

INITIATIVE DEFINITION BY08*Initiative Definition BY08*

Template Name	IT Investment BY2008
Investment Name	ADM - 964750 - HUD eGrants Consolidated
Investment Revision Number	8
Is this investment a consolidated business case?	No
Point of Contact	Raleigh, Judith L
Revision Comment	
Class	IT

I.A: OVERVIEW BY08*Descriptive Information BY08*

Date of Submission	9/11/2006
Agency	Department of Housing and Urban Development
Bureau	Working Capital Fund
Name of this Capital Asset	ADM - 964750 - HUD eGrants Consolidated
Full UPI Code	025-00-04-00-01-1640-00
Four Digit UPI Code	1640
Two Digit UPI Code	00
Exhibit 53 Part	IT Investments for Grants Management
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	
PY Full UPI Code	025-00-04-00-01-1316-00-101-002
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
If this investment supports homeland security, indicate by corresponding number which homeland security mission area(s) this investment supports?	
OMB Short Description	This consolidated eGrants initiative will develop an enterprise solution to manage \$26B in grants with limited development for the four program offices that have no automation. It will also allow for alignment with DOT as a co-lead of a GMLoB Consortium.
Investment C&A Status	25 - Some or all of the systems within this investment have been through a C&A Process, some systems have been granted Full Authority to Operate

Screening Questions BY08

What was the first budget year this investment was submitted to OMB?	FY2006
Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:	This investment will fund the consolidated eGrants initiative to develop an enterprise solution to manage \$26B in grants at HUD as well as a transition to a GMLoB HUDOT Consortium. The HUDOT consortium already has one committed customer (SBA).

As HUD transitions to an enterprise solution and becomes a COE co-lead, the agency will develop a concept of operations, conduct an alternative analysis to find the technology solutions that will best suit all of its program needs, and develop a performance plan for measuring success.

Due to this consolidated e-grants solution, HUD will meet its strategic goal of improving management and internal controls by increasing interoperability and data availability as well as its goal of improving accountability, service delivery, and customer service by sharing data and reducing legacy systems. This investment will help HUD strengthen communities by facilitating more effective delivery of affordable housing and is the cornerstone of HUD's Vision 2010.

HUD is requesting the resources to support the successful continuation of the eGrants program. The eGrants program will establish a program management office (PMO), strategically align and implement leading service-oriented components, and develop and execute plans to reuse existing agency assets to support common and unique business processes. HUD will co-lead a single, full-service GM service center with DOT and will finish developing an investment strategy (including acquisition) in May 2007.

In FY07, HUD will continue the consortia process, establish the JPMO, undergo the first round of crosscutting service (intake), end legacy development contracts, redirect resources/funding for current (legacy) contracts, and begin to retire systems.

In FY08, HUD will rollout a second round of crosscutting service (data sharing), activate strategic new enterprise contracts, capture legislative requirements to ready HUD systems for the consortium, and retire redundant services.

By FY09, the enterprise solution will be fully implemented. The last stage of this process includes implementing the third round of crosscutting service, resulting in complete consortium alignment, and the elimination of all remaining redundant services. In addition, all remaining contracts will be consolidated into one eGrants contract.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	8/15/2006
Did the Project Manager review this Exhibit?	Yes
Contact information of Project Manager?	
Project Manager Name	
Raleigh, Judith L	
Project Manager Phone Number	202-708-1006 x2076
Project Manager E-mail	Judith_L._Raleigh@hud.gov
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Eliminating Improper Payments, Expanded E-Government, Housing and Urban Development Management and Performance
Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part .)	Yes
Does this investment address a weakness found during the PART Review?	Yes
If "yes," what is the name of the PARTed program?	Community Development Block Grant (Formula)
If "yes," what PART rating did it receive?	Ineffective
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	In expanding electronic government, HUD will consolidate the 27 stove-piped systems managing HUD grants, standardize grants reporting, and reduce the administrative burden on grantees. HUD will eliminate improper payments by integrating with the HUD enterprise financial management system, sharing common data, and using the GM performance reporting capabilities. HUD will improve its management and performance by better auditing, overseeing, and monitoring grants.

IT Screening Questions BY08

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.	
What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(2) Project manager qualification is under review for this investment
Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?	No
Is this a financial management system?	No
If "yes", does this investment address a FFMA compliance area?	No
If "yes," which FFMA compliance area?	
If "no," what does it address?	
If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52	
Provide the Percentage Financial Management for the budget year	0.724000
What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	100.000000
For budget year, what percentage of the total investment is for hardware?	8.000000

For budget year, what percentage of the total investment is for software?	12.000000
For budget year, what percentage of the total investment is for services?	80.000000
For budget year, what percentage of the total investment is for other services?	0
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A

Contact information of individual responsible for privacy related questions:

Privacy Officer Name	Smith, Jeanette
Privacy Officer Phone Number	202-708-0614 x8602
Privacy Officer Title	Departmental Privacy Act Officer
Privacy Officer E-mail	Jeanette_Smith@HUD.gov
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B: SUMMARY OF SPENDING BY08

Summary of Spending BY08

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT STAGES

* Costs in thousands

	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
Planning									
Budgetary Resources	3771.110	602.238	1887.702	1691.65					
Outlays	3771.110	0	0	0					
A. Project Initiation/Planning									
Budgetary Resources	2155.434	511.152	317.702	181.65					
Outlays	2155.434	0	0	0					
B. Requirements Definition									
Budgetary Resources	1615.676	39.543	860	700					
Outlays	1615.676	0	0	0					
C. System Design									
Budgetary Resources	0	51.543	710	810					
Outlays	0	0	0	0					
Acquisition									
Budgetary Resources	50	9800.762	7412.298	7906.35					
Outlays	50	0	0	0					
D. Software Acquisition									
Budgetary Resources	0	16.317	107.5	107.5					
Outlays	0	0	0	0					
E. Hardware/Infrastructure Acquisition									
Budgetary Resources	0	16.317	107.5	107.5					
Outlays	0	0	0	0					
F. New Development/Perfective Maintenance									
Budgetary Resources	0	9662.266	5337.298	4651.35					

	PY - 6 2000	PY - 5 2001	PY - 4 2002	PY - 3 2003	PY - 2 2004	PY - 1 2005	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 2012	BY + 5 2013	BY + 6 2014	BY + 7 2015	BY + 8 2016	Total
Management																		
Security	0	0	0	0	0	0	0	0	0									
Program Management	0	0	0	0	0	0	0	0	0									
IT	0	0	0	0	0	5	6	6	7									
Other	0	0	0	0	0	0	0	0	0									
Total*	0	0	0	0	0	5	6	6	7									

*This row represents the 'Number of FTE represented by cost' from Summary of Spending table and will be sent to OMB.

Funding Questions BY08

Will this project require the agency to hire additional FTE's?	Yes
How many and in what year?	1 FTE in FY08 for eGrants
If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.	
Provide the Percent Budget Formulation (BF) for the budget year	0
Provide the Percent Budget Execution (BE) for the budget year	0

Funding Sources BY08

Funding Sources

* Costs in thousands

FS Name: MAX Code	Row Type	PY - 6 2000	PY - 5 2001	PY - 4 2002	PY - 3 2003	PY - 2 2004	PY - 1 2005	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 2012	BY + 5 2013	BY + 6 2014	BY + 7 2015	BY + 8 2016	Total
Working Capital Fund: 025-35-4586-0 On Ex.53: Yes	DME	0	0	0	0	0	0	3152.682	9300	9598									
	SS	0	0	0	0	0	0	7921.345	10357.352	8790									
	Total	0	0	0	0	0	0	11074.027	19657.352	18388									
Total Yearly Budgets	DME	0	0	0	0	0	0	3152.682	9300	9598									
	SS	0	0	0	0	0	0	7921.345	10357.352	8790									
	Total	0	0	0	0	0	0	11074.027	19657.352	18388									

Contract/Task Orders Table

Row Number	Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded?	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order	Is this an Interagency Acquisition?	Is it performance based?	Competitively awarded?	What, if any, alternative financing option is being used?	Is EVM in the contract?	Does the contract include the required security and privacy clauses?	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition?
1	C-OPC-22358	Firm-fixed-price,	Yes	3/11/2003	3/11/2003	9/30/2007	11560770	No	Yes	Yes	NA	Yes	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X_Glymph	N/A	Yes

		performance-based contract with Blank Purchase Agreement (BPA)															@hud.gov		
2	C-DEN-01933	Firm-fixed-price, performance-based contract	Yes	9/27/2004	9/27/2004	9/30/2007	2900000	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes		
3	C-OPC-22891	Firm-fixed-price, performance-based contract	Yes	6/5/2006	6/5/2006	9/30/2007	2132774	No	Yes	No	NA	No	Yes	Glymph, Kelvin	202-708-7133 / Kelvin_X._Glymph@hud.gov	N/A	Yes		
4	GSC-TFMG-05-31385	8A Stars (Time and Material)	Yes	12/1/2005	12/1/2005	9/30/2007	1533600	No	Yes	Yes	NA	Yes	Yes	McDowell, Chiara	Chiara.McDowell@gsa.gov	N/A	Yes		
5	C-DEN-02058 eGrants	8A Sole Source	Yes	6/2/2006	6/2/2006	9/30/2007	974356	No	Yes	No	NA	Yes	Yes	Surber, Keith	Keith_Surber@hud.gov	N/A	Yes		
6	Strategic Alignment	TBD	No	10/1/2007	10/1/2007	1/1/1900	0.001	No	Yes	No	NA	Yes	Yes	McDowell, Chiara	Chiara.McDowell@gsa.gov	N/A	Yes		

Contract/Task Order Questions BY08

If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Earned value is only required for investments classified as majors that were started after 2005. Thus, IDIS, SAGIS/Resource Allocation, and eGrants Modernization are the only systems required to include earned value in their contracts/task orders. All of these contracts have been updated to include this clause. Operational/Steady-state investments will not modify their contracts to include this clause unless expressly asked by the HUD OCIO.

Contracts with "NO" EVM indicated in the contract are maintenance contracts which practice "Operational Analysis".

Do the contracts ensure Section 508 compliance?

Yes

Explain why (508 Compliance)?

HUD has established a pre-acquisition certification process to ensure that appropriate Section 508 compliance provisions are included in awarded contracts. Products requiring compliance are inspected upon delivery before acceptance. HUD eGrants will ensure compliance to Section 508 because COTS or GOTS software packages that are not 508 compliant will not be purchased. In addition, any software development will be built 508 compliant and will be tested before release.

Is there an acquisition plan which has been approved in accordance with agency requirements?

No

What is the date of your acquisition plan?

If "no," will an acquisition plan be developed?

Yes

If "no," briefly explain why:

An acquisition plan will be developed by February 2007. This will be developed in conjunction with the eGrants Modernization contract.

I.D: PERFORMANCE INFORMATION BY08

Performance Goals & Measures BY08

Agencies must use the Performance Goals and Measures Table below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Goals and Measures

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
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Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Customer Results	Timeliness and Responsiveness	Response Time	Average annual processing time per applicant for PIH rental assistance programs award and notification	Average annual processing per applicant is 100 business days	Reduce annual processing time by 12 percent	Delay in Implementation
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Community and Social Services	Homeownership Promotion	Number of housing units processed by the four CPD grant programs contained in the Integrated Disbursement and Information System (IDIS)	336,599 housing units benefiting (FY2002)	At least 260,848 housing units benefiting	338,622 housing units benefiting from IDIS-supported grant programs in FY2005.
2005	Goal E: Embrace High Standards of Ethics, Management and Accountability	Mission and Business Results	Financial Management	Reporting and Information	Dollar amount of annual improper rent subsidy payments	\$2 billion (source PMA report 2004)	Reduce improper rent subsidy payments by 5%	\$990M
2005		Processes and Activities	Productivity and Efficiency	Productivity	Percent of PIH formula grant programs calculated using automated systems	0 percent of PIH formula grant programs are calculated using an automated system	10 percent of PIH formula grant programs are calculated using an automated system.	Delay in Implementation
2005		Technology	Information and Data	Internal Data Sharing	Percent of PIH rental assistance program dollars reviewed and awarded using a federal financial management compliant system.	0 percent of PIH rental assistance program dollars reviewed and awarded using a federal financial management compliant system.	Increase the PIH rental assistance program dollars to 15 percent	Delay in implementation
2006		Customer Results	Service Accessibility	Integration	Percentage of PHAs using Resource Allocation to process HCV portable vouchers billing.	0% of PHAs are using system to process portable vouchers billing. Current process is a manual process between PHAs	20% of PHAs use system to process portable vouchers billing.	Results shall be reported in December 2006
2006		Customer Results	Timeliness and Responsiveness	Delivery Time	Average annual processing time	Average annual processing time	Reduce annual	Results shall be reported in

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
					per rental assistance program award and notification	per program is 88 days.	processing time by 10 percent over FY05 levels	December 2006
2006		Mission and Business Results	Community and Social Services	Homeownership Promotion	Number of housing units processed by the four CPD grant programs contained in the Integrated Disbursement and Information System (IDIS)	336,599 housing units benefiting (FY2002)	A 16% increase in housing units benefiting from IDIS-supported grant programs (over FY2005).	Results shall be reported in December 2006
2006		Mission and Business Results	Information and Technology Management	Information Management	Percent of funding processed in a centralized database for detailed data analysis and decision making	Zero percent of PIH program funding is in a centralized database for detailed data analysis and decision making.	38%	Results shall be reported in December 2006
2006		Mission and Business Results	Planning and Resource Allocation	Management Improvement	Percentage of PIH records tracked at detailed item levels using Resource Allocation	0% of current funding expenditure is tracked in detailed business line item levels.	80 % of all HCV funding records are tracked and stored according to its fund code, program codes and line items	Results shall be reported in April 2007
2006		Processes and Activities	Productivity and Efficiency	Productivity	Percent of PIH formula/subsidy programs calculated using automated systems	15 percent of PIH formula/subsidy programs are calculated using automated systems.	38%	Results shall be reported in December 2006
2006		Processes and Activities	Quality	Complaints	% of renewal funding appealed	40% of total participants appealed for adjustment on HCV renewal funding allocation	Reduce the appeals by 8% of total participants	Results shall be reported in December 2006
2006		Technology	Efficiency	Interoperability	Percent of PIH programs that either are linked to or consolidated with other applications through Resource Allocation (J2EE compliant)	Zero percent of PIH programs in Resource Allocation that are linked or consolidated with other applications.	38%	Results shall be reported in December 2006

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
					systems			
2006		Technology	Information and Data	Internal Data Sharing	Number of manual funding allocation processes automated and integrated by PIH	0 out of 9 processes are automated	3 out of 9 HCV processes to be automated.	Results shall be reported in December 2006
2007		Customer Results	Service Accessibility	Integration	Percentage of PHAs using Resource Allocation to process HCV portable vouchers billing.	0% of PHAs are using system to process portable vouchers billing. Current process is a manual process between PHAs	30% of PHAs use system to process portable vouchers billing.	Results shall be reported in December 2007
2007		Customer Results	Timeliness and Responsiveness	Delivery Time	Average annual processing time per rental assistance program award and notification	Average annual processing time per program is 88 days.	Reduce annual processing time by 10 percent over FY06 levels	Results shall be reported in December 2007
2007		Mission and Business Results	Community and Social Services	Homeownership Promotion	Number of housing units processed by the four CPD grant programs contained in the Integrated Disbursement and Information System (IDIS)	336,599 housing units benefiting (FY2002)	A 20% increase in housing units benefiting from IDIS-supported grant programs (over FY2006).	
2007		Mission and Business Results	Information and Technology Management	Information Management	Percent of funding processed in a centralized database for detailed data analysis and decision making	Zero percent of PIH program funding is in a centralized database for detailed data analysis and decision making.	75%	Results shall be reported in December 2007
2007		Mission and Business Results	Planning and Resource Allocation	Management Improvement	Percentage of PIH records tracked at detailed item levels using Resource Allocation	0% of current funding expenditure is tracked in detailed business line item levels.	90 % of all HCV funding records are tracked and stored according to its fund code, program codes and line items	Results shall be reported in April 2008
2007		Processes and Activities	Productivity and Efficiency	Productivity	Percent of PIH formula grant programs calculated using automated systems	15 percent of PIH formula grant programs are calculated using an automated	75 percent of PIH formula grant programs are calculated	Results shall be reported in December 2007

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
						system	using an automated system.	
2007		Processes and Activities	Quality	Complaints	% of renewal funding appealed	40% of total participants appealed for adjustment on HCV renewal funding allocation	Reduce the appeals by 6% of total participants	Results shall be reported in December 2007
2007		Technology	Efficiency	Interoperability	Percent of PIH programs that either are linked to or consolidated with other applications through Resource Allocation (J2EE compliant) systems	Zero percent of PIH programs in Resource Allocation that are linked or consolidated with other applications.	75%	Results shall be reported in December 2007
2007		Technology	Information and Data	Internal Data Sharing	Number of manual funding allocation processes automated and integrated by PIH	0 out of 9 processes are automated	6 out of 9 HCV processes to be automated.	Results shall be reported in December 2007
2008		Customer Results	Service Accessibility	Integration	Percentage of PHAs using Resource Allocation to process HCV portable vouchers billing.	0% of PHAs are using system to process portable vouchers billing. Current process is a manual process between PHAs	80% of PHAs use system to process portable vouchers billing.	Results shall be reported in December 2008
2008		Customer Results	Timeliness and Responsiveness	Delivery Time	Average time (in days) between application submission and grant award	A new metric. Baselines for competitive and for formula grants will be drawn from FY07 data.	A decrease of 5%	
2008		Customer Results	Timeliness and Responsiveness	Delivery Time	Average annual processing time per rental assistance program award and notification	Average annual processing time per program is 88 days.	Reduce annual processing time by 10 percent over FY07 levels	Results shall be reported in December 2008
2008		Mission and Business Results	Community and Social Services	Homeownership Promotion	Number of housing units processed by the four CPD grant programs contained in the Integrated Disbursement and	336,599 housing units benefiting (FY2002)	A 24% increase in housing units benefiting from IDIS-supported grant programs (over	

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
					Information System (IDIS)		FY2007).	
2008		Mission and Business Results	Information and Technology Management	Information Management	Percent of funding processed in a centralized database for detailed data analysis and decision making	Zero percent of PIH program funding is in a centralized database for detailed data analysis and decision making.	95%	Results shall be reported in December 2008
2008		Mission and Business Results	Planning and Resource Allocation	Management Improvement	Percentage of PIH records tracked at detailed item levels using Resource Allocation	0% of current funding expenditure is tracked in detailed business line item levels.	99% of all HCV funding records are tracked and stored according to its fund code, program codes and line items	Results shall be reported in December 2008
2008		Mission and Business Results	Public Affairs	Customer Services	% of HUD grant opportunities available online	39 funding opportunities available on Grants.gov	An increase of 5%	
2008		Processes and Activities	Productivity and Efficiency	Efficiency	% of redundant workflow components and processes	New metric. Will be baselined from FY07 data.	A decrease of 5%	
2008		Processes and Activities	Productivity and Efficiency	Productivity	Percent of PIH formula/subsidy programs calculated using automated systems	15 percent of PIH formula/subsidy programs are calculated using automated systems.	95%	Results shall be reported in December 2008
2008		Processes and Activities	Quality	Complaints	% of renewal funding appealed	40% of total participants appealed for adjustment on HCV renewal funding allocation	Reduce the appeals by 5% of total participants	Results shall be reported in December 2008
2008		Processes and Activities	Quality	Errors	% of HUD grant applications rejected due to invalid or incomplete information	A new metric. Baselines for competitive and for formula grants will be drawn from FY07 data.	Reduce by 5%	
2008		Technology	Efficiency	Interoperability	% of grant applications that are received by HUD in XML	5000 applications are received by HUD in XML	An increase of 5%	
2008		Technology	Information and Data	Internal Data Sharing	% of grants management systems that	New metric. Will be baselined from	An increase of 5%	

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
					interoperate	FY07 data.		
2008		Technology	Information and Data	Internal Data Sharing	Number of manual funding allocation processes automated and integrated by PIH	0 out of 9 processes are automated	7 out of 9 HCV processes to be automated.	Results shall be reported in December 2008

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

I.E: SECURITY AND PRIVACY BY08

Costs & Risks BY08

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment? Yes

Provide the Percentage IT Security for the budget year 4.440000

Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. Yes

Security: Planning Systems BY08

Systems in Planning - Security

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
EDSYS	Government Only	9/30/2006	8/15/2006
SAGIS	Government Only	9/22/2006	9/14/2006

Security: Operational Systems BY08

Operational Systems - Security

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
DRGR	Contractor Only	Low	Yes	6/5/2006	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005
EZ/EC Locator	Government Only	Low	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005
GMP	Contractor Only	Moderate	Yes	6/5/2006	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005
IDIS	Contractor Only	High	Yes	6/5/2005	FIPS 200 / NIST 800-53	9/1/2006	7/5/2006
RC/EZ/EC	Contractor Only	Low	Yes	6/5/2006	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005
SNAPS	Contractor	Moderate	Yes	6/5/2005	NIST 800-26	9/1/2006	6/6/2006

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
	Only						
System C39 (PERMS)	Government Only	Low	Yes	6/30/2005	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005
System P008 (IAES)	Government Only	Low	Yes	5/24/2005	FIPS 200 / NIST 800-53	9/1/2006	12/5/2005

Security: Weaknesses & Contractor Procedures BY08

Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG? **Yes**

If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process? **Yes**

Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses? **No**

If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

HUD requires contractors to be in compliance with its security policy cited in the HUD 2400.24 (Rev 2) Security Handbook. HUD monitors, verifies, and validates contractor's security procedures thoroughly. In addition, contractors are required to take a mandatory training, "Enterprise Security Awareness for Critical Infrastructure Assurance." Contract provisions will be established to conduct contractor reporting, site inspections, audits, and personnel background investigations on a periodic basis to ensure contractor's compliance with all security requirements. All HUD contracts are required to comply with HUD IT operations and security policy. IT Data Centers are required to follow FISMA, A-130, A-11, and other major federal requirements. Contractor procedures are monitored through biweekly status meetings where security incidents and events, results of automated scans, and audit trails may be reviewed.

Privacy: Planning & Operational Systems BY08

Planning & Operational Systems - Privacy

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
DRGR	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
EDSYS	Yes	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
EZ/EC Locator	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
GMP	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
IDIS	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
RC/EZ/EC	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.

Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
					of records.
SAGIS	Yes	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
SNAPS	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
System C39 (PERMS)	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.
System P008 (IAES)	No	Yes.	Yes.	No	No, because the system is not a Privacy Act system of records.

I.F: ENTERPRISE ARCHITECTURE (EA) BY08

General EA Questions BY08

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? **Yes**

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? **Yes**

If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Grants Management Modernization and Alignment with GMLoB Consortia

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

FEA SRM BY08

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Service Component Reference Model (SRM) Table

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Extraction and Transformation	Defines the set of capabilities that support the manipulation and change of data.	Back Office Services	Data Management	Extraction and Transformation			No Reuse	4
Loading and Archiving	Defines the set of capabilities that support the population of a data source with external data.	Back Office Services	Data Management	Loading and Archiving			No Reuse	4
Activity-Based Management	Support a defined,	Back Office Services	Financial Management	Activity-Based Management			No Reuse	3

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	specific set of finance related tasks for a given objective.							
Decision Support and Planning	Defines the set of capabilities that support the analysis of information and predict the impact of decisions before they are made.	Business Analytical Services	Business Intelligence	Decision Support and Planning			No Reuse	3
Data Mining	Defines the set of capabilities that provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data.	Business Analytical Services	Knowledge Discovery	Data Mining			No Reuse	3
Ad Hoc	Defines the set of capabilities that support the use of dynamic reports on an as needed basis.	Business Analytical Services	Reporting	Ad Hoc			No Reuse	3
Standardized/Canned	Defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	3
Performance Management	Performance Management refers to a range of measures designed to assure that Federal resources are used efficiently and effectively.	Business Management Services	Investment Management	Performance Management			No Reuse	3
Business Rule Management	Defines the set of capabilities for the management of the enterprise	Business Management Services	Management of Processes	Business Rule Management			No Reuse	3

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	processes that support an organization and its policies.							
Program/Project Management	Defines the set of capabilities for the management and control of a particular effort of an organization.	Business Management Services	Management of Processes	Program / Project Management			No Reuse	3
Customer Analytics	Define the set of capabilities that allow for the analysis of an organization's customers as well as the scoring of third party information as it relates to an organization's customers.	Customer Services	Customer Relationship Management	Brand Management			No Reuse	3
Customer Feedback	Defines the set of capabilities that are used to collect, analyze and handle comments and feedback from an organization's customers.	Customer Services	Customer Relationship Management	Customer Feedback			No Reuse	3
Partner Relationship Management	Collaboration between an organization and its business partners and other parties.	Customer Services	Customer Relationship Management	Partner Relationship Management			No Reuse	3
Surveys	Defines the set of capabilities that are used to collect useful information from an organization's customers.	Customer Services	Customer Relationship Management	Surveys			No Reuse	3
Content Authoring	Defines the capabilities that allow for the creation of tutorials, CBT	Digital Asset Services	Content Management	Content Authoring			No Reuse	3

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	courseware, Web sites, CD ROMS and other interactive programs.							
Content Publishing and Delivery	Defines the set of capabilities that allow for the propagation of interactive programs.	Digital Asset Services	Content Management	Content Publishing and Delivery			No Reuse	3
Content Review and Approval	Defines the capabilities that allow for the approval of interactive programs.	Digital Asset Services	Content Management	Content Review and Approval			No Reuse	3
Tagging and Aggregation	Defines the set of capabilities that support the identification of specific content within a larger set of content for collection and summarization.	Digital Asset Services	Content Management	Tagging and Aggregation			No Reuse	3
Classification	Defines the set of capabilities that support the categorization of documents.	Digital Asset Services	Document Management	Classification			No Reuse	3
Indexing	Defines the set of capabilities that support the rapid retrieval of documents through a structured numbering construct.	Digital Asset Services	Document Management	Indexing			No Reuse	3
Information Retrieval	Defines the set of capabilities that allow access to data and information for use by an organization and its stakeholders.	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	3
Information Sharing	Defines the set of capabilities that support	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	3

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
	the use of documents and data in a multi-user environment for use by an organization and its stakeholders.							
Knowledge Capture	Capabilities to capture information.	Digital Asset Services	Knowledge Management	Knowledge Capture			No Reuse	3
Knowledge Distribution and Delivery	Defines the set of capabilities that support the transfer of knowledge to the end customer.	Digital Asset Services	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	3
Process Tracking	Defines the set of capabilities to allow the monitoring of activities within the business.	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	5
Query	Query defines the set of capabilities that support retrieval of records that satisfy specific query selection criteria.	Support Services	Search	Query			No Reuse	3

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

FEA TRM BY08

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

Technical Reference Model (TRM) Table

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Classification	Component Framework	Business Logic	Platform Dependent	J2EE
Decision Support and Planning	Component Framework	Business Logic	Platform Independent	InfoTerra Grantium 3.0
Business Rule	Component	Business Logic	Platform	J2EE

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Management	Framework		Independent	
Business Rule Management	Component Framework	Business Logic	Platform Independent	J2EE, Info Terra Grantium 3.0
Extraction and Transformation	Component Framework	Data Interchange	Data Exchange	XML, SOAP, XTML
Indexing	Component Framework	Data Management	Database Connectivity	ADO, .net, JDBC
Information Sharing	Component Framework	Data Management	Database Connectivity	Nomad 6.50a
Knowledge Capture	Component Framework	Data Management	Database Connectivity	Nomad 6.50a
Information Retrieval	Component Framework	Data Management	Database Connectivity	Nomad 6.50a,
Customer Analytics	Component Framework	Data Management	Database Connectivity	Nomad 6.50a, Web SQL 1.2/Unspecified
Surveys	Component Framework	Data Management	Database Connectivity	Nomad 6.50a,Web SQL 1.2/Unspecified
Activity-Based Management	Component Framework	Data Management	Database Connectivity	Web SQL 1.2/unspecified
Knowledge Capture	Component Framework	Data Management	Database Connectivity	Web SQL 1.2/Unspecified
Data Mining	Component Framework	Data Management	Database Connectivity	Web SQL 1.2/Unspecified, Nomad 6.50a
Partner Relationship Management	Component Framework	Data Management	Database Connectivity	Web SQL 1.2/Unspecified,Nomad 6.50a
Process Tracking	Component Framework	Data Management	Database Connectivity	Web SQL 1.2/Unspecified,Nomad 6.50a
Customer Analytics	Component Framework	Data Management	Reporting and Analysis	Crystal Decision Crystal Reports 8.0,Crystal Reports version unspecified/5.0/6.0
Knowledge Capture	Component Framework	Data Management	Reporting and Analysis	Crystal Decision Crystal Reports 8.0,Crystal Reports version unspecified/5.0/6.0,DEPCON Central v5r2
Partner Relationship Management	Component Framework	Data Management	Reporting and Analysis	Crystal Decision Crystal Reports 8.0,Crystal Reports version unspecified/5.0/6.0,DEPCON Central v5r2
Data Mining	Component Framework	Data Management	Reporting and Analysis	Crystal Reports version unspecified/5.0/6.0, Crystal Decision Crystal Reports 8.0
Surveys	Component Framework	Data Management	Reporting and Analysis	Crystal Reports version unspecified/5.0/6.0,Crystal Decision Crystal Reports 8.0
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	Crystal Reports, SAS, SPSS
Ad Hoc	Component Framework	Data Management	Reporting and Analysis	Crystal Reports, SAS, SPSS
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	Crystal Reports, SAS, SPSS
Customer Feedback	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Performance Management	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Process Tracking	Component Framework	Data Management	Reporting and Analysis	DEPCON Central v5r2
Content Authoring	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Content Authoring	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Content Publishing and Delivery	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Content Review and Approval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Customer Analytics	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Data Mining	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Information Sharing	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Knowledge Capture	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Partner Relationship Management	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Process Tracking	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Surveys	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Tagging and Aggregation	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Microsoft Active Server Pages (ASP)/2.0
Business Rule Management	Component Framework	Presentation / Interface	Static Display	PureEdge XML, PDF
Business Rule Management	Component Framework	Presentation / Interface	Static Display	PureEdge XML, PDF
Business Rule Management	Component Framework	Security	Certificates / Digital Signatures	X.500
Customer Feedback	Component Framework	Security	Supporting Security Services	Open SSH Server/Client
Performance Management	Component Framework	Security	Supporting Security Services	Open SSH Server/Client
Process Tracking	Component Framework	Security	Supporting Security Services	Open SSH Server/Client
Customer Analytics	Component Framework	Security	Supporting Security Services	TelnetD 4.0
Data Mining	Component Framework	Security	Supporting Security Services	TelnetD 4.0
Surveys	Component Framework	Security	Supporting Security Services	TelnetD 4.0
Knowledge Capture	Component Framework	Security	Supporting Security Services	TelnetD 4.0,Open SSH Server/Client
Partner Relationship	Component Framework	Security	Supporting Security Services	TelnetD 4.0,Open SSH Server/Client

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Management				
Business Rule Management	Component Framework	Security	Supporting Security Services	X.500
Business Rule Management	Service Access and Delivery	Access Channels	Collaboration / Communications	Lotus Notes/Domino Mail 6.5 Lotus SMTP Gateway
Performance Management	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Internet Explorer 4.0 or Earlier
Process Tracking	Service Access and Delivery	Access Channels	Collaboration / Communications	Microsoft Internet Explorer 4.0 or Earlier
Business Rule Management	Service Access and Delivery	Access Channels	Other Electronic Channels	Extensible Markup Language (XML)
Business Rule Management	Service Access and Delivery	Access Channels	Other Electronic Channels	Extensible Markup Language (XML)
Business Rule Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer 6.01 Netscape Communicator 7.0
Business Rule Management	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer 6.01 Netscape Communicator 7.0
Customer Feedback	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Partner Relationship Management	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer 4.0 or Earlier
Business Rule Management	Service Access and Delivery	Access Channels	Wireless / PDA	Lotus Notes/Domino Mail 6.5 Lotus SMTP Gateway
Business Rule Management	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	PassGo, Sun One, Directory Server Lightweight Directory Access Protocol (LDAP)
Business Rule Management	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	PassGo, Sun One, Directory Server, Lightweight Directory Access Protocol (LDAP)
Business Rule Management	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	Section 508 BigShot, Dragon Naturally, Speaking JAWS for Windows, KurzWeil 1000, MAGIc for Windows, Nxi NextCom,
Business Rule Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508 BigShot, Dragon Naturally, Speaking JAWS for Windows, KurzWeil 1000, MAGIc for Windows, Nxi NextCom, Optelec, ClearView 700, Spectrum CCTV, PowerBraille, SuperVista, WinTalk TTY
Business Rule Management	Service Access and Delivery	Service Transport	Service Transport	Transfer Control Protocol (TCP), Internet Protocol (IPv6), File Transfer Protocol (FTP), Hyper Text Transfer (HTTP), Hyper Text Transfer Protocol Secure (HTTPS), IP Security (IPSEC)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Business Rule Management	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration (DHCP), Domain Name System (DNS), IMAP/POP3, Lightweight Directory Access Protocol (LDAP), Simple Mail Transfer Protocol (SMTP), Simple Network Management Protocol (SNMP), H323 ESMTP
Business Rule Management	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration (DHCP), Domain Name System (DNS), IMAP/POP3, Lightweight Directory Access Protocol (LDAP), Simple Mail Transfer Protocol (SMTP), Simple Network Management Protocol (SNMP), H323 ESMTP
Customer Analytics	Service Interface and Integration	Integration	Middleware	COM+
Data Mining	Service Interface and Integration	Integration	Middleware	COM+
Surveys	Service Interface and Integration	Integration	Middleware	COM+
Knowledge Capture	Service Interface and Integration	Integration	Middleware	COM+, TIP 1100
Partner Relationship Management	Service Interface and Integration	Integration	Middleware	COM+, TIP 1100
Customer Feedback	Service Interface and Integration	Integration	Middleware	TIP 1100
Performance Management	Service Interface and Integration	Integration	Middleware	TIP 1100
Performance Management	Service Interface and Integration	Integration	Middleware	TIP 1100
Process Tracking	Service Interface and Integration	Integration	Middleware	TIP 1100
Loading and Archiving	Service Interface and Integration	Interoperability	Data Format / Classification	XML
Loading and Archiving	Service Interface and Integration	Interoperability	Data Format / Classification	XML
Activity-Based Management	Service Platform and Infrastructure	Database / Storage	Database	DBASE II/III, Microsoft Access 95/97, Fox Pro, Microsoft SQL Server 6.5/6.5 Client/7.0
Process Tracking	Service Platform and Infrastructure	Database / Storage	Database	DBASE II/III, Microsoft Access 95/97, FoxPro, Microsoft SQL Server 6.5/6.5 Client/7.0, IMS, VSAM, Microsoft Access 95/97, DB2 /Database 2 Version 4.0, FoxPro, UDS DMS, Microsoft SQL Server 2000, SQL
Program / Project	Service Platform and	Database / Storage	Database	FoxPro, Microsoft SQL Server 6.5/6.5 Client/7.0

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Management	Infrastructure			
Query	Service Platform and Infrastructure	Database / Storage	Database	FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0
Performance Management	Service Platform and Infrastructure	Database / Storage	Database	IMS,VSAM,Microsoft Access 95/97,DB2 /Database 2 Version 4.0,FoxPro,UDS DMS
Partner Relationship Management	Service Platform and Infrastructure	Database / Storage	Database	Microsoft Access 95/97,DBASE 11/111,FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0,IMS,VSAM,Microsoft Access 95/97,DB2 /Database 2 Version 4.0,DB2 /Database 2 Version 4.0,FoxPro,UDS DMS,Microsoft SQL Server 2000,SQL,Microsoft Access 95/97,Oracle 8i,F
Surveys	Service Platform and Infrastructure	Database / Storage	Database	Microsoft Access 95/97,Oracle 8i,FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0
Customer Feedback	Service Platform and Infrastructure	Database / Storage	Database	Microsoft Access 95/97,VSAM,IMS,DB2 /Database 2 Version 4.0,FoxPro,UDS DMS
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Database / Storage	Database	Microsoft SQL Server 6.5/6.5 Client/7.0,FoxPro
Activity-Based Management	Service Platform and Infrastructure	Database / Storage	Database	Microsoft SQL Server 6.5/6.5 Client/7.0,Microsoft Access 95/97,DBASE 11/111,FoxPro,Microsoft Access 95/97,SQL,Microsoft SQL Server 2000,Oracle 8i
Data Mining	Service Platform and Infrastructure	Database / Storage	Database	Oracle 8i,Microsoft Access 95/97
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	SQL,Microsoft SQL Server 2000,FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0
Information Sharing	Service Platform and Infrastructure	Database / Storage	Database	SQL,Microsoft SQL Server 2000,FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0
Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database	SQL,Microsoft SQL Server 2000,FoxPro,Microsoft SQL Server 6.5/6.5 Client/7.0
Business Rule Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	SunOne Enterprise Apache/Tomcat BEA WebLogic
Business Rule Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	SunOne Enterprise Apache/Tomcat BEA WebLogic
Customer Analytics	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server 3.0/4.0/5.0
Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server 3.0/4.0/5.0
Information Sharing	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server 3.0/4.0/5.0
Knowledge	Service	Delivery	Web Servers	Microsoft Internet Information Server

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Capture	Platform and Infrastructure	Servers		3.0/4.0/5.0
Partner Relationship Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server 3.0/4.0/5.0
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Web Servers	Microsoft Internet Information Server 3.0/4.0/5.0
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Enterprise-level managed switch Workgroup-level switch, Network bridge Media converter, Range extender (network repeater)
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Enterprise-level managed switch Workgroup-level switch, Network bridge Media converter, Range extender (network repeater)
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Ethernet, T1/E1, ATM, OC3
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Ethernet, T1/E1, ATM, OC3
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Open Standards (UNIX), Enterprise Server, Intel-based server, Community Intel-based workstation
Business Rule Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Open Standards (UNIX), Enterprise Server, Intel-based server, Community Intel-based workstation
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Knowledge Capture	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Partner Relationship Management	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Surveys	Service Platform and Infrastructure	Software Engineering	Modeling	ERWin 3.5/Unspecified, Oracle Designer 2000
Information Retrieval	Service Platform and Infrastructure	Software Engineering	Modeling	Oracle Designer 2000
Information Sharing	Service Platform and Infrastructure	Software Engineering	Modeling	Oracle Designer 2000
Customer Analytics	Service Platform and Infrastructure	Software Engineering	Modeling	Oracle Designer 2000, ERWin 3.5/Unspecified
Data Mining	Service Platform and	Software Engineering	Modeling	Oracle Designer 2000, ERWin 3.5/Unspecified

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure			
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus
Customer Feedback	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus,Eastman Enterprise Work Management,Endeavor
Knowledge Capture	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus,Eastman Enterprise Work Management,Endeavor
Partner Relationship Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus,Eastman Enterprise Work Management,Endeavor
Performance Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus,Eastman Enterprise Work Management,Endeavor
Process Tracking	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CMPlus,Eastman Enterprise Work Management,Endeavor
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	PVCS
Business Rule Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	PVCS
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Dependent	COBOL/OS390 v2.01.0/COBOL II,PowerBuilder (version unspecified)/5.0/6.5,OS390,IBM JCL
Data Mining	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Visual Basic (version unspecified)/5.x/6.0
Surveys	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Visual Basic (version unspecified)/5.x/6.0
Activity-Based Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51/4.0 SP5/4.0 SP6 and SP6a /version unspecified,COBOL/OS390 v2.01.0/COBOL II,PowerBuilder (version unspecified)/5.0/6.5
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft Windows NT 3.51/4.0 SP5/4.0 SP6 and SP6a/version unspecified,SAS IML - Interactive Matrix Language 6.09
Customer Feedback	Service Platform and Infrastructure	Support Platforms	Platform Dependent	OS390,COBOL/OS390 v2.01.0/COBOL II,IBM JCL,Microsoft Visual Basic (version unspecified)/5.x/6.0
Customer Analytics	Service Platform and Infrastructure	Support Platforms	Platform Dependent	PowerBuilder (version unspecified)/5.0/6.5,Microsoft Visual Basic (version unspecified)/5.x/6.0
Information Retrieval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	PowerBuilder (version unspecified)/5.0/6.5,Microsoft Windows NT 3.51/4.0 SP5/4.0 SP6 and SP6a/version unspecified
Information Sharing	Service Platform and	Support Platforms	Platform Dependent	PowerBuilder (version unspecified)/5.0/6.5,Microsoft Windows

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
	Infrastructure			NT 3.51/4.0 SP5/4.0 SP6 and SP6a/version unspecified,PowerBuilder (version unspecified)/5.0/6.5
Content Review and Approval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	SAS IML - Interactive Matrix Language 6.09
Content Authoring	Service Platform and Infrastructure	Support Platforms	Platform Dependent	SAS IML - Interactive Matrix Language 6.09
Content Publishing and Delivery	Service Platform and Infrastructure	Support Platforms	Platform Dependent	SAS IML - Interactive Matrix Language 6.09
Content Review and Approval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	SAS IML - Interactive Matrix Language 6.09
Tagging and Aggregation	Service Platform and Infrastructure	Support Platforms	Platform Dependent	SAS IML - Interactive Matrix Language 6.09
Activity-Based Management	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Microsoft Windows NT 3.51/4.0 SP5/4.0 SP6 and SP6a /version unspecified,COBOL/OS390 v2.01.0/COBOL II,PowerBuilder (version unspecified)/5.0/6.5

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

Reuse & Information Sharing BY08

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

This will utilize all available functions of the Grants.gov portal. Grantees will use the Grants.gov portal to find grant opportunities, apply for grants, and obtain status of submissions. HUD program offices use Grants.gov to post opportunities and keep the grantee apprised of the status of their application.

Does this investment provide the public with access to a government automated information system?

No

If "yes," does customer access require specific software (e.g., a specific web browser version)?

If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

FEA Primary Mapping BY08

FEA Primary Mapping

Reference Model:

BRM

Business Area:

Services For Citizens

Line of Business:

Community and Social Services

Sub Function:

Community and Regional Development

Mapping Code:

101002

II.A: ALTERNATIVES ANALYSIS BY08

Analysis Background BY08

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project?

No

If "yes," what is the date of the analysis?

If "no," what is the anticipated date this analysis will be completed?

5/30/2007

If no analysis is planned, please briefly explain why:

N/A

Alternatives Table BY08

Use the results of your alternatives analysis to complete the following table:

Alternatives Analysis Results

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	TBD	TBD	0	0
True	TBD	TBD	0	0
True	TBD	TBD	0	0
False			0	0
False			0	0

Selected Alternative BY08

Which alternative was selected by the Initiative Governance process and why was it chosen?

What specific qualitative benefits will be realized?

II.B: RISK MANAGEMENT BY08

Risk Management Plan BY08

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? No

What is the date of the risk management plan?

Has the Risk Management Plan been significantly changed since last year's submission to OMB? No

If "yes," describe any significant changes to the Risk Management Plan:

If there currently is no risk plan, will a plan be developed? Yes

If "yes," what is the planned completion date of the risk plan? 11/30/2006

If "no," what is the strategy for managing the risks?

Investment Risks BY08

Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

This will be addressed when the Risk Management Plan is formulated.

II.C: COST AND SCHEDULE PERFORMANCE BY08

Earned Value BY08

Does the earned value management system meet the criteria in ANSI/EIA Standard - 748? Yes

Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

What is the Planned Value (PV)? 17.672000

What is the Earned Value (EV)? 17.180000

What is the actual cost of work performed (AC)? 17.174000

What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Contractor Only

EVMS "As of" date: 6/30/2006

What is the calculated Schedule Performance Index (SPI = EV/PV)? 0.972000

What is the schedule variance (SV = EV-PV)? -0.492200

What is the calculated Cost Performance Index (CPI = EV/AC)? 1.000000

What is the cost variance (CV = EV-AC)? 0.005200

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

Cost/Schedule Variance BY08

Is the CV% or SV% greater than 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100) No

If "yes," was it the CV or SV or both?

If "yes," explain the variance:

If "yes," what corrective actions are being taken?

What is the most current "Estimate at Completion"? 35.777000

Performance Baseline BY08

Have any significant changes been made to the baseline during the past fiscal year? **No**

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate 0 for any milestone no longer active.

If "yes," when was it approved by OMB?

Comparison of Initial Baseline and Current Approved Baseline

Milestone Number	Description of Milestone	Initial Baseline		Current Baseline				Current Baseline Variance		Percent Complete
		Planned Completion Date	Total Cost (Estimated)	Completion Date		Total Cost		Schedule (# days)	Cost	
				Planned	Actual	Planned	Actual			
0	eGrants Consolidated	09/30/2016	\$257.550	09/30/2016		\$203.224	\$8.797		\$194.427	4.86%
1	eGrants PMO Project Plan	09/30/2016	\$210.364	09/30/2016		\$162.038	\$0.192		\$161.846	0.50%
1.0	eGrants Planning	06/04/2007	\$0.942	06/04/2007		\$1.543	\$0.192		\$1.351	52.53%
1.1	Program Management Support	06/04/2007	\$0.270	06/04/2007		\$0.270	\$0.081		\$0.189	30.00%
1.2	Project Management	06/04/2007	\$0.158	06/04/2007		\$0.158	\$0.047		\$0.111	30.00%
1.3	Technical Support	02/27/2007	\$0.170	02/27/2007		\$0.771	\$0.064		\$0.707	81.78%
1.3.1	Functional Requirements	11/21/2006	\$0.034	11/21/2006		\$0.000				5.00%
1.3.1	Update OMB 300	09/11/2006	\$0.046	09/11/2006		\$0.630	\$0.063		\$0.567	100.00%
1.3.2	Risk Management Plan	11/30/2006	\$0.005	11/30/2006		\$0.005	\$0.001		\$0.004	10.00%
1.3.3	Modernization Strategy	01/29/2007	\$0.022	01/29/2007		\$0.051	\$0.000		\$0.051	0%
1.3.5	Concept of Operations	12/11/2006	\$0.017	12/11/2006		\$0.017	\$0.000		\$0.017	0%
1.3.5	Performance Measurement Plan	02/27/2007	\$0.017	02/27/2007		\$0.017	\$0.000		\$0.017	0%
1.3.6	Acquisition Plan	02/01/2007	\$0.012	02/01/2007		\$0.013	\$0.000		\$0.013	0%
1.3.7	Alternative Analysis	01/29/2007	\$0.017	01/29/2007		\$0.038	\$0.000		\$0.038	0%
1.4	Strategic Alignment Activities	06/04/2007	\$0.344	06/04/2007		\$0.344	\$0.000		\$0.344	15.00%
1.6	eGrants FY06 Maintenance	09/30/2006	\$0.632	09/30/2006		\$0.632	\$0.000		\$0.632	0%
1.7	eGrants FY07 Development	09/30/2007	\$15.300	09/30/2007		\$15.300				0%
1.7.1	Continue Consortia	09/30/2007	\$3.825	09/30/2007		\$3.825				0%
1.7.2	Establish Joint PMO	09/30/2007	\$3.825	09/30/2007		\$3.825				0%
1.7.3	Create Cross Cutting Services - Round 1	09/30/2007	\$3.825	09/30/2007		\$3.825				0%
1.7.4	Redirect Resources Funding	09/30/2007	\$3.825	09/30/2007		\$3.825				0%
1.8	eGrants FY08 Development	09/30/2008	\$9.580	09/30/2008		\$9.580				0%
1.8.1	Rollout Second Round of Cross Cutting Services	09/30/2008	\$2.395	09/30/2008		\$2.395				0%
	Finalize	09/30/2008	\$2.395	09/30/2008		\$2.395				0%

1.8.2	Existing Contracts and Activate New Ones									
1.8.3	Finalize Legislative Requirements to Ready HUD Systems for Consortium	09/30/2008	\$2.395	09/30/2008		\$2.395				0%
1.8.4	Retire Redundant Services	09/30/2008	\$2.395	09/30/2008		\$2.395				0%
1.9	eGrants FY09 Development	09/30/2009	\$12.620	09/30/2009		\$12.620				0%
1.9.1	Complete Enterprise Implementation	09/30/2009	\$6.310	09/30/2009		\$6.310				0%
1.9.2	Consolidate Remaining Contracts	09/30/2009	\$6.310	09/30/2009		\$6.310				0%
1.10	eGrants FY07 Maintenance	09/30/2007	\$2.450	09/30/2009		\$2.450				0%
1.10	eGrants FY08 Maintenance	09/30/2008	\$7.452	09/30/2008		\$7.452	\$0.000		\$7.452	0%
1.11	eGrants FY09 Development	09/30/2009	\$12.275	09/30/2009		\$12.275				0%
1.12	eGrants FY09 Maintenance	09/30/2009	\$10.000	09/30/2009		\$10.000				0%
1.12	eGrants FY10 Development	09/30/2010	\$15.989	09/30/2010		\$15.989				0%
1.12	eGrants FY10 Maintenance	09/30/2010	\$6.000	09/30/2010		\$6.000				0%
1.13	eGrants FY11 Development	09/30/2011	\$7.830	09/30/2011		\$7.830				0%
1.13	eGrants FY12 and Beyond Development	09/30/2016	\$41.650	09/30/2016		\$41.650				0%
1.14	FY 12 and Beyond Maintenance	09/30/2016	\$67.644	09/30/2016		\$18.717				0%
2	Legacy Investments	09/30/2011	\$47.186	09/30/2011		\$41.186	\$8.605		\$32.581	22.02%
2.1	FY05 Development	09/30/2005	\$0.639	09/30/2005		\$0.639	\$0.639		\$0.000	100.00%
2.2	FY06 Development	09/30/2006	\$8.153	09/30/2006		\$2.153	\$1.615		\$0.538	75.00%
2.3	FY05 Maintenance	09/30/2005	\$1.888	09/30/2005		\$1.888	\$1.888		\$0.000	100.00%
2.4	FY06 Maintenance	09/30/2006	\$6.569	09/30/2006		\$6.569	\$4.463		\$2.106	75.00%
2.5	FY07 Maintenance	09/30/2007	\$5.951	09/30/2007		\$5.951				0%
2.6	FY08 Maintenance	09/30/2008	\$7.450	09/30/2008		\$7.450				0%
2.7	FY09 Maintenance	09/30/2009	\$5.850	09/30/2009		\$5.850				0%
2.8	FY10 Maintenance	09/30/2010	\$5.850	09/30/2010		\$5.850				0%
2.9	FY11 Maintenance	09/30/2011	\$4.836	09/30/2011		\$4.836				0%
Project Totals		09/30/2016	\$257.550	09/30/2016		\$203.224	\$8.797		\$194.427	4.86

III.A: RISK MANAGEMENT BY08

Risk Management Plan BY08

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above. You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	No
What is the date of the risk management plan?	
Has the Risk Management Plan been significantly changed since last year's submission to OMB?	No
If "yes," describe any significant changes to the Risk Management Plan:	
If there currently is no risk plan, will a plan be developed?	Yes
If "yes," what is the planned completion date of the risk plan?	11/30/2006
If "no," what is the strategy for managing the risks?	

III.B: COST AND SCHEDULE PERFORMANCE BY08

Operational Analysis BY08

Was operational analysis conducted?	
If "yes," provide the date the operational analysis was completed.	
Please provide a brief summary of the operational analysis results.	
If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:	

Performance Baseline BY08

Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts.

What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? **Contractor Only**

Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date	Total Cost	Completion Date	Total Cost	Schedule (# days)	Cost
Project Totals							

IV.A: E-GOV AND LINES OF BUSINESS OVERSIGHT BY08

Partners BY08

Part IV should be completed only for investments identified as an E-Gov initiative or a Line of Business(LOB), i.e., selected the E-Gov and LOB Oversight choice in response to Question 6 in Part I, Section A above. Investments identified as E-Gov and LOB Oversight will complete only Parts I and IV of the exhibit 300.

Multi-agency initiatives, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

As a joint exhibit 300, please identify the agency stakeholders. Provide the partner agency and partner agency approval date for this joint exhibit 300.

Stakeholder Table

Partner Agency Name	Partner Agency	Joint Exhibit Approval Date
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Partnering Strategies BY08

Provide the partnering strategies you are implementing with the participating agencies and organizations. Identify all partner agency capital assets supporting the common solution; Managing Partner capital assets should also be included in this joint exhibit 300. These capital assets should be included in the Summary of Spending table of Part I, Section B. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53)

Partner Capital Assets within this Investment

Partner Agency Name	Partner Agency	Partner Agency Asset Title	Partner Agency Exhibit 53 UPI (BY2008)
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Partner Funding BY08

For jointly funded initiative activities, provide in the "Partner Funding Strategies Table": the name(s) of partner agencies; the UPI of the partner agency investments; and the partner agency contributions for CY and BY. Please indicate partner contribution amounts (in-kind contributions should also be included in this amount) and fee-for-service amounts. (Partner Agency Asset UPIs should also appear on the Partner Agency's exhibit 53. For non-IT fee-for-service amounts the Partner exhibit 53 UPI can be left blank)

Partner Funding Strategies

Partner Agency Name	Partner Agency	Partner exhibit 53 UPI (BY2008)	CY Contribution	CY Fee-for-Service	BY Contribution	BY Fee-for-Service
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Analysis Background BY08

An Alternatives Analysis for E-Gov and LOB initiatives should also be obtained. At least three viable alternatives, in addition to the current baseline (i.e., the status quo),

should be included in the joint exhibit 300. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project? **No**

If "yes," what is the date of the analysis?

If "no," what is the anticipated date this analysis will be completed? **5/30/2007**

If no analysis is planned, please briefly explain why:
N/A

Alternatives Table BY08

Use the results of your alternatives analysis to complete the following table:

Alternatives Analysis Results

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	TBD	TBD	0	0
True	TBD	TBD	0	0
True	TBD	TBD	0	0
False			0	0
False			0	0

Selected Alternative BY08

Which alternative was selected by the Initiative Governance process and why was it chosen?

What specific qualitative benefits will be realized?

Quantitative Benefits BY08

What specific quantitative benefits will be realized (using current dollars) Use the results of your alternatives analysis to complete the following table:

Federal Quantitative Benefits

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Cost Avoidance
PY - 6 2000	0	0		
PY - 5 2001	0	0		
PY - 4 2002	0	0		
PY - 3 2003	0	0		
PY - 2 2004	0	0		
PY - 1 2005	0	0		
PY 2006	0	0		
CY 2007	0	0		
BY 2008	0	0		
BY + 1 2009	0	0		
BY + 2 2010	0	0		
BY + 3 2011	0	0		
BY + 4 2012	0	0		
BY + 5 2013	0	0		

	Budgeted Cost Savings	Cost Avoidance	Justification for Budgeted Cost Savings	Justification for Cost Avoidance
BY + 6 2014	0	0		
BY + 7 2015	0	0		
BY + 8 2016	0	0		
Total LLC Benefit	0	0		

IV.B: RISK MANAGEMENT BY08

Risk Management Plan BY08

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	No
What is the date of the risk management plan?	
Has the Risk Management Plan been significantly changed since last year's submission to OMB?	No
If "yes," describe any significant changes to the Risk Management Plan:	
If there currently is no risk plan, will a plan be developed?	Yes
If "yes," what is the planned completion date of the risk plan?	11/30/2006
If "no," what is the strategy for managing the risks?	

Investment Risks BY08

Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

This will be addressed when the Risk Management Plan is formulated.

IV.C: COST AND SCHEDULE PERFORMANCE BY08

Earned Value BY08

You should also periodically be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

Answer the following questions about the status of this investment. Include information on all appropriate capital assets supporting this investment except for assets in which the performance information is reported in a separate Exhibit 300.

Are you using EVM to manage this investment?	
Does the earned value management system meet the criteria in ANSI/EIA Standard - 748?	Yes
If "no," explain plans to implement EVM:	

Please provide a brief summary of the operational analysis results.

This sub-sections questions are NOT applicable for capital assets with ONLY O&M

Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?	Contractor Only
EVMS "As of" date:	6/30/2006
What is the Planned Value (PV)?	17.672000
What is the Earned Value (EV)?	17.180000
What is the actual cost of work performed (AC)?	17.174000
What is the calculated Schedule Performance Index (SPI = EV/PV)?	0.972000
What is the schedule variance (SV = EV-PV)?	-0.492200
What is the calculated Cost Performance Index (CPI = EV/AC)?	1.000000
What is the cost variance (CV = EV-AC)?	0.005200

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

Cost/Schedule Variance BY08

Is the CV% or SV% greater than 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)	No
If "yes," was it the CV or SV or both?	
If "yes," explain the variance:	
If "yes," what corrective actions are being taken?	

