

The American Recovery and Reinvestment Act of 2009

**Office of Native American Programs
Office of Public and Indian Housing
U.S. Department of Housing and
Urban Development**

The American Recovery and Reinvestment Act of 2009

- **Public Law 111-5 enacted Feb. 17, 2009**
- **www.Recovery.gov**
- **www.HUD.gov/recovery**

The Recovery Act Investment in Indian Country

- **Indian Housing Block Grants-\$510 million**
 - **\$255,000,000 formula**
 - **\$242,250,000 competition**
- **Indian Community Development Block Grants**
 - **\$10,000,000 competition**

The Native American Housing Block Grant (NAHBG) Formula Program

Overview

NAHBG Formula Program

The Recovery Act provides \$255 million in Native American Housing Block Grant (NAHBG) Formula Funds

NAHBG Formula Program

- **Distribution by same funding formula used to allocate Indian Housing Block Grant funds in Fiscal Year (FY) 2008**
- **Recipients Received Pro-Rata Share of the FY 2008 IHBG**

NAHBG Formula Program

- Information on the distribution of the NAHBG awards by formula can be find on the HUD Recovery Act website at <http://www.hud.gov/recovery/native-american-formula.cfm>

NAHBG Formula Program

- **March 11, 2009 – letter to Tribal Leaders regarding the Recovery Act funding**
- **March 19, 2009 – letter to FY 2008 recipients from Area ONAPs**
 - **Indian Housing Plan Amendment**
 - **Grant Agreement with special conditions**

NAHBG Formula Program

- **Next Steps**

- **Obtain a DUNS Number**

- **Register with the CCR**

- **Website: www.ccr.gov**

- **User's Guide -**

- <http://www.ccr.gov/handbook.aspx>

- **Help Desk - 1-888-227-2423**

- **FAQs -**

- <http://www.ccr.gov/FAQ.aspx>

NAHBG Formula Program

- **Next Steps (continued)**
 - **Prepare an IHP Amendment**
 - **Execute a Grant Agreement with Special Conditions**

NAHBG Formula Program

Special Conditions:

- Eligible Activities**
- Priority for contracts awarded within 180 days**
- Obligation and expenditure timelines**
- Reporting Requirements**
- DUNS and CCR required**
- One-time funding**
- Misconduct reported to the Inspector General**

NAHBG Formula Program

- **Eligible Activities:**
 - **new construction**
 - **acquisition**
 - **rehabilitation, including energy efficiency and conservation**
 - **infrastructure development**

NAHBG Formula Program

**Priority to “shovel ready”
projects**

NAHBG Formula Program

Key Dates

- **100% of funds must be obligated within 1 year**
- **50% of funds must be spent within 2 years**
- **100% of funds must be spent within 3 years**
- **Clock begins the date funds are available in LOCCS**

NAHBG Formula Program Reporting Requirements

- **Section 1512 of Recovery Act**
- **Reports due 10 days after each quarter starting July 10, 2009**

NAHBG Formula Program Reporting Requirements

- **Reports must include, at a minimum**
 - **Recovery Grant amount**
 - **Funds obligated and expended**
 - **Details of Project**
 - **Detailed information on subcontract**
- **NAHASDA Reports Required**
 - **APR**
 - **SF-272-I**

NAHBG Formula Program WAIVERS

- **Recovery Act authorizes certain waivers of statutory and regulatory requirements**
- **Exceptions: fair housing, nondiscrimination, labor standards or the environment**

NAHBG Formula Program WAIVERS

- **Waivers specific to NAHBG Formula include:**
 - **IHP Submission**
 - **Tribal Certifications**
 - **Local Cooperation Agreements**
 - **Total Development Costs**

NAHBG Formula Program Summary

- **\$255 M allocated**
- **IHP Amendment and Grant Agreement**
- **DUNS number and CCR Registration**
- **Eligible activities**
- **Priority to awards within 180 days**
- **Obligation and Expenditure Deadlines**
- **Reporting Requirements**
- **Waivers**

The Native American Housing Block Grant (NAHBG) Competitive Program

Overview

The NAHBG Competitive Program

**The Recovery Act provides
\$242,250,000 in Native American
Housing Block Grant (NAHBG)
Competitive Funds**

NAHBG Competitive Program Eligible Applicants

- **Indian tribes or tribally designated housing entities (TDHE) eligible to receive funding under NAHASDA**

NAHBG Competitive Program Grant Ceilings

Recovery Act Formula	Grant Ceiling
Over \$5 million	\$5 million
More than \$2 million but less than \$5 million	\$4 million
More than \$750,000 but less than \$2 million	\$3 million
Less than \$750,000	\$2 million

NAHBG Competitive Program Waivers

- **Recovery Act authorizes waivers of certain statutory and regulatory requirements**
- **Exceptions: fair housing, nondiscrimination, labor standards or the environment**
- **Waivers specific to NAHBG competition include:**
 - **Local Cooperation Agreements**
 - **Total Development Costs**

NAHBG Competitive Program Eligible Activities

- **new construction**
- **acquisition**
- **rehabilitation, including energy efficiency and conservation**
- **infrastructure development**
- **site improvements**
- **utilities**

NAHBG Competitive Program Eligible Activities

- **mold remediation**
- **investments that leverage private sector funding**
- **administration and planning up to 20%**

NAHBG Competitive Program Application Process

Application Submission

- Paper Applications**
- Mail 1 original and 1 copy**
- Send to ONAP Headquarters
Office (Denver)**
- Hand carried or faxed
applications will not be
accepted**
- Deadline Date: July 13, 2009**

NAHBG Competitive Program Application Requirements

- **Narrative to rating factors**
- **SF-424, Application for Federal Assistance**
- **HUD-2880, Applicant/Recipient Disclosure**
- **HUD-4125-A, Implementation Schedule**
- **HUD-4123-A, Cost Summary**
- **Tribal Certification**

NAHBG Competitive Program Application Requirements

- **Code of Conduct**
- **SF-LLL, Disclosure of Lobbying Activities**
- **CCR – Evidence of Registration**
- **Waivers – list of waivers that will apply**
- **Application Priority**
- **Environmental Review**

NAHBG Competitive Program Rating Factors

- **Four Rating Factors**
- **Maximum 100 points**
- **Factor 1 - Must receive 20 of 35**
- **Factor 3 - Must receive 10 of 15**
- **Overall - Must receive at least 70**

NAHBG Competitive Program Rating Factor 1

Capacity (35 points)

This factor addresses the extent to which applicants have the organizational resources necessary to successfully implement the proposed activities. Applicants must receive a minimum of 20 points under this factor. HUD will not rate projects further that do not receive a minimum of 20 points under this factor.

NAHBG Competitive Program Rating Factor 2

Soundness of Approach (35 points)

This factor addresses the quality and anticipated effectiveness of your proposed project, its ability to address the goals of the Recovery Act, and the commitment to sustain your proposed project.

NAHBG Competitive Program

Rating Factor 3

Project Readiness (15 points)

Applicants must demonstrate that they have the ability to obligate and expend the IHBG competitive funds quickly. Information should be provided related to actions already taken as well as timeframes for activities.

NAHBG Competitive Program Rating Factor 4

Recovery Act Priorities (15 points)

This factor addresses the extent to which applicants submit proposals that address the priorities for the Recovery Act's competitive NAHBG program

NAHBG Competitive Program Application Screening

- **Timely Receipt**
- **Eligible Applicant**
- **Eligible Project**
- **Complete Application**
- **Application meets threshold**

NAHBG Competitive Program Review and Selection Process

- **Applications reviewed as received**
- **Tiebreaker based on highest points received**

NAHBG Competitive Program General Requirements

- **Environmental**
- **Indian Preference**
- **Conflict of Interest**
- **Drug-Free Workplace**
- **Lead-Based Paint**
- **Section 3**

NAHBG Competitive Program General Requirements

- **Program Evaluation**
- **Federal Funding Accountability
and Transparency**
- **False Statements**

NAHBG Competitive Program Reporting Requirements

- **Section 1512 of Recovery Act**
- **Recovery Act Reports due 10 days after each quarter starting July 10, 2009**

NAHBG Competitive Program Reporting Requirements

- **Reports must include, at a minimum**
 - **Recovery Grant Amount**
 - **Funds obligated and expended**
 - **Details of Project**
 - **Detailed information on subcontract**
- **NAHASDA Reports Required**
 - **Annual Performance Report**
 - **SF-272-I, Federal Cash Transaction Report**

NAHBG Competitive Program

Key Dates

- **100% of funds must be obligated within 1 year**
- **50% of funds must be spent within 2 years**
- **100% of allocation must be spent within 3 years**
- **Clock begins the date funds are available in LOCCS**

NAHBG Competitive Program Summary

- **\$242,250,000 Allocated**
- **Competitive Application**
- **Applications Reviewed as Received**
- **DUNS number and CCR registration**
- **Priority to awards within 180 Days**
- **Obligation and Expenditure Deadlines**
- **Reporting Requirements**
- **Waivers**

The Recovery Act Indian Community Development Block Grant Program

Overview

Community Development Block Grant (ICDBG) Competitive Program

- **1 Percent of the Recovery Act Community Development Fund set-aside for the ICDBG program**
- **\$10 million is available**
- **Tribes must have received an award in FY 2008**

ICDBG Competitive Program Authority

- **The American Reinvestment and Recovery Act (Recovery Act)**
- **Title I of the Housing & Community Development Act of 1974**
- **ICDBG regulations, 24 CFR part 1003**

ICDBG Competitive Program Objectives

To develop viable Indian and Alaska Native communities by creating decent housing, suitable living environments & economic opportunities primarily for low/moderate income persons

ICDBG Competitive Program Eligible Applicants

- **Indian tribes or tribal organizations on behalf of Indian tribes**
- **Tribe or tribal organization must have received funding in FY 2008**

ICDBG Competitive Program Priority

- **Projects that can award contracts based on bids within 120 days from the date that funds are made available to the tribes.**

ICDBG Competitive Program Eligible Activities

- **Housing Rehabilitation**
- **Land Acquisition**
- **Public Facilities and Improvements**
- **Infrastructure**
- **Economic development**
- **New Housing Construction**

ICDBG Competitive Program Area Office Allocations

- **Eastern Woodlands** **\$1,430,885**
- **Southern Plains** **\$1,879,025**
- **Northern Plains** **\$1,559,692**
- **Southwest** **\$2,579,743**
- **Northwest** **\$1,153,033**
- **Alaska** **\$1,397,622**

ICDBG Competitive Program Criteria

Grant Ceiling \$600,000

ICDBG Competitive Program Housing Rehabilitation Cost Limits

- **Eastern Woodlands \$35,000**
- **Southern Plains \$35,000**
- **Northern Plains \$50,000**
- **Southwest \$50,000**
- **Northwest \$50,000**
- **Alaska \$75,000**

ICDBG Competitive Program Waivers

- **Housing Rehabilitation Standards**
- **New Construction Standards**

ICDBG Competitive Program Waivers

- **Available Housing Stock**
- **Economic Development Project
Analysis**

ICDBG Competitive Program Waivers

- **Citizen Participation Requirements**
- **Project Rating**

ICDBG Competitive Program Submission Process

Application Submission

- Paper Applications**
- Mail 1 original and 1 copy**
- Send to Area ONAP**
- Hand carried or faxed applications will not be accepted**

ICDBG Competitive Program Application Requirements

- **Narrative to rating factors**
- **SF-424, Application for Federal Assistance**
- **SF-424 SUPP, Supplement Survey on Ensuring Equal Opportunity for Applicants**
- **HUD-2880, Applicant/Recipient Disclosure**
- **Tribal Resolution, if applicable**

ICDBG Competitive Program Application Requirements

- **HUD-4125, Implementation Schedule**
- **HUD-4123, Cost Summary**
- **Citizen Participation**
- **Low- and Moderate-Income Benefit**
- **Demographic Data**
- **Project Specific Thresholds**
- **Commitment to Land Acquisition**

ICDBG Competitive Program Application Requirements

- **Health Care Facilities**
- **Correction Facilities/Juvenile Detention Centers**
- **Code of Conduct**
- **SF-LLL, Disclosure of Lobbying Activities**
- **CCR – Evidence of Registration**
- **Waivers – list of waivers that will apply**

ICDBG Competitive Program Rating Factors

- **Five Rating Factors**
- **Maximum 100 points**
- **Overall – Must receive at least 70**

ICDBG Competitive Program Factor 1

Recovery Act and Other Priorities (30 points)

This factor addresses the extent to which applicants submit proposals that address the goals of the Recovery Act to create employment and foster economic recovery. The factor also measures the extent to which applicants can undertake Green Development.

ICDBG Competitive Program Factor 2

Need/Extent of the Problem (16 points)

This factor addresses the extent to which there is a need for the proposed project to address a documented problem among the intended beneficiaries.

ICDBG Competitive Program Factor 3

Soundness of Approach (36 points)

This factor addresses the quality and anticipated effectiveness of the proposed project to enhance community viability and meet the tribal needs and the commitment to sustain the proposed project.

ICDBG Competitive Program Factor 4

Leveraging Resources (8 points)

ICDBG funds can be used more effectively to benefit a larger number of Native American and Alaska Native persons and communities if projects are developed using tribal resources and resources from other entities in conjunction with ICDBG funds.

ICDBG Program Requirements

Factor 5

Comprehensiveness and Coordination (10 Points)

This factor addresses the extent to which your project planning and proposed implementation reflect a coordinated, community-based process of identifying and addressing needs, including assisting beneficiaries and the program to achieve self-sufficiency and sustainability.

ICDBG Competitive Program Application Screening

- **Timely Receipt**
- **Eligible Applicant**
- **Eligible Project**
- **Complete Application**
- **70% low- and mod-benefit**
- **Application meets project and program threshold**

ICDBG Competitive Program Review and Selection Process

- **Applications reviewed as received**
- **Tiebreaker based on highest points received**

ICDBG Competitive Program General Requirements

- **Environmental**
- **Indian Preference**
- **Anti-Discrimination Provisions**
- **Conflict of Interest**
- **Section 3**
- **Affirmatively Furthering Fair Housing**
- **Program Evaluation**

ICDBG Competitive Program General Requirements

- **Drug-Free Workplace**
- **Lead-Based Paint**
- **False Statement**
- **Federal Funding Accountability
and Transparency**
- **OMB Circulars**

ICDBG Competitive Program Reporting Requirements

- **Section 1512 of Recovery Act**
- **Recovery Act Reports due 10 days after each quarter starting July 10, 2009**
- **Reports must include, at a minimum**
 - **Recovery Grant Amount**
 - **Funds obligated and expended**
 - **Details of Project**
 - **Detailed information on subcontract**

ICDBG Competitive Program Reporting Requirements

- **ICDBG Reports Required**
 - **Annual Status and Evaluation Report**
 - **SF-269, Financial Status Report**
 - **Minority Business Report**

ICDBG Competitive Program Summary

- **\$10 M allocated**
- **Only eligible if funded in FY 2008**
- **DUNS number and CCR Registration**
- **Priority to projects awarded within 180 days**
- **Reporting Requirements**
- **Waivers**

NAHBG and ICDBG Recovery Act Requirements

- **Reporting**
- **DUNS Number**
- **CCR**
- **Ineligible Activities**

NAHBG and ICDBG Recovery Act Programs

QUESTIONS AND ANSWERS