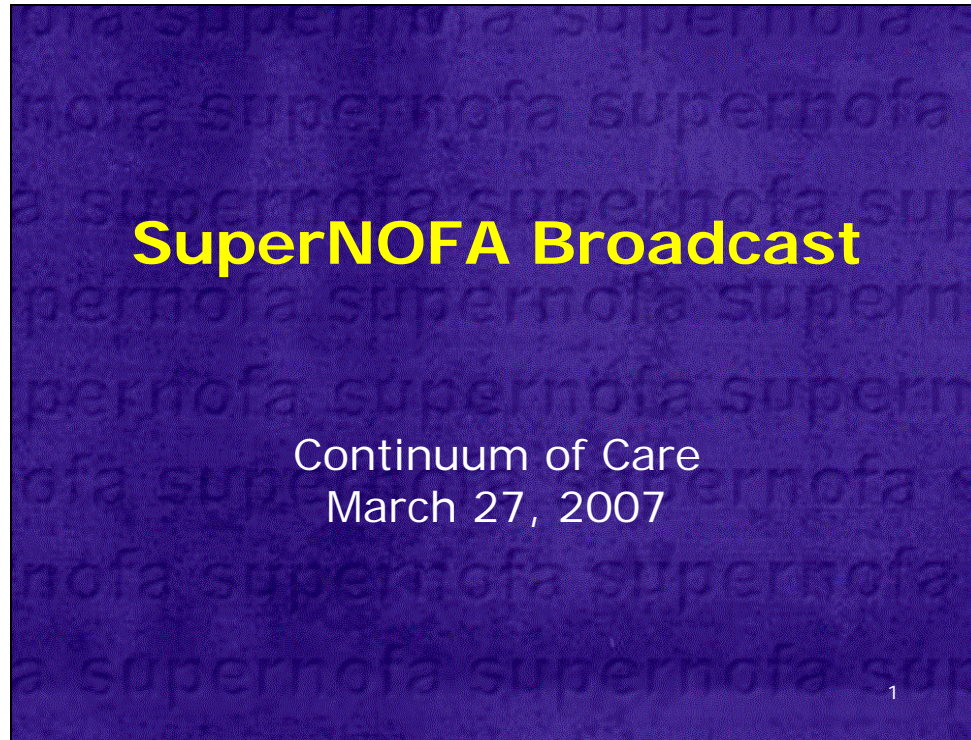
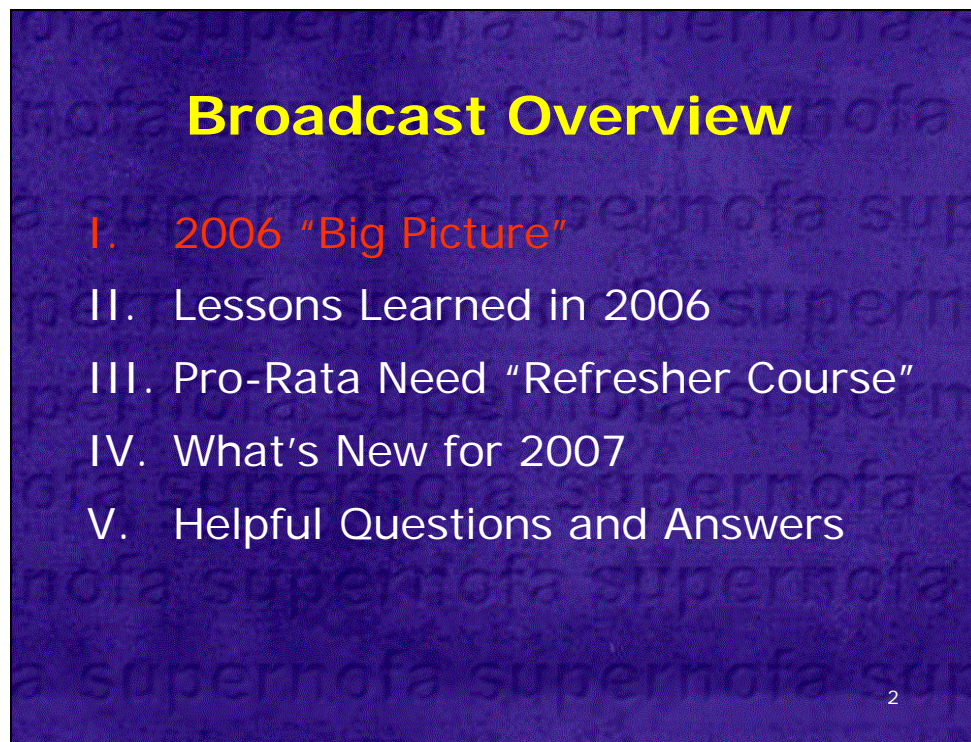


Slide 1



Slide 2



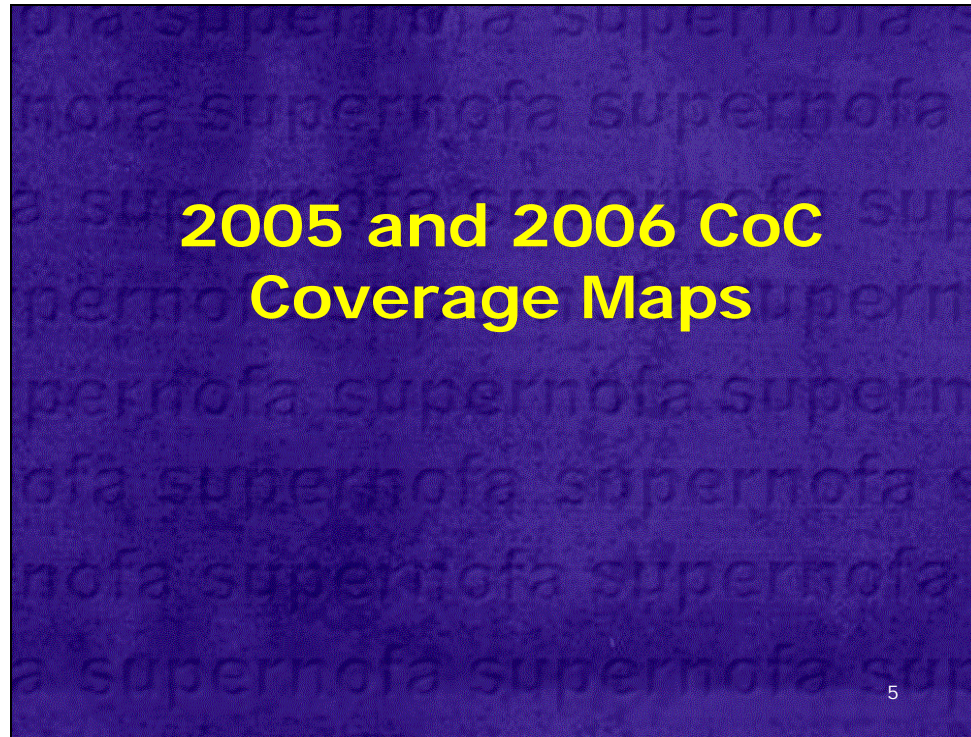
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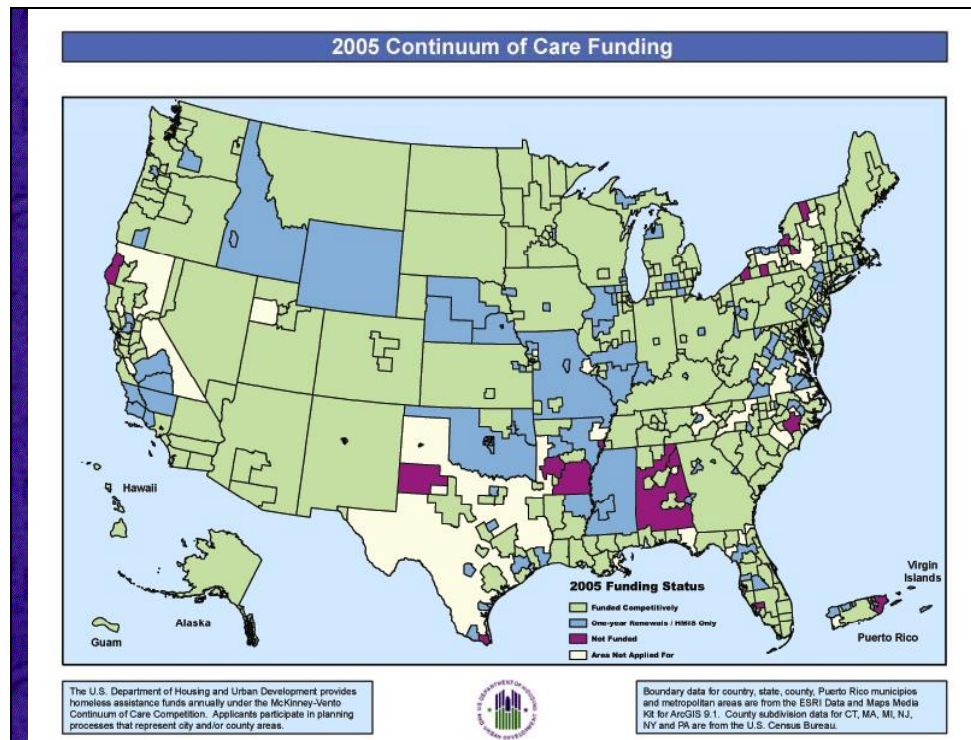
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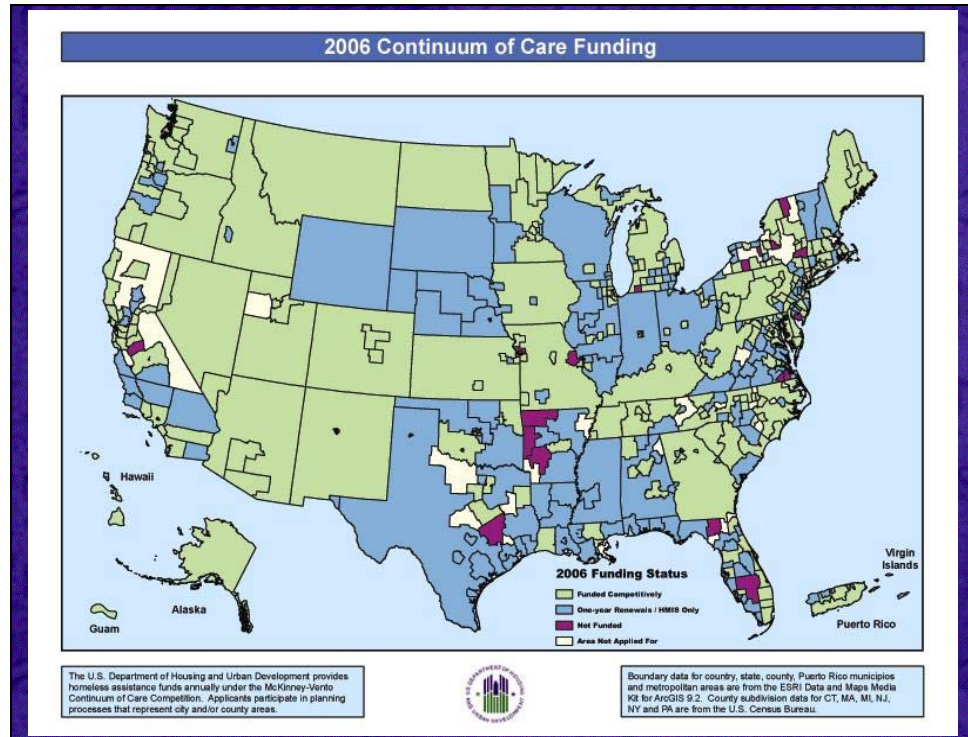
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Slide 6



Slide 7



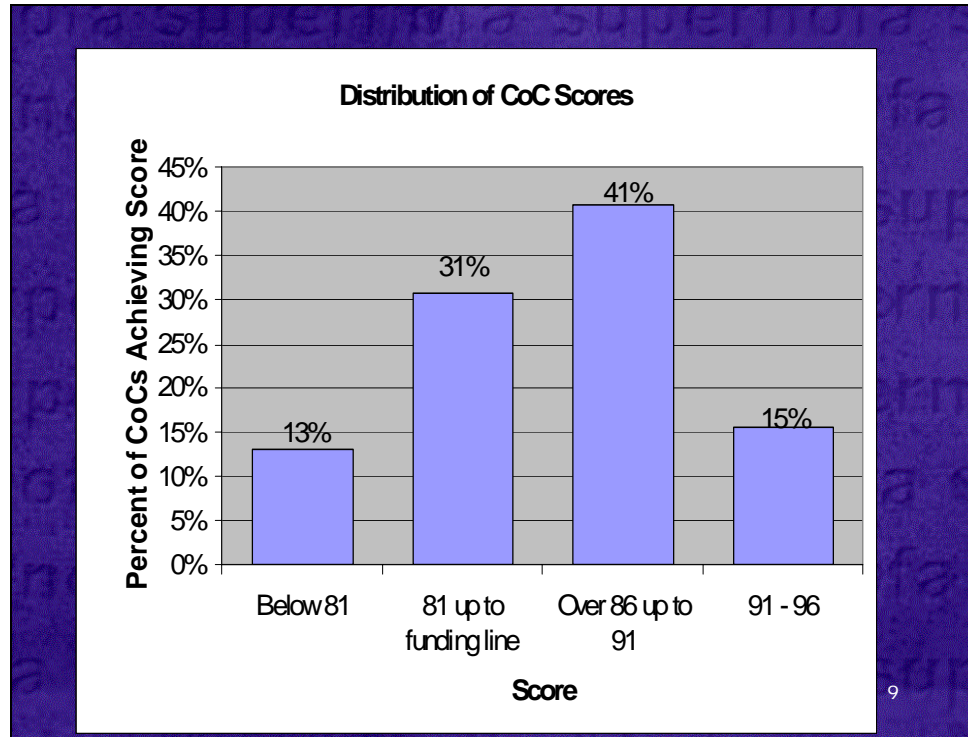
Slide 8

## 2006 Scores

- High Score was 95
- Funding Line was above 86

8

Slide 9



Slide 10

## **Funding and Renewals**

- ☀ **5,289 Total Projects Funded**
- ☀ **436 CoCs Funded**
  - ◆ 256 CoCs got new *and* renewal funding, for a total of \$993 million
  - ◆ 180 CoCs got 1-year renewals only, for a total of \$212 million

10

Slide 11

## New Project Funding

2005	12%	599	\$241 million
2006	10%	514	\$192 million

11

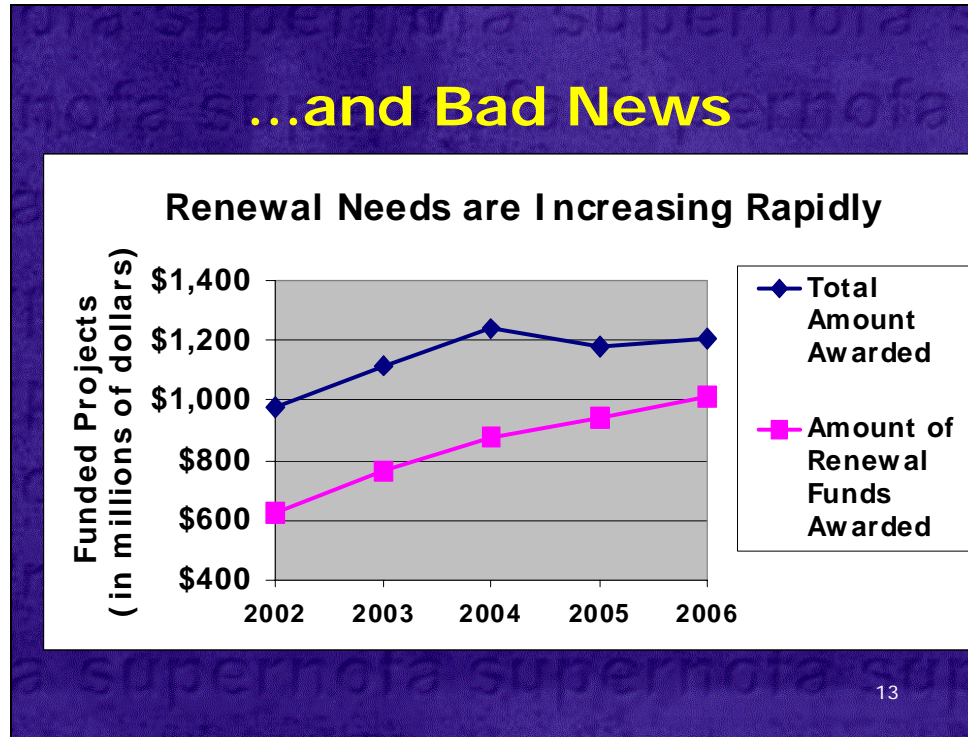
Slide 12

## Good News...

- Appropriations have increased over time, but...

12

Slide 13



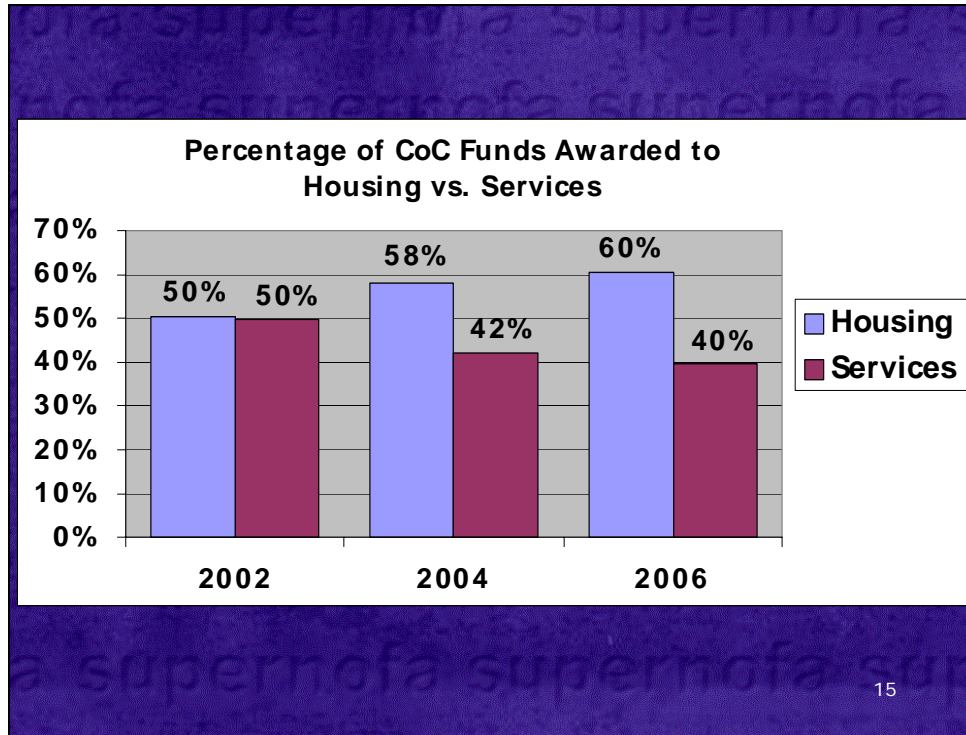
Slide 14

## Housing vs. Services

- ☀ CoCs have responded to HUD's emphasis on housing

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Slide 15



Slide 16

## Chronic Homelessness

- ☀ 24% of all funds went to projects targeting the chronically homeless
- ☀ 213 CoCs received Samaritan Bonus funds, for \$69 million
- ☀ Reductions in chronic homelessness:
  - ☐ 171 CoCs reported reductions
  - ☐ 60% of those who did a street count showed a decrease

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## 2006 APR Highlights

☀ In 2006, HUD's programs helped...

- ◆ Nearly 30,000 chronically homeless persons move into permanent housing
- ◆ Nearly 70,000 people were employed upon exit of HUD homeless projects
- ◆ Provide supportive housing to over 310,000 homeless persons

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Slide 18

## First Annual Homeless Assessment Report

☀ First of yearly reports

☀ Findings: in 2005, approximately 754,000 homeless persons

☀ Techniques for calculation:

- ◆ Data from CoC applications
- ◆ 3-month HMIS count, sample of 80 communities

18

Slide 19

## **Annual Homeless Assessment Report**

- Expect all CoCs to participate within a few years
- Data quality is important!
- See 2007 HUD Resources (last 3 slides of this presentation) for web links to:
  - Annual Homeless Assessment Report (AHAR)
  - CoC Maps & Reports
    - 2005 CoC Exhibit 1 Data

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Slide 20

## **Part I: CoC Organizational Structure (8 points)**

- A. Lead Organization Chart
- B. Geography Chart
- C. Groups and Meetings Chart
- D. Planning Process Organization Chart
- E. Governing Process Chart
- F. Project Review and Selection Chart
- G. Written Complaints Chart

20

## **Part I: CoC Organizational Structure (Charts A-G)**

☀ Most CoCs did very well

☀ Average score: 7.6 out of 8 points

21

## **Part II: Housing and Service Needs (12 Points)**

H. Services Inventory

I. Housing Inventory

J. Housing Inventory Sources & Methods

K. Homeless Population and Subpopulations Chart

L. Population and Subpopulations Data Sources & Methods

M. HMIS

22

Slide 23

## **Part II: Housing and Service Needs (Charts H-M)**

- Most CoCs did very well
- Average score: 10.5 out of 12 points

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## **Housing and Services Needs (Chart H)**

- In some applications the information was missing
- Make sure that each area is properly filled out

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Slide 25

## **Housing Inventory Chart (Chart I)**

- All charts should have been completed.

## **Housing Inventory Sources and Methods (Chart J)**

- All applicable box(es) must have been checked

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Slide 26

## **Homeless Population and Subpopulation Chart (Chart K)**

- You should have included the Homeless Population, Sheltered, Unsheltered and the Total
- All calculations should have been correct

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## Population and Subpopulations Data Sources & Methods (Chart L)

- All applicable boxes should have been checked.

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## Homeless Management Information System (Chart M) (5 points)

- All information should have been completely filled out in **ALL** areas.
- Average Score: 4.2 out of 5 points

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## **Part III: Strategic Planning (10 Points)**

- N. 10-year Plan, Objectives, Action Steps
- O. Discharge Planning Policy Chart
- P. Coordination Charts
- Q. Project Priorities Chart
- R. Pro Rata Need Reallocation Charts
- S. Project Leveraging
- T. Renewal Projection Charts

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## **Part III: Strategic Planning (Charts N-T)**

🌟 Average score: 7.3 out of 10 points

30

## **10-year Plan, Objectives, Action Steps Chart (Chart N)**

- Local Action steps needed to have been linked to each objective
- Measurable achievements should have had numeric values; e.g. 24% employed and 100 beds.
- CoCs should have only listed one responsible entity

31

## **Discharge Planning Policy Chart (Chart O)**

- Should not have indicated discharge to McKinney-Vento funded projects
- Many responses were too vague

32

## **Mind Your Ps and Qs**

### **CoC Coordination Chart (Chart P)**

- Applications indicated a high level of coordination

### **Project Priorities Chart (Chart Q)**

- Needs to be filled out correctly

33

## **Pro Rata Need Reallocation Chart (Chart R)**

- Hold Harmless Status means the CoCs 1-year sum for SHP renewals exceeded initial PRN
- Reallocation was ONLY for CoCs in Hold Harmless Status

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## **Project Leveraging (Chart S)**

- 200% or more in leveraging = full credit
- 100% leveraging = half credit

## **Renewal Projection Chart (Chart T)**

- Charts should have been filled out with all future renewals

35

## **Part IV: Performance (18 Points)**

- U. Achievements
- V. Chronic Homeless (CH) Progress
- W. Housing Performance Chart
- X. Mainstream Programs & Empl. Chart
- Y. Mainstream Programs Chart
- Z. Unexecuted Grants
- AA. Energy Star Chart
- AB. Section 3 Employment Policy Chart
- AC. Removal of Regulatory Barriers

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## **Achievements Chart (Chart U)**

- Clearly stated goals
- Measurable numeric achievements
- Stay tuned for upcoming 2007 update

## **Chronic Homeless Progress (Chart V)**

- Reductions in chronic homelessness

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## **Housing Performance Chart (Chart W)**

- 2006 Goal: 70.5% of all participants remained in PH for at least 6 months

■ 2006 Achievement: 73.5%

- 2006 Goal: 61% of participants in TH moved to PH

■ 2006 Achievement: 62.4%

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## **Mainstream/Employment Performance Chart (Chart X)**

- Many CoCs met the goal
- The measure has changed for "07." This will be discussed in the "What's new for 2007" section.

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## **Mainstream Programs Chart (Chart Y)**

- The majority of applicants did well in this section

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## **Unexecuted Grants (Chart Z)**

- ☀ List all unexecuted grants
- ☀ CoCs with grants awarded prior to the 2005 competition (2004 and before) that remained unexecuted as of the 2006 competition submission deadline, received less credit

41

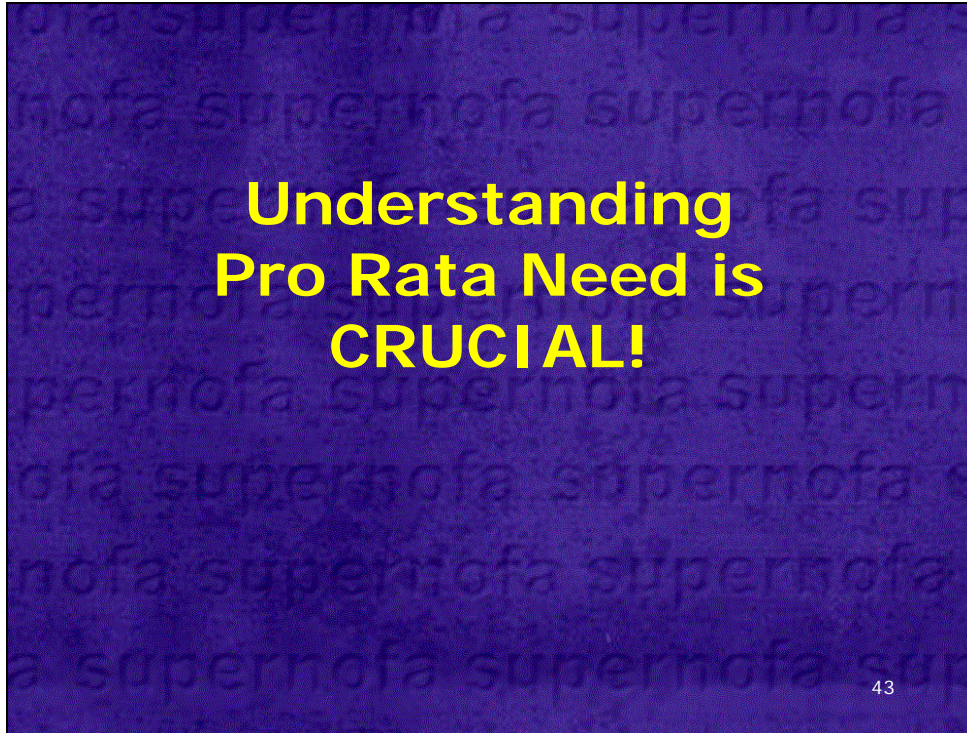
Slide 42

## **Energy Star/Section 3/ Regulatory Barriers (Charts AA, AB, AC)**

- ☀ All applicable boxes should have been checked

42

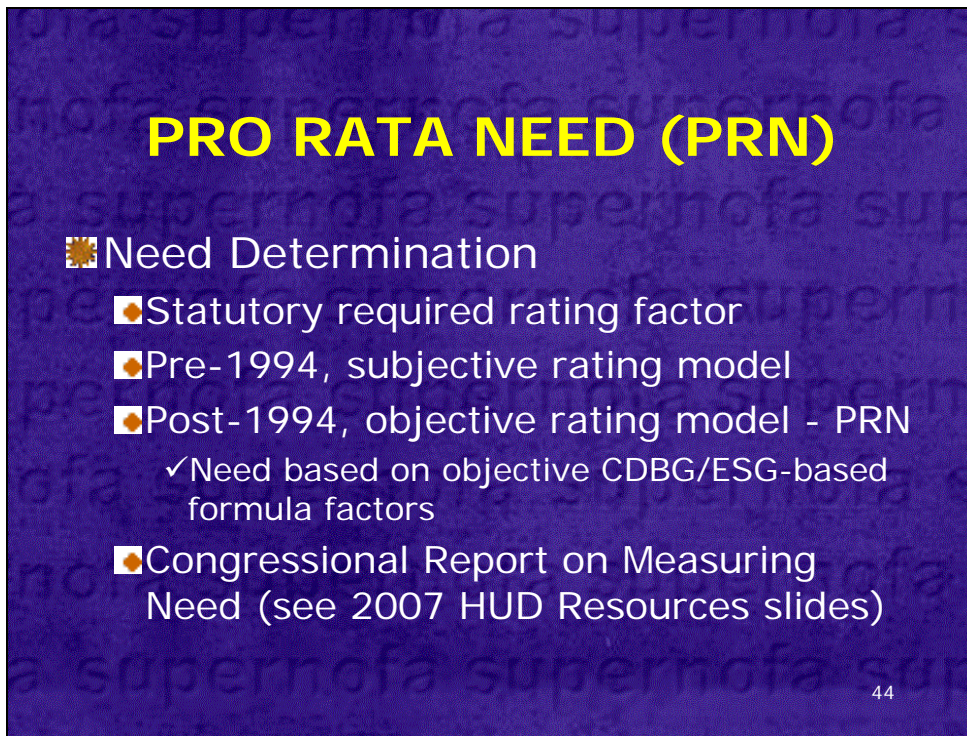
Slide 43



**Understanding  
Pro Rata Need is  
CRUCIAL!**

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**PRO RATA NEED (PRN)**

- ☀️ Need Determination
  - ▣ Statutory required rating factor
  - ▣ Pre-1994, subjective rating model
  - ▣ Post-1994, objective rating model - PRN
    - ✓ Need based on objective CDBG/ESG-based formula factors
  - ▣ Congressional Report on Measuring Need (see 2007 HUD Resources slides)

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## PRN COMPONENTS

- ☀ Preliminary Pro Rata Need (P/PRN)
- ☀ Hold Harmless Need (HHN)
- ☀ Samaritan Bonus (SB)
  - ◆ If eligible project and amount
- ☀ Final Pro Rata Need (F/PRN)
  - ◆ Higher of (P/PRN or HHN) and SB amount

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## PRELIMINARY PRN (P/PRN)

- ☀ CoC geography based on CDBG universe of jurisdictions
  - ◆ 4,109 metro cities, urban counties, all other counties
- ☀ Annual changes in qualifying communities and their demographics

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## P/PRN REMINDERS

- ☀ Failure to include all geographies in Exhibit 1, Chart B will reduce the CoC's pro rata need amount.
- ◆ Entitlement communities within a county must be listed in Exhibit 1.
- ◆ Listing a county without listing entitlement communities in the county will reduce the PRN for the CoC.

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## 2007 PRELIMINARY PRN (P/PRN)

- ☀ Used latest CDBG universe and applied CDBG/ESG formula
- ☀ Four new jurisdictions carved from respective counties

CITY	PRN	FROM COUNTY
Marco Island, FL	\$9,472	Collier
Meridan, ID	\$28,986	Ada
Franklin, TN	\$34,623	Williamson
Pearland, TX	\$31,989	Brazoria

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## **HOLD HARMLESS NEED (HHN)**

- HUD commitment to provide each CoC with enough funding to meet SHP renewal need for one year
- FO and CoCs identify ALL SHP grants expiring Jan. 1- Dec. 31, 2008
- HUD establishes average annual renewal amount of SHP projects eligible for renewal

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## **HHN (cont.)**

- CoC determines if P/PRN or HHN is higher and makes project selection decisions
- HUD verifies determination of P/PRN or HHN for CoC:
  - ◆ Computer analysis of project submissions
  - ◆ FO verification
  - ◆ Chart R – CoC Pro Rata Need Reallocation

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## Hold Harmless Need (HHN) Reallocation

### 2005 and 2006 Method

- CoC in HHN status allowed to reduce or eliminate existing SHP renewal projects and reallocate those funds to a new PH project
- Minimum 2 year grant term for new PH project

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## HHN Reallocation (Cont.)

### 2005 and 2006 Method

- New PH project was subject to new project standards in the competition
  - ✓Eligible, project quality
- New PH project had to score above the national funding line

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## 2007 Hold Harmless Need Reallocation

- CoCs in Hold Harmless Need (HHN) status may reduce or eliminate SHP renewal project(s) to fund new PH project(s)
- CoCs MUST:
  - Verify HHN amount with FO
  - Make HHN Reallocation claim with FO

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## 2007 HHN Reallocation (cont.)

- CoC must complete Chart R in Exhibit 1
  - List SHP renewal project(s) to be reduced and/or eliminated
  - List the **NEW** SHP, SPC or SRO project(s) that will receive reallocated funds

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## 2007 HHN Reallocation (cont.)

### ☀ Allowable grant terms:

- ☀ SHP-PH: 1, 2, or 3 years
- ☀ SPC: 5 years; 10 years (SRO)
- ☀ SRO: 10 years

- New project(s) will be funded if:
  - CoC must complete Chart R in Exhibit 1
  - List SHP renewal project(s) to be reduced and/or eliminated
  - List the **NEW** SHP, SPC or SRO project(s) that will receive reallocated funds

55

## 2007 HHN Reallocation (cont.)

### ☀ New PH project(s) with reallocated funds:

- ☀ **DOES NOT** have to target chronic homeless
- ☀ **CANNOT** be #1 Samaritan Bonus project

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## Samaritan Bonus (SB)

### ☀️ Maximum bonus amount:

- ◆ 15% of P/PRN up to \$6M

### ☀️ Qualifications:

- ◆ #1 priority **NEW** permanent housing project (SHP/SPC/SRO)
- ◆ Serving 100% chronically homeless persons
- ◆ SHP projects may use up to 20% of project request for case management

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## Samaritan Bonus (cont.)

### ☀️ CoCs in P/PRN status **may add** funds to SB project

- ◆ Funds added to SB project will come from CoC's PRN

### ☀️ CoC's in HHN status **cannot** add funds to SB project

- ◆ This is a change from 2006 application

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## Examples of F/PRN Calculation

- ☀ CoC in P/PRN status; no SB project
- ☀ CoC in P/PRN status **with** SB project
- ☀ CoC in HHN status; no SB project
- ☀ CoC in HHN status **with** SB project
- ☀ CoC in HHN status with Reallocation

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## P/PRN Case Example #1

P/PRN = \$10,000; HHN = \$2,000

SB = \$0 (not claimed); F/PRN = \$10,000

Priority #	Prog.	Amt.	Term	New/ Renew	Need Points
1	SHP	\$2,000	2	New	40
2	SHP	\$2,000	1	Renew	40
3	SPC	\$6,000	5	New	40
4	SHP	\$10,000	3	New	10
5	SHP	\$3,000	2	New	5

F/PRN Line (\$10,000)

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## P/PRN Case Example #2

P/PRN = \$10,000; HHN = \$2,000

SB = \$1,500;

F/PRN = \$11,500 (\$10,000 + \$1,500)

Priority #	Prog.	Amt.	Term	New/Renew	Need Points
1	SHP	\$3,500	2	New	40
2	SHP	\$2,000	1	Renew	40
3	SPC	\$6,000	5	New	40
4	SHP	\$8,000	3	New	10

Final PRN Line = \$11,500

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## HHN Case Example #1

P/PRN = \$10,000, HHN = \$15,000,

SB = \$0; F/PRN = \$15,000

Priority #	Prog.	Amt.	Term	New/Renew	Need Points
1	SHP	\$3,000	1	Renew	40
2	SHP	\$2,000	1	Renew	40
3	SHP	\$5,000	1	Renew	40
4	SHP	\$5,000	1	Renew	40
		\$15,000			

F/PRN line = \$15,000

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## HHN Case Example #2

P/PRN = \$10,000, HHN = \$15,000,  
 SB = \$1,500; SB = 15% of P/PRN  
 F/PRN = \$16,500

Priority #	Prog.	Amt.	Term	New/Renew	Need Points
1	SHP	\$1,500	1	New	40
2	SHP	\$3,000	1	Renew	40
3	SHP	\$2,000	1	Renew	40
5	SHP	\$5,000	1	Renew	40
6	SHP	\$5,000	1	Renew	40
		\$16,500			

F/PRN funding line = \$16,500

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## HHN Reallocation

P/PRN = \$10,000; HHN = \$15,000;

SB = \$1,500; F/PRN = \$16,500 (\$15,000 + \$1,500)

<div>New SB project</div> <div>2 projects reduced by \$5,000 (total)</div> <div>\$5,000 in reallocated funds for new PH project (#5)</div>	Priority #	Prog.	Amt.	Term	New/Renew	Need Points
	1	SHP	\$1,500	1	New	40
	2	SHP	\$3,000	1	Renew	40
	3	SHP	\$2,000	1	Renew	40
	4	SHP	\$3,000	1	Renew	40
	5	SHP	\$5,000	1	New	40
	6	SHP	\$2,000	1	Renew	40
			\$16,500			

F/PRN funding line = \$16,500

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## 2007 CoC Competition "Biggies"

- NOFA Issuance: Tuesday, March 13
- Application Deadline: Friday, June 8
- Applications must be placed in service on or before June 8, 2007
- Recommend express delivery service
- Get receipt – proof of submission

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## 2007 CoC Application Information -- Scoring

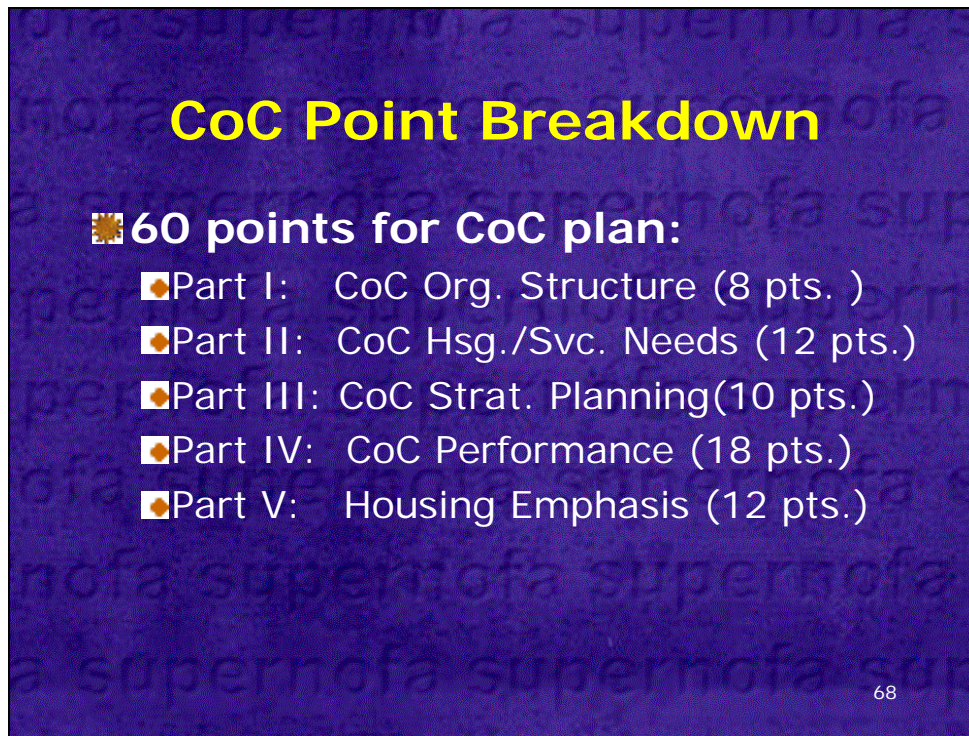
- No change from 2006
- 100 points possible:
  - 40 points for Need:
    - ✓ 40, 10, or 5 points
  - 60 points for CoC plan

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Slide 67



Slide 68



Slide 69

## Part I: CoC Organizational Structure

### ☀ Chart E: Governance Chart

- ◆ Informational, just as in 2006
- ◆ Questions Modified
- ◆ Section Completed = full credit

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## Part II: CoC Housing and Service Needs

### ☀ Chart H: Housing Services Chart

- ◆ You may use the exact same chart submitted in 2006

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## Part II: CoC Housing and Service Needs:

### ☀ Chart I: Housing Inventory Charts:

- ☐ Consolidated HMIS participation codes & now includes code for DV
- ☐ Add asterisk\* after facility name to projects that are HUD McKinney-Vento funded
- ☐ "Total Year-Round Beds" section at bottom of each chart – enhanced

71

## Part II: CoC Housing and Service Needs

### ☀ Chart K: Populations and Subpopulations Chart

- ☐ Added row for "Number of Households Without Dependent Children"
- ☐ The chart format is in Excel – the rows and columns will auto-total
- ☐ PIT count required in 2007

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## Part II: CoC Housing and Service Needs

### ■ Chart L: Population and Subpopulations Data Sources and Methods

- There were clarifying changes in the methods

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## Part II: CoC Housing and Service Needs

### ■ Chart M: HMIS Chart

(M-5)

- 75% coverage date-info only
  - ✓ Challenges & barriers
  - ✓ There were changes for data-collection, completeness and coverage

74

## Part III: CoC Strategic Planning

### ☀ Chart N: CoC 10-Year Plan, Objectives, and Action Steps Chart

- ☐ Have met or exceeded all objectives in 2006 (based on APR reporting)
- ☐ New "Baseline" column – enter level currently achieving
- ☐ New "Barriers" section
- ☐ Keep working to increase even when you achieve objectives

75

## Part III: CoC Strategic Planning

### ☀ Chart O: Discharge Planning Policy

- ☐ A discharge plan should **not** discharge to homelessness, including McKinney-Vento funded beds, the streets or shelters.
- ☐ Look at example in instructions
- ☐ Chart simplified

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## Part III: CoC Strategic Planning

### ☀ Chart Q: Project Priorities Chart

- ◆ New check box – indicates Samaritan Bonus project

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## Part III: CoC Strategic Planning

### ☀ Chart R: CoC Pro Rata Need Reallocation Chart

- ◆ Hold Harmless Reallocation policy change – If you score 65 or above you will be funded
- ◆ If you are **not** in hold harmless status and you do reallocation and score below the national funding line the new projects will not get funded

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## Part III: CoC Strategic Planning

### ■ Chart T: Current 2007 Funding and Renewal Projections (2008 – 2012)

- Current-year amount & renewal estimates for 5 yrs for SHP and S+C

### ■ Renewal estimates for 2008-2012 assume:

- No new funds, only track renewals
- Same initial PRN rules/amount as 2007
- Same rules for Hold Harmless as 2007

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## Part III: CoC Strategic Planning (Chart T cont.)

- Estimate from CoC ensures more accurate reporting to Congress
- Help CoC project future renewal needs

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## Part IV: Performance

### ☀ Chart U: CoC Achievements Chart

- ☛ Make sure you're using **2006** objectives and reporting on **2006** Achievements

### ☀ Chart V: CoC Chronic Homeless Progress Chart

- ☛ Worksheet on web
- ☛ Now tracking Operations/ Development funding

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## Mainstream/Employment Chart (Chart X)

- ☀ We have a new measure of employment
- ☀ It is focused on the percentage of persons employed at exit

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**Housing Performance  
(Chart W)**  
**Mainstream Program  
(Chart Y)**  
**Unexecuted Grants  
(Chart Z)**

☀ Remained the same for 2007

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**2007 CoC Exhibit 2**

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## **Project Exhibit 2 Overview**

- Changes for 2007
- Section I: Project Summary
- Section II: Project Budgets
- Section III: New Project Narrative
- Section IV: Applicant Certification  
(Complete one per applicant, not  
per each project)
- Logic Model Instructions

85

## **Exhibit 2 – Project 2007 Changes and Common Mistakes**

- There weren't very many changes  
for this section
- Instruction/Guidance Changes
  - Grant term language clarification
  - Reinstated "Total Costs" line  
for all SHP budgets

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## Section I: Project Summary Information

- ☀️ Part A: Gen. Project Information
  - 📦 Check box for Samaritan Bonus
- ☀️ Part C: PIT Housing & Participants Chart
  - 📦 Reworded "Participants" category

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## Section I: Project Summary Information

- ☀️ Part G: Participation in HMIS
  - 📦 Revised to obtain information about client level data collection

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## Section II: SHP Project Budgets

### ■ Part I1: Common Mistakes

- ◆ Incorrect terms for projects
- ◆ Incorrect FMR
- ◆ Incorrect Math

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## Section II: SHP Project Budgets (cont.)

### ■ Parts I2, I3, I5 SHP Budgets

- ◆ Reinstated supportive services, operating and HMIS budgets "Total Costs" line
- Easier to calculate cash match

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## Section II: Project Budgets

- Part J: S+C and Section 8 SRO Budgets

- Published FMRs

- October 1, 2006 Final 2007 FMRs

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## Section II: S+C and SRO Budgets

- FMRs for Awarded Projects

- Applications requesting 100% of FMR rents get updated

- Actual rents below (1 to 99%) don't get updated, and exception rents above (101 to 110%) published FMR do not get updated

92

## Section II: S+C and SRO Budgets

### ☀️ Part J: Common Mistakes

- ❖ Incorrect or missing FMR jurisdictions
- ❖ Mixed FMR designations for different unit sizes, HUD locks them in at 99% (e.g. applicant checks 1 to 99% of FMR and 100% of FMR boxes)
- ❖ PHA/HUD letter missing – defaults to published FMR, and they are upgraded
- ❖ Incorrect term for project

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## Section III: New Project Narratives

### ☀️ Part L: Supportive Services

- ❖ Format Changed
- ❖ Clearer assessment of type and frequency of services offered

### ☀️ Parts K, and M through P

- ❖ Remain the same

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## Applicant Certification

- Do not attach to the project application
- Not attached to Exh. 2 this year
- Required for all applicants
- Complete one per applicant
- Must be signed and dated by the authorized certifying official for the project(s)

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## Logic Model Changes

- See page 3394 of Federal Register for HUD Goals and Policy Priorities
- More drop down options
- Different pages for term years
- Logic Model Helpful Hints – see 2007 Resources slides for 2007 CoC NOFA information web link

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## Final Tips

- Be sure to read all application material
- Fill out all parts of all charts!
- Have "fresh eyes" review your application before submitting it
- Essential Competition documents:  
see 2007 HUD Resources slides for  
2007 CoC NOFA Information web link

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## 2007 HUD Resources

### ■ HUD Homeless Listserv:

[www.hud.gov/subscribe/signup.cfm?listname=HomelessASST-L](http://www.hud.gov/subscribe/signup.cfm?listname=HomelessASST-L)

### ■ HMIS Listserv:

[www.hud.gov/subscribe/signup.cfm?listname=HMIS.INFO%20Listserv&list=HMIS-INFO-L](http://www.hud.gov/subscribe/signup.cfm?listname=HMIS.INFO%20Listserv&list=HMIS-INFO-L)

### ■ 2006 CoC Debriefing Information:

[www.hud.gov/offices/cpd/homeless/library/coc/2006debriefinginformation.pdf](http://www.hud.gov/offices/cpd/homeless/library/coc/2006debriefinginformation.pdf)

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## 2007 HUD Resources (cont.)

■ Report to Congress on Measuring Need:  
[www.hud.gov/offices/cpd/homeless/library/determiningprneed.pdf](http://www.hud.gov/offices/cpd/homeless/library/determiningprneed.pdf)

■ CoC Maps and Reports:  
[www.hud.gov/offices/cpd/homeless/local/index.cfm](http://www.hud.gov/offices/cpd/homeless/local/index.cfm)

■ 2007 CoC NOFA information:  
[www.hud.gov/offices/adm/grants/nofa07/grpcoc.cfm](http://www.hud.gov/offices/adm/grants/nofa07/grpcoc.cfm)

■ AHAR:  
[www.hud.gov/offices/cpd/homeless/ahar.cfm](http://www.hud.gov/offices/cpd/homeless/ahar.cfm)