

**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**  
**[Docket No. FR-5500-N-01A]**

**Amendment to HUD's Fiscal Year (FY) 2011 Notice of Funding Availability (NOFA) Policy Requirements and General Section to HUD's FY2011 NOFAs for Discretionary Programs**

**AGENCY:** Office of the Secretary, HUD.

**ACTION:** Notice.

**SUMMARY:** On April 4, 2011, HUD posted its FY 2011 General Section to Grants.gov. At that time, HUD's annual appropriations had not yet been enacted. This Notice amends HUD's FY 2011 General Section to reflect the appropriations made available to the Department as a result of the enactment of the Department of Defense and Full-Year Continuing Appropriations Act, 2011. This notice also updates the programs eligible to receive the Preferred Sustainable Communities Status Bonus Points to reflect the programs funded under HUD's FY2011 appropriations.

Finally, this notice also provides additional instructions to applicants submitting applications through Grants.gov regarding attaching documents to their application, restates the prohibition against reusing an application when submitting applications for multiple entities under the same competition, and advises applicants that the form HUD2995, Certification of Consistency with Sustainable Communities Planning and Implementation can be found in the instructions download to the application posted to Grants.gov. The Certification form HUD2995 has been included in program applications where the Preferred Sustainable Communities Status Bonus Points are applicable as a modification to the posting on Grants.gov. NOFAs posted on Grants.gov in the future will include the form as part of the posting.

**FOR FURTHER INFORMATION CONTACT:** Questions regarding specific program requirements should be directed to the agency contact identified in each program NOFA. Questions regarding the FY2011 General Section should be directed to the Office of Departmental Grants Management and Oversight (ODGMO) at 202-708-0667 (this is not a toll-free number) or the NOFA Information Center at 800-HUD-8929 (toll-free). Persons with hearing or speech impairments may access these numbers via TTY by calling the Federal Relay Service at 800-877-8339. The NOFA Information Center is open between the hours of 10:00 a.m. and 6:30 p.m. eastern time, Monday through Friday, except federal holidays.

## **SUPPLEMENTAL INFORMATION**

### **I. Amendment to Add Authority Citation for Funding Available in FY2011.**

On April 4, 2011, HUD published its FY2011 General Section on Grants.gov. On page 18 of the FY2011 General Section, HUD stated that the funding authority for HUD's discretionary programs would be posted in each program NOFA. Since that time, Congress passed and the President signed the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (Pub. L. 112-10, enacted April 15, 2011) (FY2011 Full-Year Appropriations Act). As a result, this Notice amends the FY2011 General Section to reflect the authority provided by the FY2011 Full-Year Appropriations Act. Therefore, the paragraph at Section I, page 18, entitled "Authority," is amended to read:

**Authority.** HUD's authority for making funding available under its FY2011 programs is identified in each program NOFA. Funding authority is provided by the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (Public Law 112-10, enacted April 15, 2011), plus any funds from previous years available for award in FY2011.

### **II. Amendment to the List of NOFAs Eligible for Preferred Sustainable Communities Status Bonus Points.**

The FY2011 Full-Year Appropriations Act did not fund several of the programs listed as eligible for the Preferred Sustainable Communities Status Bonus Points in the FY2011 General Section posted to Grants.gov on April 4, 2011. In the case of the Section 202 Supportive Housing for the Elderly (Section 202) and Section 811 Supportive Housing for Persons with Disabilities Program (Section 811) NOFAs, while funded for FY2011, HUD has amended its FY2010 Section 202 and Section 811 NOFAs to add the assistance made available by the FY2011 Full-Year Appropriations Act for these programs. The FY2010 General Section does not provide for Preferred Sustainable Communities Status Bonus Points. As a result HUD will not issue FY2011 NOFAs for these programs, nor will these programs offer Preferred Sustainable Communities Status Bonus Points. .

This Notice also informs applicants that the form HUD2995, Certification of Consistency with Sustainable Communities Planning and Implementation has been made available to all applications posted to date on Grants.gov. The listing found at Section V.A.1.b, page 64 of programs eligible for the Preferred Sustainable Communities Status Bonus Points is amended to conform the General Section to the FY2011 Full-Year Appropriation Act and HUD's decision to amend its FY2010 Section 202 and Section 811 NOFAs and not issue FY2011 NOFAs for these two programs. Therefore, the listing of program NOFAs eligible for the Preferred Sustainable Communities Status Bonus Points should read as follows:

HUD anticipates making the Preferred Sustainable Status Communities bonus points available in the following program NOFAs:

Asthma Interventions in Public and Assisted Multifamily Housing Grant Program  
 Capital Fund Education and Training Community Facilities Program  
 Choice Neighborhoods  
 Continuum of Care  
 Fair Housing Initiatives Program  
 Healthy Homes Production Healthy Homes Technical Studies  
 HOPE VI Main Street  
 HOPE VI Revitalization  
 Housing for Persons with AIDS (HOPWA)  
 Lead-Based Paint Hazard Control Program  
 Lead Hazard Reduction Demonstration  
 McKinney-Vento Homeless Assistance Programs Technical Assistance  
 Section 4 Capacity Building for Community Development and Affordable  
 Housing(Limited Competition)  
 Self-Help Homeownership Opportunity Program (SHOP)

HUD may revise this list at any time. Applicants are advised to carefully review the program NOFA for which they intend to apply to determine the availability of Preferred Sustainable Status Communities bonus points. Applicants should note that the form HUD2995, Certification of Consistency with Sustainable Communities Planning and Implementation has been made available to all applications posted to date on Grants.gov and can be found in the Instructions Download portion of the application at Grants.gov. All future funding notices where the Bonus Points are available will be posted at Grants.gov as part of the Instructions Download to the posted application.

### **III. Amendment to Add Additional Language Regarding Requirements for Attaching Files to Applications Submitted through Grants.gov and Use of the Adobe Forms Application Package available from Grants.gov.**

1. Section IV.B.6.d.(1), page 44, Narrative Statements to the Factors for Award, is amended to provide additional instructions to ensure that applicants follow the directions already provided and properly use the Attachment forms provided in the application and found in the instructions on Grants.gov, for attaching documents. The section starting with line 14 is amended to add an additional item (j) under the “Do Not” rules. The additional instruction labeled item (j) reads as follows:

(j) **DO NOT** submit attachments using the Adobe paper icon in Adobe Reader forms on the left side of the page that can be seen with every application download. All forms must be attached within the Attachment form or forms provided in the application mandatory or optional documents section of the application and moved to mandatory or optional documents for submission area of the application. The methods for attaching files in the application are first to use the attachment form or forms made available in the application as described above, then if more space is needed use the add attachment feature under item 15 in the SF424 form found in the mandatory documents area of the application.

When attaching files click on the add attachment field in the forms themselves. You **Must NOT** attach documents with the paper clip function as the files do not get included in the forms soap wrapper function and therefore do not get processed as part of your application. Failure to follow these instructions will result in an incomplete application.

2. Section IV.C.4.c., page 58 is amended to further instruct applicants that they cannot reuse the same application pulled from Grants.gov when submitting multiple applications for separate entities or projects under a funding competition. Section 4.c. entitled, "Submission Tips." clearly instructs applicants submitting multiple applications for different organizations or multiple funding requests for the same organization but for different projects that they **MUST NOT** use the same downloaded application package for all submissions. Each funding request must have its own unique downloaded application and Form HUD96011 Facsimile Transmittal. Every time an application package is downloaded, the Form HUD96011 Facsimile Transmittal is given a unique identifier. The unique identifier is embedded as an ID on the Form HUD96011. This unique ID allows any and all faxes containing the same embedded ID to be appropriately matched to an application with the matching embedded ID number. When an application is reused for multiple funding requests, the faxes cannot be matched. HUD will not match documents submitted via fax using the same ID number in two or more funding requests; or without using the Form HUD96011 Facsimile Transmittal as the first sheet on the transmitted materials; or if materials are faxed to the wrong fax number.

HUD has found a number of applicants ignoring this instruction. This results in faxes not being able to be associated to the correct application or for accurate record keeping of applications received electronically. Therefore, HUD is adding a sentence to this instruction that will disqualify all applications that are received using the same application downloaded a single time for application submissions made for multiple entities under the same competition or for multiple applications but for different projects under the same competition. Section 4.c. is amended to read as follows:

**c. Submission Tips.**

(1) Multiple Applications. Applicants submitting multiple applications for different organizations or multiple funding requests for the same organization but for different projects **MUST NOT** use the same downloaded application package for all submissions. Each funding request must have its own unique downloaded application and Form HUD96011 Facsimile Transmittal. Every time an application package is downloaded, the Form HUD96011 Facsimile Transmittal is given a unique identifier. The unique identifier is embedded as an ID on the Form HUD96011. This unique ID allows any and all faxes containing the same embedded ID to be appropriately matched to an application with the matching embedded ID number. When an application is reused for multiple funding requests, the faxes cannot be matched. HUD will not match documents submitted

via fax using the same ID number in two or more funding requests or without using the Form HUD96011 Facsimile Transmittal as the first sheet on the transmitted materials, or faxed to the wrong fax number. ***Failure to follow these instructions will result in all applications received using the same application for multiple entities under the same competition or for multiple applications but for different projects under the same competition in being determined ineligible for funding under the competition.***

Dated: June 21, 2011

A handwritten signature in black ink, appearing to read "Ron Sims", written over a horizontal line.

Ron Sims  
Deputy Secretary

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