

# FY 2003 Formula Response Form Indian Housing Block Grant Formula Data for the « 1» Tribe

This document provides notice to tribes/TDHEs of the data to be used in calculating their Indian Housing Block Grant (IHBG) Program allocation for Fiscal Year (FY) 2003. It also provides an estimate of their FY 2003 allocation. Please carefully review your Tribe's data then report discrepancies, including updated information, to the IHBG Formula Customer Service Center as described below.

All tribes/TDHEs are responsible for reporting discrepancies in the following sections along with appropriate documentation to the IHBG Formula Customer Service Center:

- ❖ Formula Current Assisted Stock.
- ❖ Formula Area.
- ❖ Overlapping Formula Areas.
- ❖ Formula Area Population Cap.

The submission must be postmarked or faxed by **September 15, 2002**, for consideration in the FY 2003 allocation. For questions regarding appropriate documentation, please contact the Formula Customer Service Center at the address listed below.

Tribes/TDHEs wishing to challenge their Census data should review the **Needs section** of this form. In January 2002, tribes/TDHEs were notified of their FY 2002 Allocation and Formula Data. They were also reminded of the June 15, 2002, deadline for FY 2003 Census challenges. The Needs data in this document should include any successful Census Challenges approved to date. You should check the Needs section and verify that successful challenges have been incorporated.

In January 2003, tribes/TDHEs will be notified of their FY 2003 Allocation and Formula Data. Also at that time, we will remind tribes/TDHEs of the **June 15, 2003**, deadline for FY 2004 Census challenges. Should you wish to challenge your Needs data, please consult the guide titled, "*Challenging U.S. Decennial Census Data: Guidelines for the Indian Housing Block Grant Formula.*" The guide outlines procedures for conducting a Census challenge and can be obtained from the IHBG Formula Customer Service Center. Page 10 of the document contains a discussion on the documentation required for a Census challenge submission. HUD may also correct or challenge any formula data. In accordance with 24 CFR 1000.336, tribes will receive proper notification if HUD proposes such a challenge.

The IHBG Formula Customer Service Center can be contacted at:

1331 H Street NW  
Suite 1000  
Washington, DC 20005

E-mail: IHBGformula@swinter.com  
Phone: 1 (800) 410-8808  
Fax: 1 (202) 393-5043

## Formula Current Assisted Stock Homeownership and Rental

HUD records show your Tribe/TDHE having the following Formula Current Assisted Stock (FCAS) funded by 1937 Housing Act programs. Please compare the project numbers, number of units, type of units, and Date of Full Availability (DOFA) with your records. Then postmark or fax any discrepancies, including updated information, to the IHBG Formula Customer Service Center by **September 15, 2002**, for inclusion in the FY 2003 allocation.

**Count**

- ❖ Low Rent, Mutual Help and Turnkey III units funded under 1937 Housing Act (i.e., units that were subject to an Annual Contributions Contract (ACC)).
- ❖ Units converted prior to October 1, 1997, as the type of unit funded on the latest ACC.
- ❖ Units converted after October 1, 1997, as the type of unit to which it was converted.

**Do Not Count**

- ❖ Units built with NAHASDA, HOME or ICDBG funds.
- ❖ Units built with BIA, State or tribal funds.
- ❖ Units built over number specified in original ACC for Projects that DOFA after October 1, 1997.
- ❖ Units used for non-dwelling purposes.
- ❖ Units that have been conveyed.
- ❖ Units that are paid-off but not conveyed unless the conveyance was beyond the entity's control (see NAHASDA Guidance 98-19).

Please complete and submit appropriate forms.

- ❖ Use **Appendix A1** to report changes due to conveyances.
- ❖ Use **Appendix A2** to report changes due to DOFAs.
- ❖ Use **Appendix A3** to report changes due to conversions.
- ❖ Use **Appendix A4** to report all other FCAS changes.

Please note that to maintain fairness to all tribes, back-funding is not provided for previously unreported units. However, since it is each tribe's responsibility to report all discrepancies, over-funding for ineligible units will be recovered.

| Project Number | Low Rent | Mutual Help | Turnkey III | Development | DOFA |
|----------------|----------|-------------|-------------|-------------|------|
|----------------|----------|-------------|-------------|-------------|------|

« 2 »

« 1 »

## Formula Current Assisted Stock Section 8

As of September 30, 1997, HUD records show your Tribe/TDHE having the following Section 8 contracts with respective contract expiration dates. By regulation, Section 8 units are counted under the IHBG formula only:

- ❖ After the original Section 8 contract has expired.
- ❖ When the tribe/TDHE continues to operate these units as low-income rental units.

Please indicate any changes to the number of Section 8 units your tribe manages or to their contract expiration dates. When a voucher or certificate expires, credit will be prorated based on the remaining part of the Fiscal Year. For example, if the voucher or certificate expires in the middle of the Fiscal Year, a tribe will receive funding for half a year.

Please note that Section 1003(k) of Public Law 106-568 amends Section 502(a) of NAHASDA by adding the following language at the end of 502(a), "Any housing that is the subject of a contract for tenant-based assistance between the Secretary and an Indian housing authority that is terminated under this section shall, for the following fiscal year and each fiscal year thereafter, be considered to be a dwelling unit under section 302(b)(1)." The Department believes that a regulatory change is needed to implement this provision and is currently in the process of selecting members for the negotiated rulemaking committee. Therefore, these changes have not yet been incorporated.

| Contract Number | Number of Section 8 Units | Contract Expiration Date |
|-----------------|---------------------------|--------------------------|
|-----------------|---------------------------|--------------------------|

« 3 »

« 1 »

**Needs Data**

**(Please note: Census challenges must be postmarked or faxed by June 15 for upcoming fiscal year)**

Listed below are the data currently being used for your Tribe's allocation based on 1990 U.S. Census data available for your Tribe's "Formula Area" (see Formula Area section of this document). The Census data are from a special tabulation of 1990 Sample Census Data that separated the American Indian and Alaskan Native (AIAN) population of each county into counts for reservation lands and for the balance of the county. This Census data is adjusted by the Native American population growth rate for the county between 1990 and 2000 based on Indian Health Service data for Native American births and deaths.

Please note that the definition for Native American Household for this special tabulation is a household where the head of household and/or spouse is Native American. This is a broader definition than what standard Census tabulations report. In addition, HUD uses the U.S. Census Bureau's definition of a household. A household by this definition is a person or group of persons who live in a housing unit. Therefore, a house with 3 families residing in that house would be counted as one household, not 3 households. This is important to note when submitting a Census challenge.

If you disagree with the data below, **first check to see if the Formula Area, as listed on the following page, is correct for your Tribe.** If the Formula Area is not correct, submit that correction. If the Formula Area for your tribe is correct, or if you believe that the Formula Area correction will not resolve the data discrepancies, you may wish to challenge these data. If so, please review the guidelines in "*Challenging U.S. Decennial Census Data: Guidelines for the Indian Housing Block Grant Formula.*" This document can be obtained from the IHBG Formula Customer Service Center at the address listed on the first page of this form.

- AIAN persons« 12»: « 5»
- AIAN households with annual income less than 30% of median income: « 6»
- AIAN households with annual income between 30% and 50% of median income: « 7»
- AIAN households with annual income between 50% and 80% of median income: « 8»
- AIAN households which are overcrowded or without kitchen or plumbing: « 9»
- AIAN households with housing cost burden greater than 50% of annual income: « 10»
- Housing Shortage (number of low-income AIAN households less total number of NAHASDA and Formula Current Assisted Stock): « 11»

If there is a "\*" next to "AIAN persons" above, the tribe's data have been "capped." This occurs when the Native American population in the tribe's service area is greater than 200% of its total tribal enrollment.

**« 1 »**  
**Formula Area**

Formula Area is the geographic area over which an Indian tribe could exercise court jurisdiction or is providing substantial housing services and, where applicable, the Indian tribe or TDHE has agreed to provide housing services pursuant to a Memorandum of Agreement with the governing entity or entities (including Indian tribes) of an area, including but not limited to:

- (1) A Reservation;
- (2) Trust Land;
- (3) Alaska Native Village Statistical Area;
- (4) Alaska Native Claims Settlement Act Corporation Service Area;
- (5) Department of the Interior Near-Reservation Service Area;
- (6) Former Indian Reservation Areas in Oklahoma as defined by the Census as Tribal Jurisdictional Statistical Area;
- (7) Congressionally Mandated Service Area; and
- (8) State legislatively defined Tribal Areas as defined by the Census as Tribal Designated Statistical Areas.

The geographic areas currently contained in your Formula Area are listed below. If any of these areas do not meet the above criteria, please indicate so below.

A "+" next to a geographic area listed below indicates that the area overlaps with another tribe. For overlapping areas, be sure to include the information in the next section "Overlapping Formula Areas." If a tribe's Formula Area extends beyond Reservation or Trust Land boundaries into a county, the geographic area for that county is listed as "Balance," implying the balance of the county less any reservations or trust lands within that county.

The tribe's current Formula Area is: **« 4 »**

If you wish to request other geographic areas to be included in your Tribe's Formula Area, please complete the Formula Area table in **Appendix B**. HUD will review this submission and determine whether or not to include these areas. HUD will make its judgment using as its guide whether this addition is fair and equitable for all tribes receiving a formula allocation.

« 1 »

**Overlapping Formula Areas**  
**Only for tribes with a "+" next to a geographic area**  
**(see previous section on Formula Area)**

To provide an allocation of Formula Area Needs Data (population) to tribes with overlapping IHBG Formula Areas, HUD is currently using Bureau of Indian Affairs (BIA) and HUD estimates for Total Resident Indian Service Area Population to proportionately allocate U.S. Census data to affected tribes. Although the geography represented by BIA data is not always consistent with the geography of the Census data, HUD finds that the BIA data is a good estimate available at a national level.

The Total Resident Indian Service Area Population being used for your Tribe is: « 16 »

**If you wish to correct your Tribe's Total Resident Indian Service Area Population, please contact your BIA Area Office to correct your Tribe's number. HUD will only accept written correspondence from the BIA to correct Total Resident Indian Service Area Population figures.**

However, HUD recognizes that tribes may be able to provide better data. To improve this method, HUD is requesting that each tribe provide their tribal enrollment within each of the geographic areas described in the preceding section as overlapping geographical areas. Tribes also need to provide a letter addressed to HUD verifying the tribe's continued commitment to serve the housing needs of AIAN families in that area. **If all tribes in an overlapping area submit corrected information** to HUD, HUD will then use this information to divide the funds for the affected area. Otherwise, HUD will continue to use the BIA and HUD estimates to make the allocations. On the form in **Appendix C**, list the overlapping geographic area (indicated earlier under the Formula Area section by a "+" next to the geographic area) and your tribe's enrollment in the area.

## Tribal Enrollment & Formula Area Population Cap

Tribal enrollment is used to cap AIAN persons data under the Needs section of this form. A cap is placed at twice your tribal enrollment. If there is a "\*" next to "AIAN persons" under the Needs section above, your Tribe's data have been "capped."

The tribal (Alaskan Corporation) enrollment being used for your tribe is: « 16 »

If your Tribe's enrollment is different than what is listed above, please follow the instructions in **Appendix D** for correcting your Tribe's enrollment.

If the number of AIAN persons exceeds **twice** your tribal enrollment and you are providing housing services to more than twice as many Native Americans who are non-members of your Tribe than members, please follow the instructions in **Appendix D** for correcting your Tribe's Population Cap. Attach supporting documentation demonstrating your Tribe's commitment to providing housing services to substantially more non-member Native Americans who are members of another federally recognized tribe and include a breakdown by tribal affiliation of the Native Americans that you are serving.

Please note that the **Formula Area Population Cap Adjustments must be submitted on an annual basis** to the IHBG Formula Customer Service Center for approval. The requests must be postmarked or faxed by **September 15** of each fiscal year.

## Adjustment Factors & Preliminary Grant Amount

### Local Area Cost Adjustments

|                               |        |
|-------------------------------|--------|
| Annual Expense Level (AEL):   | « 13 » |
| Fair Market Rent (FMR):       | « 14 » |
| Total Development Cost (TDC): | « 15 » |

### Inflation Rate Factor

16

### Preliminary Grant Amount

*(based on an estimated \$XXX million Congressional allocation)*

|   |               |
|---|---------------|
| 1. Current Assisted Stock:  | « 17 »        |
| 2. Need:  | « 17 »        |
| 3. Adjustments to achieve FY 1996 Base Year Amount <sup>2</sup> : | <u>« 19 »</u> |
| 4. FY 2001 Grant <sup>3</sup> :                                   | « 20 »        |
| 5. FY 1998 Adjustments:   | 21            |
| 6. FY 1999 Adjustments:   | 22            |
| 7. FY 2000 Adjustments:   | 23            |
| 8. FY 2001 Adjustments:   | 24            |
| 9. FY 2002 Adjustments:   | 25            |
| 10. Repayments <sup>4</sup> :                                     | 26            |
| 11. FY 2003 Grant with Admustments <sup>3</sup> :                 | 27            |

<sup>2</sup> FY 1996 base year amount is the amount of funds a tribe received in FY 1996 for operating subsidy and modernization. NAHASDA mandates that the formula cannot allocate less than this amount to a tribe.

<sup>3</sup> This is only a preliminary estimate to be used for planning purposes based on an estimated \$XXX million appropriation for the Indian Housing Block Grant. It will change based on (1) corrections to the data used for all tribes (any change in one tribe's data affects the allocation for all tribes) and/or (2) actual FY 2000 appropriations.

<sup>4</sup> This year, a new entry has been added to the Formula Response Form for repayment. This affects only tribes that have a repayment agreement with HUD for previous year over-funding. Your tribe's repayment amount is now included in your tribe's FY 2003 estimate. It will no longer be necessary to manually deduct this amount.



## Appendix A1: Conveyance of Formula Current Assisted Stock (FCAS) Units

### Conveyance Regulation:

According to 24 CFR 1000.318, "Mutual Help and Turnkey III units shall no longer be considered Formula Current Assisted Stock (FCAS) when the housing entity no longer has the legal right to own, operate, or maintain the units, whether such right is lost by conveyances, demolition, or otherwise."

According to NAHASDA Guidance Number 98-19, "The tribe/TDHE shall not include units that have been paid-off but not conveyed unless they can demonstrate that reasons beyond their control have not made conveyance practical. The tribe/TDHE or IHA must demonstrate that they have actively enforced strict compliance by the homebuyers with the terms and conditions of the MHOA, including the requirements for full and timely payment. Because promissory notes can be issued, Tenant Account Receivables alone are not adequate for non-conveyance."

- ❖ Report units that have been conveyed.
- ❖ Report units that are paid-off but not conveyed unless the conveyance was beyond the entity's control (see NAHASDA Guidance 98-19).

Please note that to maintain fairness to all tribes, over-funding for ineligible units will be recovered. Please provide information below for each unit conveyed\*.

**Project number:**

| Conveyed Unit Number: | Paid-off date | Conveyance date | Explanation for conveyance delays greater than two (2) years |
|-----------------------|---------------|-----------------|--|
| 1                     |               |                 |  |
| 2                     |               |                 |  |

**Project number:**

| Conveyed Unit Number: | Paid-off date | Conveyance date | Explanation for conveyance delays greater than two (2) years |
|-----------------------|---------------|-----------------|--|
| 1                     |               |                 |  |
| 2                     |               |                 |  |

**Project number:**

| Conveyed Unit Number: | Paid-off date | Conveyance date | Explanation for conveyance delays greater than two (2) years |
|-----------------------|---------------|-----------------|--|
| 1                     |               |                 |  |
| 2                     |               |                 |  |

\*Please postmark or fax discrepancies with appropriate supporting documentation to the IHBG Formula Customer Service Center by **September 15, 2002**, for inclusion in the FY 2003 allocation.

## Appendix A2: Date of Full Availability (DOFA) of Formula Current Assisted Stock (FCAS) Units

### DOFA Regulation:

According to 24 CFR 1000.312 and 1000.314 "Formula Current Assisted Stock (FCAS) consists of housing units owned or operated pursuant to an ACC. This includes all Low Rent, Mutual Help, and Turnkey III units under management as of September 30, 1997, and all 1937 Act units in the development pipeline when they become owned or operated by recipients and are under management as indicated in the Formula Response Form."

- ❖ Report only Low Rent, Mutual Help, and Turnkey III units funded under 1937 Housing Act (i.e., units that were subject to an Annual Contributions Contract (ACC))
- ❖ Do not report units built with NAHASDA, HOME, or ICDBG funds.
- ❖ Do not report units built with BIA, State, or tribal funds.
- ❖ Do not report units built over number specified in original ACC for Projects that DOFA after Oct 1, 1997.

Please note that to maintain fairness to all tribes, back-funding is not provided for previously unreported units. Please provide the information below for each new reported project DOFA.\* Please provide a copy of the Annual Contribution Contract (ACC) for each reported project.

| Project Number | Number of Units | Type of Units | DOFA date |
|----------------|-----------------|---------------|-----------|
|                |                 |               |           |
|                |                 |               |           |
|                |                 |               |           |
|                |                 |               |           |

\*Please postmark or fax discrepancies with appropriate supporting documentation to the IHBG Formula Customer Service Center by **September 15, 2002**, for inclusion in the FY 2003 allocation.

## Appendix A3: Conversion of Formula Current Assisted Stock (FCAS) Units

### Conversion Regulation:

According to NAHASDA Guidance No. 98-12, "If FCAS units were converted prior to Oct.1, 1997, as evidenced by an amended Annual Contribution Contract (ACC), then those units will be counted as the type of unit to which they were converted [for formula purposes]. If units were converted on or after October 1, 1997, then those units will be counted as the type of unit specified in the original ACC [for formula purposes]."

- ❖ Count units converted prior to Oct 1, 1997, as the type of unit converted to.
- ❖ Count units converted after Oct 1, 1997, as the type of unit on the original ACC.

Please provide the information below for each project converted prior to October 1, 1997.\*  
Please provide a copy of the Amended ACC for each project.

| Project Number | Number of Units on Formula Response Form | Number of Units after Conversion |             |             | Date of Conversion |
|----------------|--|----------------------------------|-------------|-------------|--------------------|
|                |  | Low Rent                         | Mutual Help | Turnkey III |                    |
|                |  |                                  |             |             |                    |
|                |  |                                  |             |             |                    |
|                |  |                                  |             |             |                    |
|                |  |                                  |             |             |                    |

\*Please postmark or fax discrepancies with appropriate supporting documentation to the IHBG Formula Customer Service Center by **September 15, 2002**, for inclusion in the FY 2003 allocation.

## Appendix A4: All Other Corrections to Formula Current Assisted Stock (FCAS)

### FCAS Correction Regulation:

According to 24 CFR 1000.312 and 1000.314 "Formula Current Assisted Stock (FCAS) consists of housing units owned or operated pursuant to an ACC. This includes all Low Rent, Mutual Help, and Turnkey III units under management as of September 30, 1997, and all 1937 Act units in the development pipeline when they become owned or operated by recipients and are under management as indicated in the Formula Response Form."

- ❖ Report units used for non-dwelling purposes.
- ❖ Report discrepancies that cannot be explained by conveyances, DOFAs and/or conversion. For these types of corrections, use Appendices A1, A2, and A3.

Please provide the information below for each project requiring a correction that is not a conveyance, DOFA, or conversion. To add units or projects to your FCAS, an Annual Contributions Contract (ACC) must be provided. To remove units or projects, the date and reason for change must be reported.

| Project Number | FRF Units & Type | Correction | Difference | Reason for Correction |
|----------------|------------------|------------|------------|-----------------------|
|                |                  |            |            |                       |
|                |                  |            |            |                       |
|                |                  |            |            |                       |

## Appendix B: Request to include Other Geographies to Formula Area

### Formula Area Regulation:

According to 24 CFR 1000.302, “(1) Formula area is the geographic area over which an Indian Tribe could exercise court jurisdiction or is providing substantial housing services and, where applicable, the Indian tribe or TDHE has agreed to provide housing services pursuant to a Memorandum of Agreement with the governing entity or entities (including Indian tribes) of the area, including but not limited to: (i) A Reservation; (ii) Trust Land; (iii) Alaska Native Village Statistical Area; (iv) Alaska Native Claims Settlement Act Corporation Service Area; (v) Department of the Interior Near-Reservation Service Area; (vi) Former Indian Reservation Areas in Oklahoma as defined by the Census as Tribal Jurisdictional Statistical Area; (vii) Congressionally Mandated Service Area; and (viii) State legislatively defined Tribal Areas as defined by the Census as Tribal Designated Statistical Areas.

(2) For additional areas beyond those identified in the above list of eight, the Indian tribe must submit on the Formula Response Form the area that it wishes to include in its Formula Area and what previous and planned investment it has made in the area. HUD will review this submission and determine whether or not to include this area. HUD will make its judgment using as its guide whether this addition is fair and equitable for all Indian tribes in the formula.”

Please provide the following information below for each geographic area you want included in your Tribe’s Formula Area if it meets Part (1) of 24 CFR 1000.302:

- ❖ Map of the Reservation.
- ❖ Map of the Trust Land.
- ❖ Map of the Alaska Native Village Statistical Area.
- ❖ Map of the Alaska Native Claims Settlement Act Corporation Service Area.
- ❖ Federal Register notice publishing designation Department of Interior Near-Reservation Service Areas.
- ❖ Map of the Former Indian Reservation Areas in Oklahoma (attach map of Tribal Jurisdictional Statistical Area).
- ❖ Public Law document legislating Congressionally Mandated Service Areas.
- ❖ Map of the State legislatively defined Tribal Areas.

If the geography is not included in this list, please provide the information below and a map\* for each area that you want included in your Tribe’s Formula Area:

| Geographic Area Name | American Indian / Alaskan Native (AIAN) Population | AIAN Households | AIAN Households Receiving Assistance (Tribal Members) | AIAN Households Receiving Assistance (Non-members) | Percent of AIAN Households Receiving Assistance | Total Dollar Amount of Assistance for all Programs | Dollar Amount of Assistance per AIAN Household |
|----------------------|--|-----------------|---|--|---|--|--|
|                      |  |                 |   |  |   |  |  |
|                      |  |                 |   |  |   |  |  |
|                      |  |                 |   |  |   |  |  |

## Appendix B (con't): Request to Include Other Geographies to Formula Area

Please provide the information below for each area that you want included in your Tribe's Formula Area. In addition, please provide a brief narrative describing each program.

| Geographic Area Name | Affordable Housing Program | Program Purpose | Total Dollar Amount of Program Investment |
|----------------------|----------------------------|-----------------|---|
|                      |                            |                 |   |
|                      |                            |                 |   |

\*Maps are available from the US Census Bureau. <http://ftp2.census.gov/geo/maps/blk2000/>

## Appendix C: Enrollment in Overlapping Areas

### Overlapping Area Regulation:

According to 24 CFR 1000.326, “(a) If an Indian tribe’s formula area overlaps with the formula area of one or more other Indian tribes, the funds allocated to that Indian tribe for the geographic area in which the formula areas overlap will be based on: (1) The Indian tribe’s proportional share of the population in the overlapping geographic area; and (2) The Indian tribe’s commitment to serve that proportional share of the population in such geographic area. (3) In cases where a State recognized Indian tribe’s formula area overlaps with a Federally recognized Indian tribe, the Federally recognized Indian tribe receives the allocation for the overlapping area. (b) Tribal membership in the geographic area (not to include dually enrolled tribal members) will be based on data that all Indian tribes involved agree to use. Suggested data sources include tribal enrollment lists, Indian Health Service User Data, and Bureau of Indian Affairs data. (c) If the Indian tribes involved cannot agree on what data source to use, HUD will make the decision on what data will be used to divide the funds between the Indian tribes by August 1.”

Please provide the information below for each portion of your Tribe’s Formula Area. **This information will only be used if ALL tribes in the overlapping area submit data.**

| Geographic Area Name | Tribal Enrollment |
|----------------------|-------------------|
|                      |                   |
|                      |                   |

If you wish to correct your Tribe’s Total Resident Indian Service Area Population, please contact your BIA Area Office to correct your Tribe’s number. HUD will only accept written correspondence from the BIA to correct Total Resident Indian Service Area Population figures.

## Appendix D: Tribal Enrollment & Population Cap

### Population Cap Regulation:

According to 24 CFR 1000.302, “(3) In some cases the population data for an Indian tribe within its formula area is greater than its tribal enrollment. In general, for those cases to maintain fairness for all Indian tribes, the population data will not be allowed to exceed twice an Indian tribe’s enrolled population. However, an Indian tribe subject to this cap may receive an allocation based on more than twice its total enrollment if it can show that it is providing housing assistance to substantially more non-member Indians and Alaskan Natives who are members of another Federally recognized Indian tribe than it is to members. (4) In cases where an Indian tribe is seeking to receive an allocation more than twice its total enrollment, the tribal enrollment multiplier will be determined by the total number of Indians and Alaskan Natives the Indian tribe is providing housing assistance (on July 30 of the year before funding is sought) divided by the number of members the Indian tribe is providing housing assistance. For example, an Indian tribe which provides housing to 300 Indians and Alaskan Natives, of which 100 are members, would then be able to receive an allocation for up to three times its tribal enrollment if the Indian and Alaskan Native population in the area is three or more times the tribal enrollment.”

According to NAHASDA Guidance 98-12, “A tribe must demonstrate that it is serving substantially more non-member Indians and Alaskan Natives who are members of another federally recognized tribe than members. For Population Cap purposes, Housing Assistance refers to grants or subsidies provided within the year before funding is sought to make housing more affordable for low-income Indians and Alaskan Natives who are member of Federally recognized Indian tribes including but not limited to: HOME programs; energy assistance; home improvement assistance; mortgage or downpayment assistance; homeless or emergency shelter assistance; and, programs similar to the programs formerly known as Mutual Help, Low Rent, Turnkey 3, and Section 8.”

If you wish to correct your Tribe’s enrollment, have your Tribe’s enrollment officer submit a signed letter stating your Tribe’s enrollment.

**Tribal Enrollment:** \_\_\_\_\_

If you wish to adjust your Tribe’s population cap to a level greater than twice your Tribe’s enrollment, please provide the information below and a brief narrative describing the programs.

| <b>A</b><br><b>Tribal Enrollment</b> | <b>B</b><br><b>Total Persons Served</b> | <b>C</b><br><b>Tribal Members Served</b> | <b>D</b><br><b>Members of Other Federally Recognized Tribes Served</b> | <b>Factor (C+D)/C</b> |
|--------------------------------------|---|--|--|-----------------------|
|                                      |   |  |  |                       |
|                                      |   |  |  |                       |