The American Recovery and Reinvestment Act of 2009

Office of Native American Programs
Office of Public and Indian Housing
U.S. Department of Housing and Urban Development
The American Recovery and Reinvestment Act of 2009

- Public Law 111-5 enacted Feb. 17, 2009
- www.Recovery.gov
- www.HUD.gov/recovery
The Recovery Act Investment in Indian Country

- **Indian Housing Block Grants** - $510 million
  - $255,000,000 formula
  - $242,250,000 competition

- **Indian Community Development Block Grants**
  - $10,000,000 competition
The Native American Housing Block Grant (NAHBG) Formula Program

Overview
NAHBG Formula Program

The Recovery Act provides $255 million in Native American Housing Block Grant (NAHBG) Formula Funds
NAHBG Formula Program

• Distribution by same funding formula used to allocate Indian Housing Block Grant funds in Fiscal Year (FY) 2008

• Recipients Received Pro-Rata Share of the FY 2008 IHBG
NAHBG Formula Program

- Information on the distribution of the NAHBG awards by formula can be find on the HUD Recovery Act website at [http://www.hud.gov/recovery/native-american-formula.cfm](http://www.hud.gov/recovery/native-american-formula.cfm)
NAHBG Formula Program

- March 11, 2009 – letter to Tribal Leaders regarding the Recovery Act funding
- March 19, 2009 – letter to FY 2008 recipients from Area ONAPs
  - Indian Housing Plan Amendment
  - Grant Agreement with special conditions
NAHBG Formula Program

• Next Steps
  – Obtain a DUNS Number
  – Register with the CCR

• Website:  www.ccr.gov
• User's Guide -  
• Help Desk - 1-888-227-2423
• FAQs -  
  http://www.ccr.gov/FAQ.aspx
NAHBG Formula Program

• Next Steps (continued)
  – Prepare an IHP Amendment
  – Execute a Grant Agreement with Special Conditions
NAHBG Formula Program

Special Conditions:
- Eligible Activities
- Priority for contracts awarded within 180 days
- Obligation and expenditure timelines
- Reporting Requirements
- DUNS and CCR required
- One-time funding
- Misconduct reported to the Inspector General
NAHBG Formula Program

- Eligible Activities:
  - new construction
  - acquisition
  - rehabilitation, including energy efficiency and conservation
  - infrastructure development
NAHBG Formula Program

Priority to “shovel ready” projects
NAHBG Formula Program
Key Dates

• 100% of funds must be obligated within 1 year
• 50% of funds must be spent within 2 years
• 100% of funds must be spent within 3 years
• Clock begins the date funds are available in LOCCS
NAHBG Formula Program Reporting Requirements

• Section 1512 of Recovery Act
• Reports due 10 days after each quarter starting July 10, 2009
NAHBG Formula Program Reporting Requirements

• Reports must include, at a minimum
  – Recovery Grant amount
  – Funds obligated and expended
  – Details of Project
  – Detailed information on subcontract

• NAHASDA Reports Required
  – APR
  – SF-272-I
NAHBG Formula Program
WAIVERS

- Recovery Act authorizes certain waivers of statutory and regulatory requirements
- Exceptions: fair housing, nondiscrimination, labor standards or the environment
NAHBG Formula Program
WAIVERS

- Waivers specific to NAHBG Formula include:
  - IHP Submission
  - Tribal Certifications
  - Local Cooperation Agreements
  - Total Development Costs
NAHBG Formula Program Summary

- $255 M allocated
- IHP Amendment and Grant Agreement
- DUNS number and CCR Registration
- Eligible activities
- Priority to awards within 180 days
- Obligation and Expenditure Deadlines
- Reporting Requirements
- Waivers
The Native American Housing Block Grant (NAHBG) Competitive Program

Overview
The NAHBG Competitive Program

The Recovery Act provides $242,250,000 in Native American Housing Block Grant (NAHBG) Competitive Funds
NAHBG Competitive Program
Eligible Applicants

- Indian tribes or tribally designated housing entities (TDHE) eligible to receive funding under NAHASDA
### NAHBG Competitive Program Grant Ceilings

<table>
<thead>
<tr>
<th>Recovery Act Formula</th>
<th>Grant Ceiling</th>
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<tbody>
<tr>
<td>Over $5 million</td>
<td>$5 million</td>
</tr>
<tr>
<td>More than $2 million but less than $5 million</td>
<td>$4 million</td>
</tr>
<tr>
<td>More than $750,000 but less than $2 million</td>
<td>$3 million</td>
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<tr>
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<td>$2 million</td>
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NAHBG Competitive Program
Waivers

• Recovery Act authorizes waivers of certain statutory and regulatory requirements

• Exceptions: fair housing, nondiscrimination, labor standards or the environment

• Waivers specific to NAHBG competition include:
  - Local Cooperation Agreements
  - Total Development Costs
NAHBG Competitive Program Eligible Activities

- new construction
- acquisition
- rehabilitation, including energy efficiency and conservation
- infrastructure development
- site improvements
- utilities
NAHBG Competitive Program
Eligible Activities

- mold remediation
- investments that leverage private sector funding
- administration and planning up to 20%
NAHBG Competitive Program
Application Process

Application Submission

- Paper Applications
- Mail 1 original and 1 copy
- Send to ONAP Headquarters Office (Denver)
- Hand carried or faxed applications will not be accepted
- Deadline Date: July 13, 2009
NAHBG Competitive Program Application Requirements

- Narrative to rating factors
- SF-424, Application for Federal Assistance
- HUD-2880, Applicant/Recipient Disclosure
- HUD-4125-A, Implementation Schedule
- HUD-4123-A, Cost Summary
- Tribal Certification
NAHBG Competitive Program Application Requirements

- Code of Conduct
- SF-LLL, Disclosure of Lobbying Activities
- CCR – Evidence of Registration
- Waivers – list of waivers that will apply
- Application Priority
- Environmental Review
NAHBG Competitive Program
Rating Factors

• Four Rating Factors
• Maximum 100 points
• Factor 1 - Must receive 20 of 35
• Factor 3 - Must receive 10 of 15
• Overall - Must receive at least 70
NAHBG Competitive Program
Rating Factor 1

Capacity (35 points)

This factor addresses the extent to which applicants have the organizational resources necessary to successfully implement the proposed activities. Applicants must receive a minimum of 20 points under this factor. HUD will not rate projects further that do not receive a minimum of 20 points under this factor.
NAHBG Competitive Program
Rating Factor 2
Soundness of Approach (35 points)

This factor addresses the quality and anticipated effectiveness of your proposed project, its ability to address the goals of the Recovery Act, and the commitment to sustain your proposed project.
NAHBG Competitive Program
Rating Factor 3
Project Readiness (15 points)

Applicants must demonstrate that they have the ability to obligate and expend the IHBG competitive funds quickly. Information should be provided related to actions already taken as well as timeframes for activities.
NAHBG Competitive Program
Rating Factor 4

Recovery Act Priorities (15 points)

This factor addresses the extent to which applicants submit proposals that address the priorities for the Recovery Act’s competitive NAHBG program.
NAHBG Competitive Program Application Screening

- Timely Receipt
- Eligible Applicant
- Eligible Project
- Complete Application
- Application meets threshold
NAHBG Competitive Program
Review and Selection Process

• Applications reviewed as received
• Tiebreaker based on highest points received
NAHBG Competitive Program
General Requirements

- Environmental
- Indian Preference
- Conflict of Interest
- Drug-Free Workplace
- Lead-Based Paint
- Section 3
NAHBG Competitive Program
General Requirements

- Program Evaluation
- Federal Funding Accountability and Transparency
- False Statements
NAHBG Competitive Program Reporting Requirements

- Section 1512 of Recovery Act
- Recovery Act Reports due 10 days after each quarter starting July 10, 2009
NAHBG Competitive Program Reporting Requirements

- Reports must include, at a minimum
  - Recovery Grant Amount
  - Funds obligated and expended
  - Details of Project
  - Detailed information on subcontract
- NAHASDA Reports Required
  - Annual Performance Report
  - SF-272-I, Federal Cash Transaction Report
NAHBG Competitive Program
Key Dates

• 100% of funds must be obligated within 1 year
• 50% of funds must be spent within 2 years
• 100% of allocation must be spent within 3 years
• Clock begins the date funds are available in LOCCS
NAHBG Competitive Program Summary

- $242,250,000 Allocated
- Competitive Application
- Applications Reviewed as Received
- DUNS number and CCR registration
- Priority to awards within 180 Days
- Obligation and Expenditure Deadlines
- Reporting Requirements
- Waivers
The Recovery Act Indian Community Development Block Grant Program

Overview
Community Development Block Grant (ICDBG) Competitive Program

- 1 Percent of the Recovery Act Community Development Fund set-aside for the ICDBG program
- $10 million is available
- Tribes must have received an award in FY 2008
ICDBG Competitive Program Authority

- The American Reinvestment and Recovery Act (Recovery Act)
- Title I of the Housing & Community Development Act of 1974
- ICDBG regulations, 24 CFR part 1003
ICDBG Competitive Program Objectives

To develop viable Indian and Alaska Native communities by creating decent housing, suitable living environments & economic opportunities primarily for low/moderate income persons.
ICDBG Competitive Program
Eligible Applicants

- Indian tribes or tribal organizations on behalf of Indian tribes
- Tribe or tribal organization must have received funding in FY 2008
ICDBG Competitive Program Priority

• Projects that can award contracts based on bids within 120 days from the date that funds are made available to the tribes.
ICDBG Competitive Program
Eligible Activities

- Housing Rehabilitation
- Land Acquisition
- Public Facilities and Improvements
- Infrastructure
- Economic development
- New Housing Construction
ICDBG Competitive Program
Area Office Office Allocations

- Eastern Woodlands $1,430,885
- Southern Plains $1,879,025
- Northern Plains $1,559,692
- Southwest $2,579,743
- Northwest $1,153,033
- Alaska $1,397,622
ICDBG Competitive Program Criteria

Grant Ceiling $600,000
ICDBG Competitive Program
Housing Rehabilitation Cost Limits

- Eastern Woodlands $35,000
- Southern Plains $35,000
- Northern Plains $50,000
- Southwest $50,000
- Northwest $50,000
- Alaska $75,000
ICDBG Competitive Program Waivers

- Housing Rehabilitation Standards
- New Construction Standards
ICDBG Competitive Program Waivers

- Available Housing Stock
- Economic Development Project Analysis
ICDBG Competitive Program Waivers

- Citizen Participation Requirements
- Project Rating
ICDBG Competitive Program Submission Process

Application Submission

- Paper Applications
- Mail 1 original and 1 copy
- Send to Area ONAP
- Hand carried or faxed applications will not be accepted
ICDBG Competitive Program Application Requirements

• Narrative to rating factors
• SF-424, Application for Federal Assistance
• SF-424 SUPP, Supplement Survey on Ensuring Equal Opportunity for Applicants
• HUD-2880, Applicant/Recipient Disclosure
• Tribal Resolution, if applicable
ICDBG Competitive Program
Application Requirements

- HUD-4125, Implementation Schedule
- HUD-4123, Cost Summary
- Citizen Participation
- Low- and Moderate-Income Benefit
- Demographic Data
- Project Specific Thresholds
- Commitment to Land Acquisition
ICDBG Competitive Program Application Requirements

- Health Care Facilities
- Correction Facilities/Juvenile Detention Centers
- Code of Conduct
- SF-LLL, Disclosure of Lobbying Activities
- CCR – Evidence of Registration
- Waivers – list of waivers that will apply
ICDBG Competitive Program
Rating Factors

• Five Rating Factors
• Maximum 100 points
• Overall – Must receive at least 70
ICDBG Competitive Program
Factor 1

Recovery Act and Other Priorities (30 points)

This factor addresses the extent to which applicants submit proposals that address the goals of the Recovery Act to create employment and foster economic recovery. The factor also measures the extent to which applicants can undertake Green Development.
ICDBG Competitive Program
Factor 2

Need/Extent of the Problem (16 points)

This factor addresses the extent to which there is a need for the proposed project to address a documented problem among the intended beneficiaries.
ICDBG Competitive Program
Factor 3
Soundness of Approach (36 points)

This factor addresses the quality and anticipated effectiveness of the proposed project to enhance community viability and meet the tribal needs and the commitment to sustain the proposed project.
ICDBG Competitive Program
Factor 4

Leveraging Resources (8 points)

ICDBG funds can be used more effectively to benefit a larger number of Native American and Alaska Native persons and communities if projects are developed using tribal resources and resources from other entities in conjunction with ICDBG funds.
ICDBG Program Requirements
Factor 5

Comprehensiveness and Coordination (10 Points)

This factor addresses the extent to which your project planning and proposed implementation reflect a coordinated, community-based process of identifying and addressing needs, including assisting beneficiaries and the program to achieve self-sufficiency and sustainability.
ICDBG Competitive Program Application Screening

- Timely Receipt
- Eligible Applicant
- Eligible Project
- Complete Application
- 70% low- and mod-benefit
- Application meets project and program threshold
ICDBG Competitive Program Review and Selection Process

- Applications reviewed as received
- Tiebreaker based on highest points received
ICDBG Competitive Program
General Requirements

- Environmental
- Indian Preference
- Anti-Discrimination Provisions
- Conflict of Interest
- Section 3
- Affirmatively Furthering Fair Housing
- Program Evaluation
ICDBG Competitive Program
General Requirements

- Drug-Free Workplace
- Lead-Based Paint
- False Statement
- Federal Funding Accountability and Transparency
- OMB Circulars
ICDBG Competitive Program Reporting Requirements

- Section 1512 of Recovery Act
- Recovery Act Reports due 10 days after each quarter starting July 10, 2009
- Reports must include, at a minimum:
  - Recovery Grant Amount
  - Funds obligated and expended
  - Details of Project
  - Detailed information on subcontract
ICDBG Competitive Program Reporting Requirements

- ICDBG Reports Required
  - Annual Status and Evaluation Report
  - SF-269, Financial Status Report
  - Minority Business Report
ICDBG Competitive Program
Summary

• $10 M allocated
• Only eligible if funded in FY 2008
• DUNS number and CCR Registration
• Priority to projects awarded within 180 days
• Reporting Requirements
• Waivers
NAHBG and ICDBG Recovery Act Requirements

• Reporting
• DUNS Number
• CCR
• Ineligible Activities
QUESTIONS AND ANSWERS