



OFFICE OF POLICY DEVELOPMENT
AND RESEARCH

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-6000

Transformation Initiative: Sustainable Communities Research Grant Program
[Docket No. FR-5415-N-24]

FY10 Notice of Public Interest (NOPI)

Frequently Asked Questions

(refer to the NOPI Announcement)

1. Who is considered the applicant?

Nationally recognized and accredited institutions of higher education; non-profit foundations, think tanks, research consortia or policy institutes, and for-profit organizations located in the U.S. (**Overview**, Section G.3, p. 3)

2. Should the Principal Investigator and the Program Manager be a United States citizen?

Yes, this is a requirement of the NOPI. (**Overview**, Section G.3a, p. 3)

3. When is the preliminary application due?

Because the Office of Sustainable Housing and Communities (OSHC) is providing an additional \$1 million to support research on Sustainable Communities, **the preliminary application deadline is extended until March 4, 2011. The full amount of funding available through the NOPI is \$2.5 million.** (Refer to the *Technical Correction* posted on February 2, 2011)

4. What is the minimum award amount that may be requested? (**Overview**, Section G.2, p. 2)

\$150,000

5. What is the maximum award amount that may be requested? (**Overview**, Section G.2, p. 2)

\$500,000.

6. How many awards will be issued?

HUD anticipates awarding between 0 and 16.

7. How should the preliminary application be submitted?

The preliminary application and required accompanying documents must be submitted through grants.gov by March 4, 2011. The NOPI has instructions on what must be included, page length, and other formatting details. (**Section III**, Subsection d., p. 13)

8. What documents should accompany the preliminary application? (**Section IV**, Subsection A, pp. 14-15)

HUD Form SF-424 and the HUD Facsimile Sheet. The SF-424 form may be downloaded at this link: <http://apply07.grants.gov/apply/UpdateOffer?id=24930>.

Note: Enter a “1” in the number of faxed pages on the HUD Facsimile Transmittal document and indicate in the labeling for the document that no faxes are being sent. All documents should be sent electronically.

9. Would submitting the “optional documents” be advisable?

The optional documents may be submitted with the **full application only**. (**Section IV**, Subsection B, pp. 15-17)

10. Does the reference or citations page factor in the 5-page limit?

No.

11. Does the cover sheet factor in the 5-page limit?

No.

12. What information should appear on the cover page?

The name of the applicant and the name of the Principal Investigator or point of contact. (**Section III**, Subsection D, p. 13)

13. Should the name of the applicant or any person involved in the proposed research appear in the body of the proposal?

No. Applications must not identify the researcher, either directly or indirectly through citations. The preliminary application will be evaluated based on the merit of the proposed research. (**Section III**, Subsection D, p. 13)

14. Should budget figures appear in the body of the proposal?

No.

15. Is a detailed budget required to submit the preliminary application?

A detailed budget must be submitted with the full application only, not the preliminary application. (**Section IV**, Subsection B, p. 15)

16. Are indirect costs prohibited?

No. (**Section IV**, Subsection e, p. 16)

17. When will applicants be notified to submit a full application?

Approximately 30 days after the preliminary proposal deadline. (Refer to the *Technical Correction* posted on February 2, 2011)

18. When can applicants be expected to submit a full application in the event that they are invited to submit one?

Approximately 30 days after applicants are notified to submit the full application.

19. Can an applicant/organization submit more than one proposal?

Yes. The NOPI does not prohibit applicants/organizations from submitting more than one proposal.

20. When will awards be announced?

Early summer 2011.

21. Who should I contact for more information?

Regina Gray
Office of Policy Development and Research
Regina.C.Gray@hud.gov
(202) 402-2876

Note: Due to the high volume of calls, please email Regina at regina.c.gray@hud.gov.