing on letterhead, signed
- 25% minimum, more points for higher match percentage
- More points for partnerships

RATING FACTOR 5
Achieving Results and Program Evaluation

– **Narrative Response** *and*

– **Must use Logic Model (HUD-96010)**

  • **Effective, quantifiable outcome-oriented plan for measuring performance**

  • **Outputs and Outcomes with interim benchmarks**

    – **Outputs: direct products of program activities**

    – **Outcomes: benefits accruing to the participants/communities**

RATING FACTOR 5
Achieving Results and Program Evaluation

- Narrative Response *and*
- Must use Logic Model (HUD-96010)
  - Performance indicators and measurement methods
    - The application provides a list of sample performance measures to help with this Rating Factor.

REVIEW PROCESS

- **Four Types of Review:**
  - **Eligibility;**
  - **Complete, meets deadline and threshold;**
  - **Past performance; and**
  - **Technical review (Rating Factors)**

POST-AWARD INFORMATION

- **Performance Reporting**
  - **Grantees must report to field offices twice a year.**
  - **Reports must consist of the financial reporting form HUD-269A, a narrative explaining progress, problems, etc. and the Logic Model and any other additional measures**
  - **Anticipate that some reporting will be through electronic or internet-based submissions.**

APPLICATION FORMAT

- **Follow the format as described in the NOFA (Section IV, B, (2))**
- **See the General Section for information on submitting supporting documentation electronically**
- **Page limit for narrative is 35 pages**
  - **Times New Roman font size 12**
  - **Double spaced**

WHERE TO SEND APPLICATIONS

All applications must be submitted electronically unless a waiver has been obtained.

If you do have a waiver, follow the waiver instructions for submitting paper copies to the GMC and Field/ONAP Office.

APPLICABLE REGULATIONS

- **Code of Federal Regulations (CFR):**
  - **Administrative requirements for Nonprofits**: 24 CFR Part 84
  - **Administrative requirements for State, Local and Tribal governments**: 24 CFR Part 85
  - **RSDM programs and HSS**: 24 CFR part 964
  - **The CFR can be found at: http://www.gpoaccess.gov/cfr/index.html**

APPLICABLE REGULATIONS

- **OMB Regulations:**
  - **State, Local, Tribal Governments: OMB Circulars A-87 and A-133.**
  - **Nonprofits: OMB Circulars A-110, A-122, and A-133.**
- **OMB Circulars can be found at: http://www.whitehouse.gov/ omb/ circulars/index.html**

WHAT MAKES A GOOD APPLICATION

- Read the NOFA several times
- Read the General Section as well as the specific grant section
- Follow outline of NOFA and respond accordingly
- Narrative should be clear and concise
- Submit supporting documents and forms according to format provided in NOFA

QUESTIONS & ANSWERS