SuperNOFA Broadcast

Continuum of Care
March 28, 2006

Broadcast Overview

- 2005 CoC Competition in Review

- 2006 CoC Application Information

- CoC Exhibit 1

- Project Exhibit 2

2005 CoC Competition in Review

2005 Overview

- Awarded: $1.2 billion to 5,000 projects
- Renewals: 88% of projects were renewals
  - Vast majority were 1-year renewals
  - 611 new projects--mostly new permanent housing

Map showing CoC coverage

2005 Overview

- Use of funds:
  - 55% Housing
  - 40% Services
  - 2% HMIS
  - 3% Administration

2005 Overview

- Chronic Projects:
  - 30% of funds for new/renewal targeting chronic
  - 70% of funds for projects not targeting chronic
  - 50,000 permanent beds for chronic from all sources

2006 CoC Introduction

- NOFA Issuance: March 8
- Application Deadline: May 25
- Applications must be placed in service on or before May 25
- Recommend express delivery to ensure timely receipt
- Technical/FMR corrections are coming

2006 CoC Application Information Overview

- Basic Application Information
- Pro Rata Need
  - Initial PRN Amount
  - Renewal Hold Harmless Amount
  - Chronic Housing Bonus (Samaritan)

2006 CoC Application Information -- Scoring

- 100 points possible:

  - 40 points for Need:
    - 40, 10, or 5 points

  - 60 points for CoC plan

CoC Point Breakdown

- 60 points for CoC plan:
  - Part I: CoC Organizational Structure (8 points)
  - Part II: CoC Housing and Service Needs (12 points)
  - Part III: CoC Strategic Planning (10 points)
  - Part IV: CoC Performance (18 points)
  - Housing Emphasis (12 points)

Highlights of 2006 CoC

- Exhibit 1
  - Fewer narratives
  - More fixed responses
  - Preparing for future electronic submission

Highlights of 2006 CoC

- Exhibit 2
  - New forms
  - Consolidated/streamlined
  - Universal application components
  - Program-specific components

Pro Rata Need Overview

- Initial PRN Amount
- Renewal Hold Harmless Amount
- Chronic Housing Bonus (Samaritan)

Initial PRN Amount

- Geography -- PRN amount
- On web at:

www.hud.gov/offices/adm/grants/
nofa06/grpcoc.cfm

Renewal Hold Harmless Amount

- HUD holds CoC harmless if renewal demand exceeds initial PRN
- HUD provides CoC higher of:
  - Initial PRN or
  - 1-Yr SHP potential renewal

Renewal Hold Harmless Amount

- Two options for determining:
  - Traditional Method
  - Hold Harmless Reallocation

Renewal Hold Harmless Amount

- Traditional Method
  - Based on average annual renewal amount of SHP renewals submitted by CoC

- Hold Harmless Reallocation
  - If CoC wants to use HH to create new permanent housing projects

Renewal Hold Harmless Amount (Example)

- If Initial PRN = $1m
- But 1-yr SHP annual renewal amt is $1.2m
- Then CoC hold harmless at $1.2m

Renewal Hold Harmless Amount Reallocation

- How: HH CoC's may reduce/ eliminate SHP renewals and use the savings to create new PH projects: SHP-PH, SPC, SRO

Renewal Hold Harmless Amount Reallocation

Example:

- SHPR1: $500,000 reduce by $100,000

- SHPR2: $500,000 reduce by $100,000

- Total reduction: $200,000 for a new project(s)

Renewal Hold Harmless Amount Reallocation

- Risks of using for a new project:
  - Could fail project quality
  - Might not score above initial funding line

- Result: Reallocated money permanently lost

Renewal Hold Harmless Amount Reallocation

- If your CoC wants to pursue the new project option, contact your field office to reconcile your CoC's Hold Harmless Reallocation amount

Chronic Homeless Housing Bonus (Samaritan)

- Must be a new PH project (SHP, SPC, SRO)
- Must be #1 priority project
- 100% of clients must be chronic
- If SHP, can use up to 20% of project request for case meeting
- Related to need: 15% of Initial PRN

Chronic Homeless Housing Bonus (Samaritan)

- How to calculate:

  1,000,000 x .15 = $150,000

Final Pro Rata Need

- Example:
  - Initial PRN $1,000,000
  - Hold Harmless $1,200,000√
  - Samaritan Bonus $150,000√
  - Final PRN $1,350,000

Exhibit 1

- Part I: CoC Organizational Structure

- Part II: CoC Housing and Service Needs

- Part III: CoC Strategic Planning

- Part IV: CoC Performance

Part I: Organizational Structure Overview
(CoC A – G)

- Points Available
- Lead Organization
- Geography
- Groups and Meetings
- Planning Process Organizations
- Governing Process
- Project Review and Selection
- Written Complaints

Part I: CoC Organizational Structure

- Points Available: 8 of 60

Lead Organization
(CoC—A)

- CoC Lead Organization
  - HUD-defined CoC Name and Numbers:

    www.hud.gov/offices/adm/grants/nofa06/grpcoc.cfm

Geography
(CoC–B)

- Geography
  - Check Geo Codes at
    www.hud.gov/offices/adm/grants/
    nofa06/grpcoc.cfm
  - Include all participating jurisdictions
  - Do not use overlapping jurisdictions
  - Determines Pro Rata Need Amount

Groups and Meetings
(CoC–C)

- Primary lead
- Other CoC subgroups
- Role descriptions
- Independent meeting frequency
- Entity representatives include all participating jurisdictions

Planning Process Organizations (CoC–D)

- Participating planning entities
- Geo code
- Subpopulations represented

CoC Governing Process
(CoC–E)

- Moving toward standards
- Direction indicated in 1-8
- Check boxes and provide brief explanation

Project Review and Selection
(CoC–F)

- CoC Project Review/Selection
  - Goal is fair and open process
  - Indicate all methods used
  - No written explanations

Written Complaints
(CoC–G)

- Open process includes process for challenges and clear resolution

Part II: Housing and Service Needs Overview
(CoC: H — M)

- Points Available
- Services Inventory
- Housing Inventory
- Homeless Pops & Subpops
- Data Sources & Methods
- HMIS

Part II: CoC Housing and Service Needs

- Points Available: 12 of 60

  - Inventories, Data, Methods (7 points)

  - HMIS (5 points)

Services Inventory
(CoC-H)

- Prevention/Outreach/Support Services
- Streamlined/Standardized Chart
- List providers with services
- Check box format (18 categories)
- Update every other year

Housing Inventory
(CoC-I)

- Emergency
- Transitional Housing
- Permanent Supportive Housing
- Inventory status
    - Current
    - Added between 2/1/2005 to 1/31/2006
    - Under Development

Housing Inventory
(CoC-I)

- Unmet Need correction to each Housing Inventory Chart

  - The "Totals:" line directly under "Subtotal Inventory Under Development" should be moved down to the next line.

  - The "Totals" line is meant to be on the "Unmet Need" line and reflect the total unmet need in each column.

Permanent Supportive Housing Inventory

- PH inventory added last year is used in calculating CH homeless costs

- Chronic Homeless Performance Chart (CoC-V)

- Chronic Homeless Chart Worksheet

HMIS Participation Codes

- New codes to measure agencies:

  - Participation

  - Non-participation

HMIS Participation Codes

- For each program listed on Housing Inventory Chart Report:
  - Extent of Data Collection
    - Universal data elements only
    - Universal & program data elements
  - The level of client participation

HMIS
Non-Participation Codes

- P= Not yet participated, but will by July 1, 2007

- N= Not HUD-funded

- F= Agency HUD-funded

Number of Year-Round Beds in HMIS

- Number of year-round beds for family + individual covered in HMIS
- A bed is covered if data on the client served in that bed is entered in HMIS
- Covered beds should not exceed total number of beds

Housing Inventory Methods
(CoC-J)

- Annual Inventory-last week in Jan.
- Streamlined check-offs
- Methods
- Data accuracy
- Unmet need
  - HUD-defined method or CoC-declared method with a narrative

Homeless Population & Subpopulations
(CoC-K)

- Standard is every other year (Biennial)
- PIT in last week in January 2005
- May update the chart based on recalibration of 2005 data
- May update chart based on PIT done in last week in January 2006

Katrina Evacuees/Homeless Evacuees Data

(CoC-K)

- Voluntary and not scored

- Sheltered is both emergency & transitional housing for part 3

Katrina Evacuees/Homeless Evacuees Data
(CoC-K)

- If you did a PIT in Jan. 2006, enter Katrina data in the pop/subpop chart (parts 1, 2 & 3)

- If you did <u>not</u> conduct a PIT in Jan. 2006, only enter data on evacuees in the Katrina Chart (Pt 3)

- Why? HUD is looking for some information on the impact of Katrina evacuees in CoC communities

Shelter Count Methods
(CoC-L1)

- Sheltered Count
  - Biennial-PIT last week in January 2005 & 2007
  - Primary method
  - Quality
  - Future
  - Coverage/response rate

Street Count Methods
(CoC-L2)

- Street Count
  - Biennial- PIT preferably last week in January 2005 & 2007
  - Primary methods
  - Coverage
  - Quality
  - Future

HMIS
(CoC-M)

- Lead organization/name/CoCs participating (M-1,2)
- Implementation status (M-3)
- Client records (M-4)
- Participation (M-5)
  - 75% coverage date-info only
    - If uncertain- put in 99/9999
    - Challenges & barriers

HMIS
(CoC-M)

- Other measures of progress (M-6)
  - Training
  - Data collection & quality
  - Implementation of Data & Technical Standards
    - Privacy
    - Security

HMIS

- McKinney Act amendment in VAWA

  - HUD encourages DV shelters, CoCs and HMIS administrators to read and reread the law very carefully and with legal counsel

  - HUD assessing coverage and meaning of the amendment

HMIS

– Fifth Report to Congress on HUD's Strategy for Homeless Data Collection, Reporting and Analysis (March 2006)

– Will be available on HUD HMIS website and www.HMIS.Info

HMIS International

- Britain, Australia and Canada developing homeless information systems
- Canadian system
  - Homeless Individuals and Families Information System or HIFIS

First Annual Homeless Assessment Report

- HMIS 3-month data analysis complete

- 2005 CoC data analysis complete

- CoCs will report on AHAR tables in the future

- January–July 2006: Next data collection phase

  - Expect 30 additional communities to participate

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Part III: Strategic Planning Overview

(CoC: N – T)

- Points Available
- Plan/Objectives
- Discharge Planning
- Coordination
- Project Priorities
- Reallocation
- Leveraging Summary
- Renewal Projections

Part III: Strategic Planning

- Points Available: 10 of 60

10-Year CoC Plan, Objectives & Action Steps
(CoC–N)

- Respond to HUD's objectives:
  - New beds for chronic
  - Increase length of stay in PH
  - Increase moves from TH to PH
  - Increase employment gain
  - Ensure CoC has HMIS
- CoC Objectives

10-Year CoC Plan, Objectives & Action Steps
(CoC-N)

- By Objective:
  - Action Steps
    - Actions within next 12 months to meet each objective
  - Measurable Achievements
    - 1, 5, 10 years
    - Lead individual responsible

Discharge Planning Policy
(CoC–O)

- Very similar to 2005 chart

- Protocols summarize "finalized" or "implemented protocols" for each category

Coordination
(CoC–P)

- Replaces 2005 Coordination narrative

- Respond Y/N to coordination steps taken with multiple partners:
  - Con. Plan, 10-Year Plan, Policy Academy, PHA and State Education Agencies

Project Priorities
(CoC-Q)

- Very similar to 2005

- Provide HUD-defined CoC name and number

- Competitive projects and S+C renewal projects are subtotaled by request amounts

Pro Rata Need Reallocation
(CoC–R)

- Similar to 2005
- Advisory on reallocated dollars
- Verify amounts with field office
- Checkbox: using reallocation?

Pro Rata Need Reallocation
(CoC-R)

Verify Amounts Example:

$**530,000** (line 2), total eligible average annual SHP renewals

– **$140,000** (col.5), reduced/ reallocation amount

= **$390,000** (line 3), remaining amount

Pro Rata Need Reallocation
(CoC-R)

Reallocation "Checkbook":

- Reduced amounts (col.5) must equal reallocated amounts to new projects (col. 11)

- Total eligible renewals (line 2) minus reallocated amount (col.11) equals remaining amount (line 3)

Project Leveraging Summary
(CoC–S)

- Same process as 2005 except:

  – Provide total summary amount of all leveraging from Ex. 2 project level.

Current Funding and Renewal Projections

(CoC—T)

- New chart collects current-year amount & renewal estimates for 5 years for SHP and S+C
- Estimate from CoC ensures more accurate reporting to Congress
- Help CoC account for own needs

Current Funding and Renewal Projections

(CoC–T): SHP

- Current-year request
  - Total amount of new and renewal funds requested in 2006 by project type
  - For competitive 40 need-point projects

Current Funding and Renewal Projections
(CoC–T): SHP

- Renewal estimates for 2007-2011 assume:
  - No new funds, only track renewals
  - Same initial PRN rules/amount as 2006
  - Same rules for Hold Harmless as 2006

Current Funding and Renewal Projections
(CoC—T): S+C

- Current Year/2006--New and Renewal by bedroom size, total:

  – Number of units requested

  – Funds requested

Current Funding and Renewal Projections
(CoC–T): S+C

- 2007-2011 Renewal estimates assume:
  - No new funds, only track renewals
  - 2006 FMR for out-years
  - CoCs with multiple FMR areas use highest FMR per bedroom size

Current Funding and Renewal Projections
(CoC-T): S+C

- 2007 Renewal estimates example
  - All first-time expirations in 2008
  - All extended grants running out of funds in 2008
  - All S+C renewals funded in 2006
  - Totals # units and 12-month renewals based on 2006 FMR

Part IV:
Performance Overview
(CoC: U – AB)

- Points Available
- Achievements Chart- New
- Chronic Homeless Progress Chart
- Housing and Mainstream/ Employment Performance
- Unexecuted Grants
- Energy Star
- Section 3 Employment Policy – New

Part IV:
CoC Performance

- Points Available: 18 of 60

- 18 points include:
  - Charts U – AA (14 pts)
  - Chart AB: Section 3 Employment (2 pts)
  - Questionnaire on Barriers (2 pts)

Achievements
(CoC-U)

- New

- Report on progress in achieving last year's goals and action steps

- For Chronic Homelessness and Other Homelessness

Chronic Homeless Progress
(CoC-V)

- For 2004, 2005, 2006
- Number of CH persons (explain changes between 2005-2006)
- Number of PH beds for CH persons
- Source of new CH beds funding
  - Federal, State, Local, Private
- Worksheet on web

Housing Performance
(CoC-W)

- Remaining in permanent housing
- Moving from transitional to permanent housing
- Check box if no applicable projects

Remaining in Permanent Housing
(CoC-W)

- Remain in PH for over 6 months
- Recognize 100% unrealistic
- National standard: 71%

Moving from TH to Permanent Housing
(CoC-W)

- Move from HUD TH to a form of PH (APR)
- Recognize 100% is unrealistic
- National standard: 61%

Mainstream Programs/ Employment Performance
(CoC-X)

- Increase participation and employment

- Employment National Standard: 10% increase

Enrollment & Participation in Mainstream Programs
(CoC-Y)

- How your CoC systematically helps persons identify, apply for and follow up to receive benefits in variety of mainstream programs

- Continuums should be doing many of these

Unexecuted Grants Awarded Prior to 2005 Competition

- No change

Energy Star Chart
(CoC-AA)

- Energy awareness

- Must notify CoC members

- Percent use
  - Priority chart projects

Section 3 Employment Policy
(CoC-AB)

- New: included across HUD programs
- 2 points
- New projects requesting rehabilitation and construction

Project Exhibit 2 Overview

- Changes for 2006
- Section I: Project Summary
- Section II: Project Budgets
- Section III: New Project Narrative
- Section IV: Applicant Certification (Complete one per applicant, not per each project)
- Logic Model Instructions

Exhibit 2 – Project Changes in 2006

- All project exhibits consolidated into Exhibit 2:
  - SHP—new and renewal
  - S+C—new and renewal
  - SRO
- Project instructions precede exhibit forms
- Logic model instructions

Section I: Project Summary Information

- Part A: Applicant, Sponsor, & Project Information
- Part B: Project Summary Budget:
  - Type of program
  - Component type
  - Grant term
  - Dollars requested

Part B: Project Summary Budget

B1. Supportive Housing Program (SHP) (All SHP Projects)

a. ☐ SHP Program	c. Grant Term (New Projects must be 2 or 3 years; Renewals or HMIS projects can be 1, 2 or 3 years) (Check only one box)		
b. Component Types (Check only one box) ☐ TH ☐ PH ☐ SSO ☐ HMIS ☐ Safe Haven/TH ☐ Safe Haven/PH	☐ 1 Year	☐ 2 Years	☐ 3 Years
d.　　Proposed SHP Activities	e. SHP Dollars Request	f. Cash Match	g.　　Totals (Col. e + Col. f)
1. Acquisition			
2. Rehabilitation			

B2. Shelter Plus Care (S+C) (All S+C Projects)

a. ☐ S+C Program	c. Grant Term　　(Renewals are 1 year only) (Check only one box)		
b. Component Types (Check only one box) ☐ TRA ☐ SRA ☐ PRA ☐ PRAR ☐ S+C/SRO	☐ Renewal 1 Year	☐ New 5 Years	☐ New (PRAR, S+C/SRO) 10 Years
1. Total S+C Rental Assistance Amount from S+C and SRO Budget Chart	$		

B3. Section 8 Single Room Occupancy (SRO) (All Section 8 SRO Projects)

a. ☐ SRO Program	c. Grant Term
b. Component Type ☐ (SRO)	☐ 10 Years
1. Total SRO Rental Assistance Amount from SRO Budget Chart	$

Section I: Project Summary Information

- Part C: PIT Housing & Participants Chart
  - Correction: SSO projects must answer item number 3 participants
  - New: Housing Type and Number of Units

Section I: Project Summary Information

- Part D: Targeted Subpopulations
  - For Samaritan bonus, must identify that 100% of clients are chronically homeless

- Part E: Discharge Policy
  - State & Local Government applicants only

Section I: Project Summary Information

- Part F: Project Leveraging Chart
- Part G: Participation in HMIS
- Part H: Renewal Performance
  - Renewal Projects Only
  - Based on Response to APRs

Section II: Project Budgets

- Part I: SHP Project Budgets
  - I.1. Leasing Chart
    - For New and Renewal Projects
    - SSOs fill out bottom section of this chart
  - Documentation needed for rents above FMR

Section II: Project Budgets

- Part I: SHP Project Budgets (cont.)
  - Change for renewal projects
  - I.2. SHP Supportive Services
    - By law, you may request up to 80% of the total Support Services costs
  - I.3. SHP Operating Costs
    - By law you may request up to 75% of the total Operating Costs

Section II: Project Budgets

- I.4. SHP Multiple Structures Budget
- I.5. SHP HMIS Budget
  - By law, you may request up to 80% of the total HMIS costs

Section 11: Project Budgets

- Part J: Shelter Plus Care and SRO Project Budgets
  - J.1: New and Renewal S+C Projects
    - TRA, SRA, PRA, PRA with Rehab & SRO
  - J.2: New S+C/SRO Projects and New SRO Projects

Published 2006 FMRs

- Revisions
  - Oct 1, 2005 - "Final 2006 FMRs"
  - March 1, 2006 - "Notice on 50th Percentile Designation"
    - 34 areas reduced
  - March 6, 2006 - "Revised Final Data for 6 FMR Areas"
    - 6 areas increased
- Notices: www.huduser.org/datasets/fmr.html

FMRs for Awarded S+C and SRO Projects

- Actual rents below, and exception rents above the applicable published FMR will not get updated after submission

Section III: New Project Narratives

- Part K: Gen Project Narrative Info
  - New project basic information
- Part L: Supportive Services Question
- Parts M through P: Additional Questions
  - New project details
  - HMIS-dedicated only answer Part P

Section IV: Applicant Certification

- Required for all applicants
- Complete one per applicant
- Must be signed and dated by the authorized certifying official for the project(s)

Logic Model Instructions

- See page 3394 of Federal Register for HUD Goals and Policy Priorities
- Clarification: Use letters or numbers to identify goals and priorities
- New Format: Excel spreadsheet with drop-down menus
- Outputs (Services and Activities)
- Outcomes