

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5300-C-13]

**Notice of Funding Availability for Fiscal Year (FY) 2009
Self-Help Homeownership Opportunity Program (SHOP); Clarifications and Technical
Corrections**

AGENCY: Office of the Assistant Secretary for Community Planning and Development, HUD

ACTION: Notice of corrections and clarifications.

SUMMARY: This notice makes clarifying and other corrections to the FY 2009 SHOP NOFA that was posted on the Grants.gov website on July 13, 2009. Specifically, this correction: (1) clarifies the requirement for applicants to reduce energy costs, (2) clarifies the requirement for font size; (3) clarifies the requirement that the page limit is four pages for rating factor 2, and (4) corrects a posting error that resulted in the Tables 1 and 2 being misprinted in the posted document. A revised version of the Notice, which incorporates these corrections, will be posted on the HUD website at <http://www.hud.gov/offices/adm/grants/fundsavail.cfm>.

DATES: The application submission deadline for the SHOP NOFA is being extended until September 28, 2009.

FOR FURTHER INFORMATION CONTACT: Lou Thompson, Office of Affordable Housing Programs, Office of Community Planning and Development, Department of Housing and Urban Development, 451 Seventh Street, SW, Room 7168, Washington DC 20410-7000; telephone 202-402-4594 (this is not a toll-free number). Persons with speech or hearing impairments may access this telephone number via TTY by calling the toll-free Federal Information Relay Service during working hours at 800-877-8339.

SUPPLEMENTARY INFORMATION:

On July 13, 2009, HUD posted its Notice of Fiscal Year (FY) 2009 Notice of Funding Availability (NOFA) for the Self-Help Homeownership Opportunity Program (SHOP). The SHOP NOFA announced the availability of \$26,500,000 in FY 2009 funding to be awarded to national and regional nonprofit organizations and consortia which facilitate and encourage innovative homeownership opportunities for low-income individuals and families. The NOFA was posted on Grants.gov website http://apply07.grants.gov/apply/forms_apps_idx.html, and a link to Grants.gov was also made available on the HUD website at <http://www.hud.gov/offices/adm/grants/fundsavail.cfm>. Several provisions of the posted NOFA raised questions among applicants. The SHOP NOFA also contained a posting error that resulted in combining tables 1 and 2 to Rating Factor 5 into one table. To clarify questions raised by applicants and to correct the posting error, HUD is correcting the FY 2009 SHOP NOFA as follows. For the convenience of applicants, a revised version of the NOFA, which incorporates these corrections, will be posted on the HUD website at www.hud.gov

Corrections

1. On page 13, Section III.C.4.e., HUD is clarifying the requirement for applicants to reduce energy costs to read as follows:

e. Reduce Energy Costs. Applicants are required to take specific energy-saving actions. In the new construction and/or rehabilitation of self-help housing, SHOP applicants must incorporate energy-efficiency measures in the design, construction, and/or rehabilitation of self-help housing units. All newly constructed units assisted with SHOP funds provided under this NOFA must qualify and receive certification as an Energy Star Qualified New Home by an independent Home Energy Rater upon completion. All appliances and products or features which are replaced in properties

that are rehabilitated must be Energy Star qualified. Energy Star equipment and products must be used that are appropriate for the Energy Star Climate Zone in which the rehabilitated unit is located.

2. On page 15, Section IV, B.1., HUD is clarifying the requirement for the font size to read as follows.

1. Page Limits. There are page limits for responses to the five rating factors. A national or regional organization is limited to 40 pages of narrative to respond to the five rating factors. A consortium is permitted up to 5 additional pages to address the past experiences of its individual consortium members. Required appendices, forms, certifications, statements, and assurances are not subject to the page limitations. **All pages must be numbered sequentially 1 through 40 or 45, based on an 8.5 by 11 inch paper, using a standard 12 point font. Text input into tables may not be less than a 9 point font. Material shown in a smaller font size for the narrative rating factors or tables, as applicable, will not be considered.** Your application may contain only the items listed in the Assembly Format and Content checklist below. In responding to the five factors, information must be included in your narrative response to each factor, unless this NOFA states that it should be included as an appendix. Information contained elsewhere, including in the Program Summary, will not be rated. Any supplemental information not required in the program summary, narratives or appendices requested by HUD that further explains information required in the five factors will not be reviewed for consideration in the scoring of the application. When naming attachment files to your electronic application, please remember not to exceed 32 characters in length and do not use spaces or special characters (anything other than

a number or a letter) in the file name. Failure to follow these instructions will result in your application being rejected with a virus detect error message. See the **General Section** for further details.

3. **On page 17, Section IV, B.2.b., HUD is clarifying the requirement that the page limit is four pages for Factor 2-Need/Extent of the Problem.** This agrees with the four page limit stated on page 27, Content Requirements for Rating Factor 2 (four page limit).

4. **On pages 37 through 42, HUD is replacing Table 1. Activities and Outputs, with Table 1. Activities and Outputs, and Table 2, Outcomes and Indicators, to read as follows:**

Table 1. Activities and Outputs	
Required	If Applicable
<p>Acquisition</p> <ul style="list-style-type: none"> • Acquisition of real property and infrastructure improvements-Same property-SHOP funded - Properties and Dollars. • Acquisition of real property only-SHOP funded - Properties and Dollars. <p>Infrastructure</p> <ul style="list-style-type: none"> • On-site improvements only-Completed-SHOP funded - Properties and Dollars. <p>Housing</p> <ul style="list-style-type: none"> • New Construction <ul style="list-style-type: none"> --Average estimated cost of construction cited in the secured building permits - Dollars. --Building permit(s) secured - Units. --Construction materials and equipment secured - Units. --Homeowners completing sweat equity - Households --Sweat Equity - Hours. --Volunteer Labor - Hours. • Rehabilitation 	<p>Business opportunities</p> <ul style="list-style-type: none"> • Other - Businesses and Dollars. • Section 3 - Businesses and Dollars. <p>Employment opportunities</p> <ul style="list-style-type: none"> • Other - Available Jobs and Persons. • Section 3 - Available Jobs and Persons. <p>Training Opportunities</p> <ul style="list-style-type: none"> • Other - Persons. • Section 3 - Persons. <p>Outreach</p> <ul style="list-style-type: none"> • Identify income-eligible homebuyers - outreach to single heads of households - Households. <p>Policy Priority</p> <ul style="list-style-type: none"> • Participation of Nonprofit Grassroots Community Based Organizations, including faith-based organizations (not existing affiliates) in the SHOP program - Organizations.

<ul style="list-style-type: none"> --Building permit(s) secured - Units. --Construction materials and equipment secured - Units. --Homeowners completing sweat equity - Households --Sweat Equity - Hours. --Volunteer labor - Hours. <ul style="list-style-type: none"> • Total number of certificates of occupancy received - Households. <p>Outreach</p> <ul style="list-style-type: none"> • Qualified homebuyers who obtained a home during period of performance - Households. <p>Energy Star</p> <ul style="list-style-type: none"> • Housing new construction-Design incorporates energy efficiency measures and/or meets Energy Star Standards - Units. • Housing rehabilitation - Design incorporates energy efficiency measures and/or meets Energy Star Standards - Units. 	<ul style="list-style-type: none"> • Providing Credit Counseling and Education for Families and Individuals – Enrolled - Households. • Providing Homebuyer Information for new homebuyers-Enrolled - Households. • Universal Design – Housing <ul style="list-style-type: none"> --New construction incorporates universal design - Units. --Rehabilitation incorporates universal design - Units. • Visitability - Housing <ul style="list-style-type: none"> --New construction incorporates visitability features - Units. --Rehabilitation incorporates visitability features - Units. <p>Other.</p>
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Table 2. Outcomes and Indicators

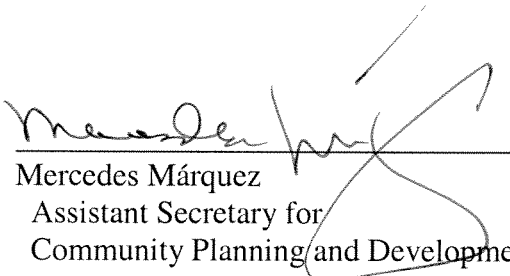
Required	If Applicable
<p>Housing</p> <ul style="list-style-type: none"> • New Construction <ul style="list-style-type: none"> --Average dollar increase of property tax assessments - Dollars --Equity-Increased equity value of all housing units - Dollars. • Rehabilitation <ul style="list-style-type: none"> --Average dollar increase of property tax assessments - Dollars. --Equity-Increased equity value of all housing units - Dollars. • Average increased homeownership rate - Percentage.* • Total number of housing units occupied by homebuyers - Units. • Average reduced sales price: <ul style="list-style-type: none"> --from 1-10% - Dollars and Units. --from 11-30% - Dollars and Units. --greater than 30% - Dollars and Units. • Increased Housing Stability <ul style="list-style-type: none"> --Average decreased housing costs - Dollars.** --Average increased assets in savings - Dollars.** • Total property tax from all units created during period of performance - Dollars. 	<p>Business Opportunities</p> <ul style="list-style-type: none"> • Other - Businesses and Dollars. • Section 3 - Businesses and Dollars. <p>Employment Opportunities</p> <ul style="list-style-type: none"> • Other - Available Jobs and Persons. • Section 3 - Available Jobs and Persons <p>Training Opportunities</p> <ul style="list-style-type: none"> • Other - Persons. • Section 3 - Persons. <p>Policy Priority</p> <ul style="list-style-type: none"> • Providing Credit Counseling and Education for Families and Individuals <ul style="list-style-type: none"> --Improved credit score - Households. --Average post test score - Score. --Classes/sessions completed - Households. • Providing homebuyer information for new homebuyers <ul style="list-style-type: none"> --Completed - Households. --Obtained mortgage - Households. • Universal Design - Housing <ul style="list-style-type: none"> --New construction incorporates universal design - Units. --Rehabilitation incorporates universal design - Units. • Visitability - Housing <ul style="list-style-type: none"> --New construction incorporates visitability standards - Units. --Rehabilitation incorporates visitability standards - Units. <p>Outreach</p> <ul style="list-style-type: none"> • Female headed households participating in the program -

	<p>Households.</p> <ul style="list-style-type: none"> • Male headed households participating in the program - Households. • Children in female headed households who benefited from the program - Children. • Children in male headed households who benefited from the program - Children. <p>Other.</p>
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*This is the average percentage increase of additional homeowner units in the community.

**This is the average percentage of reduced housing costs to the families or increased assets (equity) to the household.

Date: SEP 10 2009


 Mercedes Márquez
 Assistant Secretary for
 Community Planning and Development

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