

Tuesday, March 13, 2007

Part II

Department of Housing and Urban Development

Fiscal Year 2007 SuperNOFA for HUD's Discretionary Programs; Notice

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5100-N-01A]

Fiscal Year 2007 SuperNOFA for HUD's Discretionary Programs

AGENCY: Office of the Secretary, HUD. ACTION: Notice of HUD's Fiscal Year (FY) 2007 Notice of Funding Availability (NOFA) for HUD's Discretionary Programs (SuperNOFA).

SUMMARY: On January 18, 2007, HUD published its Notice of FY2007 Notice of Funding Availability Policy Requirements and General Section to the SuperNOFA (General Section). HUD published the General Section of the FY2007 SuperNOFA in advance of the individual NOFAs to give prospective applicants sufficient time to begin preparing their applications, and to register early with Grants.gov in order to facilitate their application submission process. Today's publication contains the 38 funding opportunities or program NOFAs that constitute HUD's FY2007 SuperNOFA. In addition, today's publication provides a revised listing of programs contained in the FY2007 SuperNOFA and corrects two items contained in the General Section published on January 18, 2007.

DATES: Application deadline and other key dates that apply to all HUD federal financial assistance made available through HUD's FY2007 SuperNOFA are contained in each individual program NOFA and in Appendix A of this notice.

FOR FURTHER INFORMATION CONTACT: The individual program NOFA identifies the applicable agency contact(s) for each program. Questions regarding today's Introduction to the SuperNOFA should be directed to the NOFA Information Center between the hours 10 a.m. and 6:30 p.m. eastern time at (800) HUD-8929. Hearing-impaired persons may access this telephone via TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339. Questions regarding specific program requirements should be directed to the agency contact(s) identified in each program NOFA.

supplementary information: HUD published the General Section of the FY2007 SuperNOFA on January 18, 2007 (72 FR 2396). HUD published the General Section in advance of the individual program NOFAs to give prospective applicants sufficient time to begin preparing their applications, and to register early with Grants.gov in order to facilitate their application submission process. Today's publication contains the 38 individual funding opportunities,

or program NOFAs, that constitute HUD's FY2007 SuperNOFA. Through the FY2007 SuperNOFA, HUD is making available approximately \$2 billion in federal financial assistance. In addition, today's publication provides a revised listing of programs contained in the FY2007 SuperNOFA and corrects one item contained in the General Section published on January 18, 2007.

Each program NOFA provides the statutory and regulatory requirements, threshold requirements, and rating factors applicable to funding made available through the individual NOFA. Applicants must also review, however, the January 18, 2007, General Section for important application information and requirements, including submission requirements that provide explicit instructions on file formats acceptable to HUD.

Appendix A to the January 18, 2007, General Section identified the funding opportunities anticipated to be included in the FY2007 SuperNOFA. HUD is revising and republishing Appendix A (Revised Appendix A) as part of today's FY2007 SuperNOFA publication. Revised Appendix A provides an up-todate funding chart that lists the funding opportunities included in today's FY2007 SuperNOFA publication, along with the application deadline.

In reviewing Revised Appendix A, applicants should note that the Youthbuild NOFA, Brownfields Economic Development Initiative (BEDI) NOFA, and the Public Housing Neighborhood Networks (PH-NN) NOFA are not part of today's FY2007 SuperNOFA publication. The Youthbuild program was transferred to the U.S. Department of Labor on September 22, 2006, in accordance with Public Law 109-281, and will not be included in the FY2007 SuperNOFA. Persons interested in funding opportunities under the Youthbuild program should contact the U.S. Department of Labor. The BEDI and PH-NN were not funded in FY2007. HUD is also providing NOFAs for three programs that were not contained in its FY2006 SuperNOFA, specifically the Housing Counseling Training Program, Early Doctoral Student Research Grant Program, and the Doctoral Dissertation Research Program NOFAs.

HUD is also using today's publication to correct two items contained in the General Section published on January 18, 2007. Initially, HUD noted in section V.A.1. (third column beginning on page 2408 and continuing to page 2409), that: "For each program NOFA, the points awarded for the rating factors total 100. Depending on the program for which you are seeking funding, the funding

opportunity may provide up to four bonus points, as provided below:"

In fact, since the FY2007 SuperNOFA offers bonus points only for applicants that certify that their activities or projects are located in, intended to serve the residents of, or are consistent with the strategic plan for an empowerment zone (EZ), utilization plan for an urban or rural renewal community designated by HUD (RC), or strategic plan for an enterprise community designated in round II by the U.S. Department of Agriculture (EZ-II), collectively referred to as "RC/EZ/EC-IIs," only two bonus points are available. As a result, section V.A.1. (third column beginning on page 2408 and continuing to page 2409). should read: "For each program NOFA, the points awarded for the rating factors total 100. Depending on the program for which you are seeking funding, the funding opportunity may provide up to two bonus points, as provided below:'

HUD is also correcting instructions regarding the completion of the Logic Model form (HUD-96010). In the third sentence of section VI.C.5.b. (middle column, page 2414), HUD stated that applicants should include all activities and outcomes expected per year of the period of performance. To be consistent with and correctly complete the Logic Model forms, applicants are advised that they must include all activities and outcomes expected per year of the period of performance. As a result, the third sentence of section VI.C.5.b. is corrected to read, "[T]o provide for greater consistency in reporting, applicants must include all activities and outcomes expected per year of the period of performance as defined in the program logic model for the program NOFA that they apply for."

HUD published the General Section of the FY2007 SuperNOFA early to provide its applicant community with the opportunity to become familiar with cross-cutting requirements, and to remind prospective applicants to register or renew their registration in order to successfully submit an application via Grants.gov.

Applicants are required to complete a five-step registration process in order to submit their applications electronically and previously registered applicants must annually update their information in the Central Contractor Registration (CCR) for the registration to remain viable. HUD has developed the following brochures and guidance, found at http://www.hud.gov/offices/adm/grants/fundsavail.cfm, to assist applicants with the registration, CCR update, and application processes:

• STEP BY STEP: Your Guide to Registering for Grant Opportunities.

• Finding and Applying for Grant Opportunities.

 Desktop User Guide for Submitting Grant Applications.

For FY2007, the Continuum of Care remains a paper application process. HUD continues to encourage Continuum of Care agencies to become familiar with Grants.gov requirements to facilitate the future transition to Grants.gov. If you have questions concerning the registration process or renewal, or have a question about a NOFA requirement, contact HUD staff listed in the program NOFAs. HUD staff cannot help you write your application, but can clarify requirements contained in this Notice and HUD's registration materials.

Finally, applicants are invited and encouraged to participate in HUD's satellite training and webcast sessions

designed to provide a detailed explanation of the general section and program section requirements for each of the SuperNOFA programs. The interactive broadcasts provide an opportunity to ask questions of HUD staff. These broadcasts are archived and accessible from HUD's Grants page at http://www.hud.gov/offices/adm/grants/ fundsavail.cfm. HUD also encourages applicants to subscribe to the Grants.gov free notification service. By doing so, applicants will receive e-mail notification as soon as items are posted to Grants.gov and will have access to a significant amount of useful information, including responses to frequently asked questions that arise during the funding application period. The address to subscribe to the Grants.gov free notification service is

http://www.grants.gov/search/email.do. Modifications to the General Section, any of the program NOFAs, or the application are posted to www.Grants.gov as soon as they are available (except for the Continuum of Care NOFA). Modifications to the Continuum of Care NOFA, or the Continuum of Care application are posted to HUD's grants page at http://www.hud.gov/offices/adm/grants/fundsavail.cfm.

As stated in the General Section, HUD hopes that the steps that it has taken to provide information early in the FY2007 funding process will be of benefit to you, our applicants.

Dated: February 26, 2007.

Roy A. Bernardi,

Deputy Secretary.

Appendix A Programs Included In The SuperNOFA	Funding Available (Approximate)	Application Deadline Date. (All applications must be received and validated by Grants.gov no later than 11:59:59 P.M. eastern time on the application deadline date. See submission details in General Section)
		For Deadline Date of:
Community Development Technical Assistance (CD-TA) Programs		
HOME TA	\$10,000,000	5/25/2007
CFDA No.: 14.239		
OMB Approval No.: 2506-0166		
CHDO (HOME) TA	\$8,000,000	5/25/2007
CFDA No.: 14.239		
OMB Approval No.: 2506-0166		
McKinney-Vento Homeless Assistance Programs TA	\$6,500,000	5/25/2007
CFDA No.: 14.235	***************************************	
OMB Approval No.: 2506-0166		
HOPWA TA	\$900,000	5/25/2007
CFDA No.: 14.241		
OMB Approval No.: 2506-0133		
Indian Community Development Block Grant Program	\$55,400,000	6/1/2007
Indian Community Development Block Grant Program (ICDBG)		
CFDA No.: 14.862		
OMB Approval No.: 2577-0191		
University and College Programs		
Historically Black Colleges and Universities Program (HBCU)	\$8,900,000	5/23/2007
CFDA No.: 14.520		
OMB Approval No.: 2528-0235		
Hispanic-Serving Institutions Assisting Communities Program (HSIAC)	\$6,011,226	5/23/2007
CFDA No.: 14.514		
OMB Approval No.: 2528-0198		
Alaska Native/Native Hawaiian Institutions Assisting Communities Program (AN/NHIAC)	\$2,936,000	5/23/2007
CFDA No.: 14.515		
OMB Approval No.: 2528-0206		
Tribal Colleges and Universities Program (TCUP) CFDA No.: 14.519	\$2,700,000	5/23/2007
OMB Approval No.: 2528-0215		

	Funding	Application Deadline Date. (All applications must be received and validated by Grants.gov no later than 11:59:59 P.M. eastern time on
Appendix A Programs Included In The SuperNOFA	Available (Approximate)	the application deadline date. See submission details in General Section)
Doctoral Programs:		
Early Doctoral Student Research Grant Program (EDSRG)	\$105,000	5/2/2007
CFDA No.: 14.517		
OMB Approval No.: 2528-0216		
Doctoral Dissertation Research Grant Program (DDRG)	\$300,000	5/2/2007
CFDA No.: 14.516		
OMB Approval No.: 2528-0213		
Fair Housing Initiatives Program		
Fair Housing - Private Enforcement Initiative (PEI)	\$14,000,000	5/3/2007
CFDA No.: 14.408	\$14,000,000	0,0,200
OMB Approval No.: 2529-0033		
Fair Housing Education and Outreach Initiative (EOI)	\$4,100,000	5/3/2007
CFDA No.: 14.408		
OMB Approval No.: 2529-0033		
Housing Counseling Programs		
Housing Counseling Program	644.740.000	F/47/2007
Local Housing Counseling Agencies (LHCA) National and Regional Intermediaries	\$14,748,800 \$24.054,720	5/17/2007 5/17/2007
Housing Counseling - State Housing Finance	\$24,034,720	3/1//2007
Agencies (SHFA) CFDA No: 14.169	\$2,276,480	5/17/2007
OMB Approval No.: 2502-0261		
ONIB Approval No.: 2302-0201		
Housing Counseling Training	\$3,000,000	5/17/2007
CFDA No: 14.316	, -,,	
OMB Approval No.: 2502-0261		
Healthy Homes and Lead Hazard Control Programs		
Lead Hazard NOFA (Combined)		
Lead-Based Paint Hazard Control Grant Program	\$76,400,000	5/18/2007
CFDA No.: 14.900	ψε ο, του, σου	3713/2001
OMB Approval No.: 2539-0015		
Lead Hazard Reduction Demonstration Grant Program	\$54,700,000	5/30/2007
CFDA No.: 14.905	_	
OMB Approval No.: 2539-0015		
Operation Lead Elimination Action Program (LEAP)	\$17,300,000	5/18/2007
CFDA No.: 14.903		
OMB Approval No.: 2539-0015		

Appendix A Programs Included In The SuperNOFA	Funding Available (Approximate)	Application Deadline Date. (All applications must be received and validated by Grants.gov no later than 11:59:59 P.M. eastern time on the application deadline date. See submission details in General Section)
Technical Studies NOFA (Combined)		
Lead-Technical Studies	\$3,600,000	5/18/2007
CFDA No.: 14.902		
OMB Approval No.: 2539-0015		
Healthy Homes Technical Studies	\$2,000,000	5/18/2007
CFDA No.: 14.906		
OMB Approval No.: 2539-0015		
	*** ****	E/40/0007
Lead Outreach Grant Program	\$2,000,000	5/18/2007
CFDA No.: 14.904 OMB Approval No.: 2539-0015		
OMB Approval No.: 2539-0015		
Healthy Homes Demonstration Program	\$5,000,000	5/18/2007
CFDA No.: 14.901	ψο,σσσ,σσσ	G, IGIZOUI
OMB Approval No.: 2539-0015		
Economic Development and Self-Sufficiency Programs		
Housing Choice Voucher Family Self-Sufficiency (FSS) Program Coordinators	\$47,000,000	5/18/2007
CFDA No.: 14.871		
OMB Approval No.: 2577-0178		
Rural Housing & Economic Development (RHED) CFDA No: 14.250 OMB Approval No: 2506-0169	\$16,830,000	5/23/2007
ONID Approval 140: 2300-0103		
ROSS Elderly/Persons with Disabilities	\$20,000,000	7/19/2007
CFDA No.: 14.876		
OMB Approval No.: 2577-0229		
ROSS Family-Homeownership	\$30,000,000	6/27/2007
CFDA No.: 14.870		
OMB Approval No.: 2577-0229		
Public and Indian Housing Family Self-Sufficiency	\$12,000,000	6/6/2007
CFDA No.: 14.877	\$12,000,000	0/0/2007
OMB Approval No.: 2577-0229		
Targeted and Assisted Housing		
Self-Help Homeownership Opportunity Program (SHOP)	\$19,800,000	6/13/2007
CFDA No: 14.247		
OMB Approval No.: 2506-0157		
Housing Opportunities for Person with AIDS (HOPWA)	\$28,463,000	5/31/2007
CFDA No.: 14.241		
OMB Approval No.: 2506-0133		
Assisted-Living Conversion Program for Eligible Multifamily Projects CFDA No.: 14.314	\$30,000,000	6/7/2007
OMB Approval No.: 2502-0542		

Appendix A Programs Included In The SuperNOFA	Funding Available (Approximate)	Application Deadline Date. (All applications must be received and validated by Grants.go no later than 11:59:59 P.M. eastern time on the application deadline date. See submission details in General Section)
Service Coordinators in Multifamily Housing	\$51,600,000	6/8/2007
CFDA No.: 14.191		
OMB Approval No.: 2502-0447		
Section 202 Supportive Housing for the Elderly	\$431,500,000	5/25/2007
CFDA No.: 14.157		
OMB Approval No.: 2502-0267	-	
Section 811 Supportive Housing for Persons with Disabilities	\$88,300,000	5/24/2007
CFDA No.: 14.181		
OMB Approval No.: 2502-0462		,
Continuum of Care Homeless Assistance Programs	\$1,250,000,000	6/8/2007
Supportive Housing Program (SHP)		
CFDA No.: 14.235		
OMB Approval No.: 2506-0112		
Shelter Plus Care (S+C)	 	
CFDA No.: 14.238		
OMB Approval No.: 2506-0112		
Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals		
CFDA No.: 14.249		
OMB Approval No.: 2506-0112		

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

COMMUNITY DEVELOPMENT TECHNICAL ASSISTANCE (CD-TA) PROGRAMS

HOME TA
CHDO TA
McKinney-Vento Homeless Assistance Programs
HOPWA TA

BILLING CODE 4210-01-C

Community Development Technical Assistance

Overview Information

- A. Federal Agency Name: Department of Housing and Urban Development, Office of Community Planning and Development.
- B. Funding Opportunity Title: Community Development Technical Assistance (CD–TA).
- C. Announcement Type: Initial Announcement.
- D. Funding Opportunity Number: FR–5100–N–08; OMB Approval Numbers: 2506–0166 for HOME Investment Partnerships Program (HOME), HOME Investment Partnerships Program for Community Housing Development Organizations (CHDO (HOME)), and McKinney-Vento Homeless Assistance (Homeless), 2506–0133 for Housing Opportunities for Persons With AIDS (HOPWA).
- E. Catalog of Federal Domestic Assistance (CFDA) Numbers: 14.239, HOME and CHDO (HOME); 14.235, Homeless; 14.241, HOPWA.
- F. Dates: The application deadline date is May 25, 2007.
- G. Additional Overview Information: Applicants interested in providing technical assistance to entities participating in HUD's community development programs should carefully review the General Section and the information listed in this CD-TA NOFA. Funds are available to provide technical assistance for four separate program areas: HOME, CHDO (HOME), Homeless, and HOPWA. Applicants may apply for one, two, three, or all four CD-TA program areas. The application submission information is contained in this CD-TA NOFA at Section IV.B. Approximately \$25.4 million is available. No cost sharing is required. Grants will be administered under cooperative agreements with significant HUD involvement (see Section II.C of this NOFA).

Full Text of Announcement

I. Funding Opportunity Description

A. CD–TA Purpose. The purpose of the CD–TA program is to provide assistance to achieve the highest level of performance and results for four separate community development program areas: (1) HOME; (2) CHDO (HOME); (3) Homeless and; (4) HOPWA. Information about the four community development programs and their missions, goals, and activities can be found on the HUD Web site at www.hud.gov.

B. Description of National TA and Local TA. There are two types of technical assistance (TA) funding available in this NOFA: National TA and Local TA.

National TA activities are those that address, at a nationwide level, one or more of the CD-TA program activities and/or priorities identified in Section III.C. of this NOFA. National TA activities may include the development of written products, development of online materials, development of training courses, delivery of training courses previously approved by HUD, organization and delivery of workshops and conferences, and delivery of direct TA as part of a national program. Applicants for National TA must also be willing to work in any HUD field office area, although work in the field office areas is likely to be a negligible portion of National TA activities. National TA activities are administered by a Government Technical Representative (GTR) and Government Technical Monitor (GTM) at HUD Headquarters.

Local TA activities also must address the CD–TA program activities and/or priorities identified in this NOFA; however the Local TA is targeted to the specific needs of the HUD community development program recipients in the field office area in which the TA is proposed. Local TA activities are limited to the development of need assessments, direct TA to HUD community development program recipients, organization and delivery of workshops and conferences, and customization and delivery of

previously HUD-approved trainings. Local TA will be administered by a GTR and GTM in the respective HUD field office

C. Authority. HOME TA is authorized by the HOME Investment Partnerships Act (42 U.S.C. 12781-12783); 24 CFR part 92. CHDO (HOME) TA is authorized by the HOME Investment Partnerships Act (42 U.S.C. 12773); 24 CFR part 92. For the McKinney-Vento Act Homeless Assistance Programs TA, the Supportive Housing Program is authorized under 42 U.S.C. 11381 et seq.; 24 CFR 583.140; Emergency Shelter Grants, Section 8 Moderate Rehabilitation Single Room Occupancy Program, and Shelter Plus Care TA are authorized by the Revised Continuing Appropriations Resolution, 2007 (Pub. L. 110-5, approved February 15, 2007). HOPWA TA is also authorized under the continuing resolution.

II. Award Information

A. Available Funds. Approximately \$25.4 million is available for the CD–TA program. Additional funds may become available as a result of recapturing unused funds. This chart shows how the funds are divided among National TA and Local TA activities:

Pro	gram	National TA	Local TA
HOM	IE	\$4,000,000	\$6,000,000
	OME)	2,000,000	6,000,000
	eless WA	3,500,000 900,000	3,000,000

The Local TA funds are divided among HUD's field office jurisdictions for the HOME, CHDO (HOME), and Homeless programs. No Local TA funds are available for HOPWA. In the case of the national CHDO (HOME) program, if less than the total amount of available funds is awarded, the balance may be used to make awards under the national HOME TA program, subject to congressional reprogramming approval, if applicable. The chart below shows the amounts available in dollars for Local TA by CD–TA program:

Local TA Area	НОМЕ	CHDO (HOME)	Homeless
Alabama	\$75,000	\$50,000	\$55,000
Alaska	45,000	50,000	30,000
Arkansas	50,000	75,000	55,000
California—Northern and Arizona, Nevada	400,000	425,000	300,000
California—Southern	425,000	450,000	275,000
Caribbean	125,000	100,000	40,000
Colorado and Montana, North Dakota, South Dakota, Utah, Wyoming	175,000	195,000	60,000
Connecticut	70,000	80,000	40,000
District of Columbia area	70,000	80,000	50,000
Florida—Southern	75,000	60,000	25,000

Local TA Area	НОМЕ	CHDO (HOME)	Homeless
Florida—Northern	125,000	76,000	85,000
Georgia	125,000	100,000	55,000
Hawaii	65,000	65,000	45,000
Illinois	180,000	175,000	160,000
Indiana	130,000	75,000	25,000
Kansas and Missouri—Western	100,000	75,000	50,000
Missouri—Eastern	40,000	55,000	40,000
Kentucky	250,000	150,000	55,000
Louisiana	100,000	100,000	54,000
Maryland, except District of Columbia area	60,000	50,000	30,000
Massachusetts, Maine, New Hampshire, Rhode Island, Vermont	310,000	300,000	200,000
Michigan	175,000	225,000	150,000
Minnesota	100,000	140,000	60,000
Mississippi	150,000	250,000	50,000
Nebraska and Iowa	90,000	55,000	40,000
New Jersey	150,000	75,000	40,000
New Mexico	200,000	275,000	60,000
New York—Downstate	200,000	425,000	210,000
New York—Upstate	125,000	75,000	40,000
North Carolina	300,000	300,000	55,000
Ohio	150,000	190,000	70,000
Oklahoma	55,000	40,000	15,000
Oregon and Idaho	85,000	75,000	30,000
Pennsylvania—Eastern and Delaware	225,000	125,000	50,000
Pennsylvania—Western and West Virginia	150,000	100,000	49,000
South Carolina	65,000	34,000	40,000
Tennessee	150,000	175,000	40,000
Texas—Northern	150,000	175,000	85,000
Texas—Southern	85,000	40,000	0
Virginia, except District of Columbia area	100,000	100,000	40,000
Washington	100,000	65,000	40,000
Wisconsin	100,000	150,000	70,000
Houston	100,000	125,000	37,000

B. Performance Period. Awards will be for a period of up to 36 months. HUD, however, reserves the right to withdraw funds from a specific TA provider if HUD determines that the urgency of need for the assistance is greater in other field office jurisdictions or the need for assistance is not commensurate with the award.

C. Terms of Award. HUD will enter into a cooperative agreement with selected applicants for the performance period. Because CD—TA awards are made as cooperative agreements, implementation entails significant HUD involvement. Significant HUD involvement is required in all aspects of TA planning, delivery, and follow-up.

In addition to the requirements listed in the General Section, selected applicants are subject to the following requirements:

- i. Demand-Response System. All CD— TA awardees must operate within the structure of the demand-response system. Under the demand-response system, TA providers are required to:
- a. When requested by a GTR, market the availability of their services to existing and potential recipients within the jurisdictions in which the assistance will be delivered;
- b. Respond to requests for assistance from the GTR;

- c. When requested by a GTR, conduct a needs assessment to identify the type and nature of the assistance needed by the recipient of the assistance;
- d. Obtain the local HUD field office's approval before responding to direct requests for technical assistance from HOME Participating Jurisdictions (PJs), Community Housing Development Organizations (CHDOs), and McKinney-Vento Act Homeless Assistance and HOPWA grantees; and
- e. For ČHDO (HOME) TA providers, secure a letter from a PJ stating that a CHDO, or prospective CHDO to be assisted by the provider, is a recipient or intended recipient of HOME funds and indicating, at its option, subject areas of assistance that are most important to the PJ.
- 2. Training. When conducting training sessions as part of its CD–TA activities, CD–TA providers are required to:
- a. Design the course materials as "step-in" packages so that HUD or other CD–TA providers may independently conduct the course on their own;
- b. Make the course materials available to the GTR in sufficient time for review (minimum of three weeks) and receive concurrence from the GTR on the content and quality prior to delivery;
- c. Provide all course materials in an electronic format that will permit wide

distribution among TA providers, field offices, and HUD grantees;

- d. Arrange for joint delivery of the training with HUD participation when requested by the GTR;
- e. Deliver HUD-approved training courses that have been designed and developed by others on a "step-in" basis when requested; and
- f. Send trainers to approved "trainthe-trainers" sessions. The costs associated with attending these required sessions are eligible under the cooperative agreement.
- 3. Field Office Involvement under National TA awards. When National TA providers are undertaking activities in field office jurisdictions, the National TA providers must work cooperatively with HUD field offices. Providers must notify the applicable HUD field office of the planned activities; consider the views or recommendations of that office, if any; follow those recommendations, to the degree practicable; and report to the applicable field office on the accomplishments of the assistance.
- D. Certification of HOME and CHDO TA Providers Required–Beginning FY2008.

Many technical assistance providers have taken the HOME Certified Specialist—Regulations training and have passed the certification exam. Please note that beginning with the FY2008 NOFA, HUD intends to award higher points, under Rating Factor 1, to applicants delivering HOME or CHDO technical assistance that have staff who have taken and passed the HOME Certified Specialist—Regulations training and exam.

At least ten HOME Certified Specialist—Regulations training deliveries are scheduled for 2007 and a number of slots are set aside for TA providers at each of these deliveries. Registration information for these deliveries can be found on the web at: http://www.icfhosting.com/hcd/cpd/hcdcpd.nsf/webpages/Welcome.html.

III. Eligibility Information

A. Eligible Applicants. The eligible applicants for each of the four CD–TA programs are listed in the chart below. In accordance with the President's faith-based initiative, HUD welcomes the participation of eligible faith-based and other community organizations in the CD–TA programs.

Program	Eligible applicants
HOME	A for-profit or nonprofit professional and technical services company or firm that has demonstrated knowledge of the HOME program and the capacity to provide technical assistance services; A HOME Participating Jurisdiction (PJ);
	A public purpose organization, established pursuant to state or local legislation, responsible to the chief elected officer of a PJ:
	An agency or authority established by two or more PJs to carry out activities consistent with the purposes of the HOME program; or
	A national or regional nonprofit organization that has membership comprised predominantly of entities or officials of entities of PJs or PJs' agencies or established organizations.
CHDO (HOME)	A public or private nonprofit intermediary organization that customarily provides services, in more than one community, related to the provision of decent housing that is affordable to low-income and moderate-income persons or related to the revitalization of deteriorating neighborhoods; has demonstrated experience in providing a range of assistance (such as financing, technical assistance, construction and property management assistance) to CHDOs or similar organizations that engage in community revitalization; and has demonstrated the ability to provide technical assistance and training for community-based developers of affordable housing. Note: Any organization funded to assist CHDOs under CD-TA may not undertake CHDO set-aside activities itself within its service area while under cooperative agreement with HUD.
Homeless	A state; A unit of general local government;
	A public housing authority; or A public or private nonprofit or for profit organization, including educational institutions and area-wide planning organizations.
HOPWA	A for-profit or nonprofit organization; A state; or A unit of general local government.

Applicants must also meet the threshold requirements of the General Section, including the Civil Rights threshold in Section III (C).

A consortium of organizations may apply for one or more CD–TA programs, but one organization must be designated as the applicant.

Applicants may propose assistance using in house staff, sub contractors, sub recipients, and local organizations with the requisite experience and capabilities. Where appropriate, applicants should make use of TA providers located in the field office jurisdiction receiving services.

B. Cost Sharing or Matching. None.

C. Other

1. Eligible Activities and Priorities. Funds may be used to provide TA to grantees, prospective applicants, and project sponsors of the HOME, CHDO (HOME), Homeless, and HOPWA programs. For each of the TA programs, activities may include but are not limited to written information such as papers, manuals, guides, and brochures; assistance to individual communities; needs assessments; and training. TA should include information needed by

the grantee to meet all Fair Housing and section 504 requirements. The priority TA areas for each of the four program areas are:

- a. HOME TA. HUD has identified four HOME program technical assistance priorities. These priorities that result in measurable performance outputs and outcomes are:
- (1) Improve the ability of PJs to design and implement housing programs that reflect sound underwriting, management, and fiscal controls; demonstrate measurable outcomes in the use of public funds; and provide accurate and timely reporting of HOME program accomplishments.
- (2) Encourage public-private partnerships that yield an increase in the amount of private dollars leveraged for HOME-assisted projects and result in an increase in the commitment and production of HOME-assisted units.
- (3) Assist PJs in developing strategies that ameliorate the affordability gap between rapidly increasing housing costs and the less rapid growth in incomes among low-income households, especially among underserved populations (e.g., residents of the Colonias, homeless persons,

- persons with disabilities, and residents of an empowerment zone (EZ) designated by HUD or the United States Department of Agriculture (USDA), an urban or rural renewal community designated by HUD (RC), or an enterprise community designated in round II by USDA (EC–II).
- (4) Assist PJs in developing strategies that increase and help sustain homeownership opportunities for low-income households—particularly low-income, minority households—and directly result in the commitment and completion of HOME-assisted units.

Some examples of measurable performance outputs and outcomes are given in Rating Factor 5.

b. CHDO (HOME) TA.

- (1) HUD has identified three CHDOspecific technical assistance priorities. These priorities that result in measurable performance outputs and outcomes are:
- (a) Assist new CHDOs and potential CHDOs in developing the organizational capacity to own, develop, and sponsor HOME-assisted projects. A new CHDO is defined as a nonprofit organization that within three years of the publication of this NOFA was

determined by a PJ to qualify as a CHDO. A potential CHDO is defined as a nonprofit organization that is expected by the PJ to qualify as a CHDO and is expected to enter into a written agreement with that PJ to own, develop, or sponsor HOME-assisted housing within 24 months of the PJ determining the organization qualifies as a CHDO.

(b) Improve the HOME program production and performance of existing

CHDOs in the areas of:

(i) Program design and management, including underwriting, project financing, property management, and

compliance; and

(ii) Organizational management and capacity, including fiscal controls, board development, contract administration, and compliance systems.

- (c) Provide organizational support, technical assistance, and training to community groups for the establishment of community land trusts, as defined in section 233(f) of the Cranston-Gonzales National Affordable Housing Act.
- (2) Additional CHDO (HOME) eligible activities are:
- (a) Under the "Pass-Through" provision, CD–TA providers may propose to fund various operating expenses for eligible CHDOs that own, develop, or sponsor HOME-assisted housing. Such operating expenses may include reasonable and necessary costs for the operation of the CHDO including salaries, wages, and other employee compensation and benefits; employee education, training and travel; rent; utilities; communication costs; taxes; insurance; equipment, materials, and supplies.

(b) CD—TA providers must establish written criteria for selection of CHDOs receiving pass-through funds. PJs must designate the organizations as CHDOs; and, generally, the organizations should not have been in existence more than

three years.

CD–TA providers must enter into an agreement with the CHDO that the agreement and pass-through funding may be terminated at the discretion of HUD if no written legally binding agreement to provide assistance for a specific housing project (for acquisition, rehabilitation, new construction, or tenant-based rental assistance) has been made by the PJ with the CHDO within 24 months of initially receiving passthrough funding. The pass-through amount, when combined with other capacity building and operating support available through the HOME program, cannot exceed the greater of 50 percent of the CHDO's operating budget for the year in which it receives funds, or \$50,000 annually.

- c. Homeless TA. Homeless TA funds are available to provide McKinney-Vento Homeless Assistance Act, HUDfunded grantees, project sponsors, and potential recipients with skills and knowledge needed to develop and operate projects and activities. The assistance may include, but is not limited to, developing and disseminating written information such as papers, monographs, manuals, curriculums, guides, and brochures; and person-to-person exchanges, conferences, training and use of technology. TA activities are focused on these priorities that result in measurable performance outputs and outcomes:
- (1) Assist CoCs with Homeless Management Information System (HMIS) implementation. National technical assistance will relate to data collection, data quality, data analysis, provider participation, reporting, performance measurement, data warehousing, and HMIS Data and Technical Standards.
- (2) Maintain and enhance the HMIS Web site portal as the vehicle for collection and dissemination of HMIS information.
- (3) Support collaboration between metropolitan, regional and statewide HMISs. Assistance may include providing state and/or regional HMIS technical assistance coordinators and/or technology to promote effectuating long-distance meeting, conferencing and networking.
- (4) Support collaboration between metropolitan, regional, and statewide HMISs for use in disaster preparedness and recovery efforts, utilizing the experience of communities that experienced Hurricanes Katrina and Rita.
- (5) Improve participation in the Annual Homeless Assessment Report (AHAR) by CoCs and providers in their geographic areas through outreach and capacity building. Develop materials and training for: Reporting bed coverage; extrapolation and data analysis methodologies and documents; data integration; data quality assessments; utilization of AHAR data at the program and/or CoC level; and the collection and analysis of CoC data for Congressionally-directed HMIS-related reports to Congress.
- d. HOPWA TA. HOPWA funds are available for technical assistance, training, and oversight activities which can be used to provide grantees, project sponsors, and potential recipients with the skills and knowledge to effectively develop, operate, and support HOPWA-eligible project activities that result in measurable performance outputs and

outcomes. TA activities are focused on these priorities:

(1) Improve the capacity of HOPWA grantees and project sponsors to execute long-term comprehensive housing strategies by developing housing plans that integrate permanent housing and supportive services, thereby promoting HOPWA's national performance goals of increasing housing stability, reducing risks of homelessness, and improving access to care for HOPWA beneficiaries.

(2) Develop national models that effectively integrate AIDS housing strategies into consolidated planning and Continuum of Care planning

processes.

(3) Develop curriculums and related training tools to assist grantees and project sponsors in coordinating HOPWA permanent housing assistance with mainstream medical and supportive service resources, including Ryan White CARE Act, state, local, and private resources.

(4) Conduct training activities to improve the capacity of grantees and project sponsors to increase the availability of affordable housing opportunities for eligible persons in high incidence HIV/AIDS communities with substantial unmet housing needs (e.g., emerging populations, in major disaster areas and underserved rural areas.)

(5) Develop training materials to promote use of Homeless Management Information Systems in the provision of HOPWA-assisted housing and coordination of supportive services for

eligible homeless persons.

(6) Develop technical assistance plans in collaboration with HUD field office oversight for local HOPWA-assisted housing programs. It is estimated that up to 40 percent of HOPWA TA funds will be made available for this purpose.

2. DUNS Requirement. Refer to the General Section for information regarding the DUNS requirement. Applicants must obtain a DUNS number to receive an award from HUD.

3. Other Eligibility Requirements. All applicants requesting funding from programs under this NOFA must be in compliance with the applicable threshold requirements found in the General Section. Applicants that do not meet these requirements will be ineligible for funding.

4. False Statements. An applicant's false statement in an application is grounds for denial or termination of an award and grounds for possible punishment as provided in 18 U.S.C.

001.

5. Environmental review. Most activities under the CD–TA program are categorically excluded and not subject to environmental review under 24 CFR 50.19(b)(9) or (13), but in the case of CHDO (HOME) TA eligible activities, a proposal for payment of rent as part of CHDO operational costs will be subject to environmental review by HUD under 24 CFR part 50. If an applicant proposes to assist CHDO operating expenses that include rent, the application constitutes an assurance that the applicant and CHDO will assist HUD to comply with 24 CFR part 50; will supply HUD with all available and relevant information to perform an environmental review for the proposed property to be rented; will carry out mitigating measures required by HUD or select an alternate property; and will not lease or rent, construct, rehabilitate, convert or repair the property, or commit or expend HUD or non-HUD funds for these activities on the property to be rented, until HUD has completed an environmental review to the extent required by 24 CFR part 50. The results of the environmental review may require that the proposed property be rejected.

IV. Application and Submission Information

A. Addresses to Request Application Package. Applications must be received and validated by Grants.gov no later than 11:59:59 p.m. Eastern time on the application deadline date. HUD must receive paper copy applications from applicants that received a waiver no later than 11:59:59 p.m. on the application deadline date. See the General Section for application submission and timely receipt procedures and for instructions on how to request a waiver. Paper applications will not be accepted unless the applicant has received a waiver of the electronic submission requirement.

B. Content and Form of Application Submission. Applicants must submit a separate application for each National TA and Local TA area program for which they are applying. For example, an applicant for National TA for HOME and for Local TA in three field office jurisdictions would submit four separate and distinct applications.

A completed application consists of an application submitted by an authorized official of the organization and contains all relevant sections of the application, as shown in the checklist below in Section IV.B.4.

1. Number of Copies. This information will be included in approval letters to applicants submitting a waiver request.

2. Page Limitation. Narratives addressing Factors 1–5 are limited to no more than 25 typed pages. That is, reviewers will not review more than 25 pages for all five factors combined, except that the page limit does not include the Form HUD–96010, Logic Model.

- 3. Prohibition on Materials Not Required. Materials other than what is requested in this NOFA are prohibited. Reviewers will not consider résumés, charts, letters, or any other documents attached to the application.
- 4. Checklist for Application Submission. Applicants submitting electronic copies should follow the procedures in Sections IV.B. and F. of the General Section. The following checklist is provided as a guide to help ensure that you submit all the required elements. For applicants receiving a waiver of the electronic submission, the paper submission must be in the order provided below. All applicants should enter the applicant name, DUNS number, and page numbers on the narrative pages of the application. All forms are available when you download the application and instructions from https://apply.grants.gov/ forms_apps_idx.html.
- —SF-424, Application for Federal Assistance (see General Section)
- —An Application Cover Page indicating in bold (a) the type of TA proposed in the application whether HOME National, CHDO National, CHDO Local, Homeless National, Homeless Local, or HOPWA National (b) the amount of funds requested; and (c) for Local TA, the jurisdiction proposed in the application.
- —A one-page Summary describing (a) each major component of the proposed TA approach; (b) the proposed cost of each major component; and (c) whether the component is integrally related to another component in order to be successful.
- —Narrative addressing Factors 1–5
- -HUD-96010, Logic Model
- —HUD–424–CB, Grant Application Detailed Budget Form (see General Section)
- —HUD-424-CBW, Detailed Budget Worksheet for Non-Construction Projects (see General Section)
- —If applying for CHDO (HOME) TA, statement as to whether the organization proposes to pass through funds to new CHDOs.
- —If applying for the CHDO (HOME) TA, a certification as to whether the organization qualifies as a primarily single-state provider under section 233(e) of the Cranston-Gonzales Affordable Housing Act.
- —SF–LLL, Disclosure of Lobbying Activities (see General Section)

—HUD–2880, Applicant/Recipient Disclosure/Update Report (see General Section)

—SF-424, Supplement, Survey on Equal Opportunity for Applicants

—HUD–96011, Facsimile Transmittal (required for electronic submissions of third party documents)

C. Submission Dates and Times. Your completed application must be received and validated electronically by Grants.gov no later than 11:59:59 p.m. eastern time on the deadline date.

D. Intergovernmental Review. Intergovernmental review is not applicable to CD–TA applications.

E. Funding Restrictions. An organization may not provide assistance to itself. An organization may not provide assistance to another organization with which it contracts or sub-awards funds to carry out activities under the TA award.

Funding from HOME and from CHDO (HOME) TA to any single eligible organization (excluding funds for organizational support and housing education "passed through" to CHDOs), whether as an applicant or sub-recipient is limited to not more than 20 percent of the operating budget of the recipient organization for any one-year period of each cooperative agreement. In addition, funding under either HOME or CHDO (HOME) TA to any single organization is limited to 20 percent of the \$18,000,000 made available for HOME and CHDO (HOME) TA in FY2007.

Not less than 40 percent of the approximately \$8,000,000 for CHDO (HOME) shall be made available for eligible TA providers that have worked primarily in one state. HUD will consider an applicant as a primarily single state TA provider if it can document that more than 50 percent of its past activities in working with CHDOs or similar nonprofit and other organizations (on the production of affordable housing, revitalization of deteriorating neighborhoods, and/or the delivery of technical assistance to these groups) was confined to the geographic limits of a single state.

No fee or profit may be paid to any recipient or sub-recipient of an award under this CD-TA NOFA.

F. Other Submission Requirements. The General Section describes application submission procedures and how applicants may obtain proof of timely submission.

Waiver of Electronic Submission Requirements. Applicants interested in applying for funding under this NOFA must submit their applications electronically or request a waiver from the Community Development Technical Assistance program. Applicants should submit their waiver requests in writing by e-mail. Waiver requests must be submitted no later than 15 days prior to the application deadline date and should be submitted to <code>Mark_A._Horwath@hud.gov</code>. Instructions regarding the number of copies to submit and the address where they must be submitted will be contained in any approval of the waiver request. Paper submissions must be received at the appropriate HUD office(s) no later than the deadline date.

V. Application Review Information

A. Criteria. The maximum number of points to be awarded for a CD–TA application is 100. The minimum score for an application to be considered for funding is 75 with a minimum of 20 points on Factor 1. The CD–TA program is not subject to bonus points, as described in the General Section.

Points are assigned on five factors. When addressing Factors 2–5, applicants should discuss the specific TA activities that will be carried out during the term of the cooperative agreement. Applicants should provide relevant examples to support the proposal, where appropriate. Applicants should also be specific when describing the communities, populations, and organizations that they propose to serve and the specific outcomes expected as a result of the TA.

Factor 1 relates to the capacity of the applicant and its relevant organizational experience. Rating of the "applicant" or the "applicant's organization and staff" includes in-house staff and any subcontractors and sub-recipients which are firmly committed to the project. In responding to Factor 1, applicants should specify the experience, knowledge, skills, and abilities of the applicant's organization and staff, and any persons and organizations firmly committed to the project.

Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience (30 points) (Minimum for Funding Eligibility—20 points)

a. (10 points) Recent and successful experience of the applicant's organization in providing TA in eligible activities and to eligible entities for the HOME, CHDO (HOME), Homeless, or HOPWA. CD–TA programs, as applicable.

In rating this factor, HUD will consider the extent to which the application demonstrates successful experience within the last four years of providing TA related to the applicable CD–TA program.

b. (10 points) Depth of experience in managing multiple TA tasks, to multiple

entities, and in more than one geographic area.

In rating this factor, HUD will consider the extent to which the application demonstrates ability to manage TA assignments effectively.

c. (10 points) Knowledgeable key personnel skilled in providing TA in one or more of the eligible activities for HOME, CHDO (HOME), Homeless, and/or HOPWA programs, as applicable; a sufficient number of staff or ability to procure qualified experts or professionals with the knowledge, skills, and abilities to deliver the proposed level of TA in the proposed service area in a timely and effective fashion; and an ability to provide CD—TA in a geographic area larger than a single city or county.

In rating this factor, HUD will consider the extent to which the application demonstrates the organization has an adequate number of key staff or ability to procure individuals with the knowledge of effective TA approaches and knowledge of HOME, CHDO (HOME), Homeless, or HOPWA, as applicable.

Rating Factor 2: Need/Extent of the Problem (10 points)

- a. For National TA applications: Sound and extensive understanding of need for TA in relation to the priorities listed in Section III C of this NOFA as demonstrated by objective information and/or data, such as information from HOME Snapshots, current census data, the American Housing Survey, or other relevant data sources.
- b. For Local TA applications: Sound and extensive understanding of high priority needs for TA in the jurisdiction as demonstrated by objective information and/or data, such as information from HOME Snapshots, current census data, the American Housing Survey, or other relevant data sources.

In rating this factor, HUD will evaluate the extent to which the application demonstrates an understanding of the specific needs for TA and supports the description of need with reliable, program-specific, quantitative information. Applicants for HOME should, at a minimum, draw on HOME Snapshot information to demonstrate PJs' needs, in an area or nationwide, for additional training and capacity building. See http://www.hud.gov/offices/cpd/affordablehousing/programs/home/snapshot/index.cfm.

Rating Factor 3: Soundness of Approach (40 points)

a. (25 points) (1) For National TA applications: A sound approach for addressing the need for eligible TA activities in relation to the priorities listed in Section III C of this NOFA that will result in positive outcomes.

(2) For Local TA applications: A sound approach for addressing high priority needs for TA in the jurisdiction that will result in positive outcomes.

In rating this factor, HUD will evaluate the extent to which the application presents and supports a detailed, feasible, practical approach for addressing TA needs (Local TA applications) or CD—TA program priorities (National TA applications), including techniques, timeframes, goals, and intended beneficiaries, and the likelihood that these activities will be cost-effective and will result in positive outcomes.

b. (10 points) A feasible work plan for designing, organizing, managing, and carrying out the proposed TA activities under the demand-response system.

In rating this factor, HUD will evaluate the applicant's understanding of the demand-response system and the extent to which the application demonstrates the efficiency of the design, organization, and management of the proposed activities.

c. (5 points) An effective assistance program to specific disadvantaged communities, populations, and/or organizations which previously have been underserved and have the potential to participate in the CD–TA program (such as the Colonias, an empowerment zone (EZ) designated by HUD or the United States Department of Agriculture (USDA), an urban or rural renewal community designated by HUD (RC), an enterprise community designated in round II by USDA (EC–II), or homeless persons and persons with disabilities).

In rating this factor, HUD will evaluate the extent to which the applicant has identified and has documented, using reliable data, specific communities, populations, or organizations that have been disadvantaged or previously underserved communities, populations, or organizations and has developed an effective strategy for engaging their participation in the HOME, CHDO (HOME), Homeless, or HOPWA program, as applicable.

Rating Factor 4: Leveraging Resources (10 points)

An efficient practical method to transfer manuals, guides, assessment

forms, other work products, models, and lessons learned in its CD-TA activities to other CD-TA grantees and/or HOME, CHDO (HOME), Homeless, or HOPWA

program beneficiaries.

In rating this factor, HUD will evaluate the extent to which the application demonstrates a costeffective means of sharing resources developed under the CD-TA activities with a wide audience, including sharing information with other TA providers in the CD-TA program.

Rating Factor 5: Achieving Results and Program Evaluation (10 points)

a. (5 points) An effective, quantifiable evaluation plan for measuring performance using the Logic Model with specific outcome measures and benchmarks, including—for HOME applicants—performance improvements as measured by the HOME Snapshot indicators.

In rating this factor, HUD will evaluate the extent to which the application has an evaluation plan that includes outcomes and is specific, measurable, and appropriate in relation

to the activities proposed.

b. (5 points) Successful past performance in administering HUD CD-TA programs or, for applicants new to HUD's CD-TA Programs, successful past performance in providing TA in other community development programs. Applicants should include, as applicable, increases in CPD or community development program accomplishments as a result of TA (e.g., number of homeless people or persons with HIV/AIDS receiving housing and services, efficiency or effectiveness of administration of CPD or community development programs, number of affordable housing units, HOME Snapshot indicators, timeliness of use of CPD or community development program funds).

In rating this factor, HUD will evaluate the extent to which the application demonstrates successful past performance that was timely and resulted in positive outcomes in the delivery of community development TA. HUD will also consider past performance of current CD-TA providers, including financial and other

information in HUD's files.

B. Review and Selection Process

1. Review Types. Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements.

Second, HUD will review and assign scores to applications using the Factors for Award noted in Section V.A.

2. Ranked Order.

a. Once rating scores are assigned, rated applications submitted for each National TA program and for each Local TA program will be listed in ranked order. Applications within the fundable range (score of 75+ points with 20+ points for Factor 1) may then be funded in ranked order under the CD-TA program and service area for which they applied.

b. For purposes of coordinating activities on a national basis, HUD reserves the right to select a single national provider to carry out activities,

as follows:

(1) one for HOPWA technical assistance activities, including national products and local support;

(2) one for HMIS technical assistance

(3) one for HOME and one for CHDO technical assistance activities.

- 3. Threshold Eligibility Requirements. All applicants requesting CD-TA must be in compliance with the applicable threshold requirements found in the General Section and the eligibility requirements listed in Section III of this NOFA in order to be reviewed, scored, and ranked. Applications that do not meet these requirements and applications that were received after the deadline (see Section IV.C of the General Section) will be considered ineligible for funding.
- 4. Award Adjustment. In addition to the funding adjustment authority provided for in the General Section, HUD reserves the right to adjust funding amounts for each CD-TA selectee. The amounts listed in the charts in Section II.A are provided to assist applicants to develop Local TA or National TA budgets and do not represent the exact amounts to be awarded. Once TA applicants are selected for award, HUD will determine the total amount to be awarded to any selected applicant based upon the size and needs of each of the selected applicant's service areas, the funds available for that area and CD-TA program, the number of other CD-TA applicants selected in that area or CD-TA program, and the scope of the TA to be provided.

Additionally, HUD may reduce the amount of funds allocated for field office jurisdictions to fund National CD-TA providers and other CD-TA providers for activities that cannot be fully budgeted for or estimated by HUD Headquarters or field offices at the time this NOFA was published. HUD may also require selected applicants, as a condition of funding, to provide coverage on a geographically broader basis than proposed in order to supplement or strengthen the CD-TA network in terms of the size of the area

covered and types and scope of TA proposed.

If funds remain after all selections have been made, the remaining funds may be distributed among field offices for Local TA and/or used for National TA, or made available for other CD-TA program competitions.

VI. Award Administration Information

A. Award Notices. HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance.

After selection, HUD requires that all selected applicants participate in negotiations to determine the specific terms of the cooperative agreement, including the budget. Costs may be denied or modified if HUD determines that they are not allowable, allocable, and/or reasonable. In cases where HUD cannot successfully conclude negotiations with a selected applicant or a selected applicant fails to provide HUD with requested information, an award will not be made to that applicant. In this instance, HUD may offer an award, and proceed with negotiations with the next highestranking applicant.

After selection for funding but prior to executing the cooperative agreement, the selected applicant must develop in consultation with the GTR, a Technical Assistance Delivery Plan (TADP) for each National TA award. The TADP must be approved by the GTR and delineate the tasks for each CD-TA program the applicant will undertake during the performance period. For Local TA awards and generally for National TA awards, prior to undertaking individual tasks, the selected applicant must develop in consultation with the GTR a Work Plan for specific activities. The TADP and the Work Plans must specify the location of the proposed CD-TA activities, the amount of CD-TA funding and proposed activities by location, the improved program performance or other results expected from the CD-TA activities, and the methodology to be used for measuring the success of the CD-TA. A detailed time schedule for delivery of the activities, budget summary, budget-by-task, and staffing plan must be included in the TADP and Work Plans.

After selection, but prior to award, applicants selected for funding will be required to provide HUD with their written Code of Conduct if they have not previously done so and it is recorded on the HUD Web site at http:// www.hud.gov/offices/adm/grants/codeofconduct/sconduct.cfm.

B. Administrative and National Policy Requirements. After selection for funding but prior to award, applicants must submit financial and administrative information to comply with applicable requirements. These requirements are found in 24 CFR part 84 for all organizations except states and local governments whose requirements are found in 24 CFR Part 85. Cost principles requirements are found at OMB Circular A-122 for nonprofit organizations, OMB Circular A-21 for institutions of higher education, OMB Circular A-87 for states and local governments, and at 48 CFR 31.2 for commercial organizations. Applicants must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability.

See the General Section for requirements for Procurement of Recovered Materials.

The requirements to Affirmatively Further Fair Housing do not apply.

C. Reporting. CD-TA awardees will be required to report to the GTR on, at a minimum, a quarterly basis unless otherwise specified in the cooperative agreement. As part of the required report to HUD, grant recipients must include a completed Logic Model (HUD 96010), which identifies output and outcome achievements. For FY 2007, HUD is considering a new concept for the Logic Model. The new concept is a Return on Investment (ROI) statement. HUD will be publishing a separate notice on the ROI concept.

VII. Agency Contacts

A. For Assistance. Applicants may contact HUD Headquarters at 202–708–3176, or they may contact the HUD field office serving their area shown in Section VII.B. Persons with hearing and speech challenges may access the above numbers via TTY (text telephone) by calling the Federal Relay Service at 800–877–8339 (this is a toll-free number). Information may also be obtained through the HUD Web site on the Internet at www.hud.gov.

B. List of Field Office Addresses. Applicants that receive a waiver of the electronic application submission requirements and need to submit copies of their application to HUD field offices should consult the following Web site for a listing of the HUD field office addresses to send Local TA applications: http://www.hud.gov/offices/cpd/about/staff/fodirectors/index.cfm.

At the site, the map allows the user to click on an area to obtain the field office address and other contact information.

VIII. Other Information

A. Paperwork Reduction Act. The information collection requirements contained in this document have been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520) and assigned OMB control numbers 2506-0166 and 2506-0133. In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a currently valid OMB control number. Public reporting burden for the collection of information is estimated to average 60 hours for the application and grant administration. This includes the time for collecting, reviewing, and reporting the data. The information will be used for grantee selection and monitoring the administration of funds. Response to this request for information is required in order to receive the benefits to be derived.

B. HUD Reform Act. The provisions of the HUD Reform Act of 1989 that apply to the CD-TA program are explained in the General Section.