3. Agency Component Abbreviations

|  |  |
| --- | --- |
| Component Abbreviation | Component Name |
| HQ | Headquarters |
| OIG | Office of the Inspector General |
| R01 | Region I (Boston, Hartford, Manchester, Providence) |
| R02 | Region II (New York, Albany, Buffalo, Newark) |
| R03 | Region III (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, D.C., Charleston, Wilmington) |
| R04 | Region IV (Atlanta, Birmingham, Caribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Louisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| R05 | Region V (Chicago, Columbus, Cleveland, Detroit, Indianapolis, Milwaukee, Minneapolis-St. Paul) |
| R06 | Region VI (Texas, Arkansas, Louisiana, New Mexico and Oklahoma) |
| R07 | Region VII (Kansas City, Des Moines, Omaha, St. Louis) |
| R08 | Region VIII (Denver) |
| R09 | Region IX (San Francisco, Honolulu, Los Angeles, Phoenix, Sacramento) |
| R0X | Region X (Seattle, Anchorage, Portland) |

IV. Exemption 3 Statutes

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1)) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | HQ | 2 | 3 |
| R04 | 1 |
| 42 U.S.C. § 3610(d) (Fair Housing Act) | Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R04 | 1 | 18 |
| R05 | 1 |
| R08 | 1 |
| R09 | 9 |
| R0X | 6 |



**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| OIG | 7 | 95 | 85 | 17 |
| HQ | 936 | 765 | 714 | 987 |
| R01 | 7 | 99 | 101 | 5 |
| R02 | 10 | 149 | 147 | 12 |
| R03 | 6 | 144 | 121 | 29 |
| R04 | 20 | 211 | 198 | 33 |
| R05 | 9 | 217 | 196 | 30 |
| R06 | 12 | 121 | 120 | 13 |
| R07 | 4 | 64 | 59 | 9 |
| R08 | 8 | 42 | 44 | 6 |
| R09 | 22 | 135 | 145 | 12 |
| R0X | 11 | 98 | 88 | 21 |
| AGENCY OVERALL | 1052 | 2140 | 2018 | 1174 |

|  |
| --- |
| *After reviewing its databases, R05 and R06 adjusted the number of requests pending as of the start of the Fiscal Year.* |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| OIG | 15 | 24 | 17 | 14 | 3 | 7 | 0 | 0 | 2 | 2 | 1 | 0 | 85 |
| HQ | 104 | 157 | 3 | 132 | 63 | 167 | 12 | 2 | 4 | 2 | 65 | 3 | 714 |
| R01 | 30 | 25 | 2 | 7 | 24 | 4 | 3 | 0 | 3 | 0 | 3 | 0 | 101 |
| R02 | 65 | 14 | 2 | 29 | 23 | 4 | 0 | 0 | 1 | 0 | 9 | 0 | 147 |
| R03 | 21 | 25 | 8 | 9 | 39 | 11 | 0 | 1 | 2 | 2 | 3 | 0 | 121 |
| R04 | 53 | 34 | 22 | 22 | 17 | 15 | 5 | 5 | 17 | 3 | 5 | 0 | 198 |
| R05 | 59 | 67 | 1 | 34 | 20 | 5 | 3 | 2 | 0 | 0 | 5 | 0 | 196 |
| R06 | 23 | 39 | 3 | 24 | 22 | 2 | 3 | 0 | 2 | 0 | 2 | 0 | 120 |
| R07 | 5 | 20 | 0 | 13 | 10 | 3 | 0 | 2 | 2 | 0 | 4 | 0 | 59 |
| R08 | 23 | 11 | 0 | 5 | 3 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 44 |
| R09 | 33 | 33 | 24 | 16 | 16 | 10 | 2 | 6 | 1 | 0 | 4 | 0 | 145 |
| R0X | 15 | 40 | 6 | 12 | 8 | 2 | 0 | 0 | 4 | 1 | 0 | 0 | 88 |
| AGENCY OVERALL | 446 | 489 | 88 | 317 | 248 | 232 | 28 | 18 | 38 | 10 | 101 | 3 | 2018 |

|  |
| --- |
|  |

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
| HQ | Litigation | 3 | 3 |
| R01 | N/A | 0 | 0 |
| R02 | N/A | 0 | 0 |
| R03 | N/A | 0 | 0 |
| R04 | N/A | 0 | 0 |
| R05 | N/A | 0 | 0 |
| R06 | N/A | 0 | 0 |
| R07 | N/A | 0 | 0 |
| R08 | N/A/ | 0 | 0 |
| R09 | N/A | 0 | 0 |
| R0X | N/A | 0 | 0 |
| AGENCY OVERALL |  |  | 3 |

|  |
| --- |
|  |

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 0 | 4 | 13 | 12 | 9 | 0 | 25 | 3 | 0 | 0 | 0 | 0 |
| HQ | 0 | 0 | 2 | 14 | 20 | 106 | 3 | 1 | 0 | 0 | 1 | 0 | 1 | 0 |
| R01 | 0 | 1 | 0 | 7 | 3 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 2 | 1 | 12 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 8 | 1 | 21 | 3 | 0 | 2 | 0 | 1 | 1 | 0 | 0 |
| R04 | 0 | 0 | 2 | 7 | 1 | 28 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 1 | 3 | 0 | 65 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 1 | 0 | 9 | 4 | 26 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 1 | 1 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 1 | 3 | 3 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 8 | 4 | 9 | 28 | 3 | 0 | 17 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 6 | 5 | 6 | 36 | 5 | 0 | 7 | 0 | 3 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 2 | 20 | 67 | 62 | 378 | 26 | 1 | 55 | 3 | 5 | 1 | 1 | 0 |

|  |
| --- |
|  |

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| OIG | 0 | 1 | 1 | 0 |
| HQ | 15 | 30 | 27 | 18 |
| R01 | 0 | 2 | 2 | 0 |
| R02 | 0 | 3 | 3 | 0 |
| R03 | 0 | 1 | 1 | 0 |
| R04 | 0 | 2 | 0 | 2 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 1 | 1 | 0 |
| R07 | 0 | 3 | 3 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 2 | 2 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 15 | 45 | 40 | 20 |

|  |
| --- |
| *After reviewing its databases, HQ adjusted the number of appeals pending as of the start of the Fiscal Year.* |

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| OIG | 0 | 0 | 0 | 1 | 1 |
| HQ | 16 | 4 | 3 | 4 | 27 |
| R01 | 0 | 0 | 0 | 2 | 2 |
| R02 | 1 | 1 | 0 | 1 | 3 |
| R03 | 0 | 0 | 0 | 1 | 1 |
| R04 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 1 | 1 |
| R07 | 2 | 1 | 0 | 0 | 3 |
| R08 | 0 | 0 | 0 | 0 | 0 |
| R09 | 1 | 1 | 0 | 0 | 2 |
| R0X | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 20 | 7 | 3 | 10 | 40 |

|  |
| --- |
|  |

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 0 | 0 | 0 | 0 | 2 | 3 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 1 | 0 | 2 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 1 | 0 | 5 | 5 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 |

|  |
| --- |
|  |

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 1 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 4 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

|  |
| --- |
|  |

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
| HQ | N/A | 0 | 0 |
| R01 | N/A | 0 | 0 |
| R02 | N/A | 0 | 0 |
| R03 | N/A | 0 | 0 |
| R04 | N/A | 0 | 0 |
| R05 | N/A | 0 | 0 |
| R06 | N/A | 0 | 0 |
| R07 | N/A | 0 | 0 |
| R08 | N/A | 0 | 0 |
| R09 | N/A | 0 | 0 |
| R0X | N/A | 0 | 0 |
| AGENCY OVERALL |  |  | 0 |

|  |
| --- |
|  |

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 9 | 9 | 9 | 9 |
| HQ | 21 | 89.94 | 2 | 415 |
| R01 | 41 | 41 | 41 | 41 |
| R02 | 25.5 | 25.5 | 24 | 27 |
| R03 | 1 | 1 | 1 | 1 |
| R04 | <1 | <1 | <1 | <1 |
| R05 | <1 | <1 | <1 | <1 |
| R06 | 25 | 25 | 25 | 25 |
| R07 | 20 | 18 | 10 | 24 |
| R08 | <1 | <1 | <1 | <1 |
| R09 | 24 | 24 | 24 | 24 |
| R0X | <1 | <1 | <1 | <1 |
| AGENCY OVERALL | 24 | 57.47 | <1 | 415 |

|  |
| --- |
|  |

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | Date of Appeal | 2019-01-29 | 2018-06-06 | 2018-06-06 | 2018-05-30 | 2018-04-17 | 2018-03-26 | 2018-03-01 | 2017-11-27 | 2016-07-13 | 2016-04-22 |
| Number of Days Pending | 170 | 330 | 330 | 335 | 365 | 378 | 398 | 462 | 806 | 862 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-07-17 | 2019-03-14 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 52 | 139 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | 2019-01-29 | 2018-06-06 | 2018-06-06 | 2018-05-30 | 2018-04-17 | 2018-03-26 | 2018-03-01 | 2017-11-27 | 2016-07-13 | 2016-04-22 |
| Number of Days Pending | 170 | 330 | 330 | 335 | 365 | 378 | 398 | 462 | 806 | 862 |

|  |
| --- |
|  |

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 13 | 14 | <1 | 34 | 13 | 16.27 | <1 | 53 | 4 | 4 | 4 | 4 |
| HQ | 72 | 163.86 | <1 | 860 | 255 | 281.45 | <1 | 1148 | 146.5 | 146.5 | 117 | 176 |
| R01 | 6 | 9.77 | <1 | 40 | 51.5 | 57.1 | 19 | 158 | 2 | 2 | 2 | 2 |
| R02 | 20 | 29.02 | <1 | 178 | 21 | 30.81 | <1 | 88 | 2.5 | 4.75 | <1 | 14 |
| R03 | 4 | 12.24 | <1 | 93 | 50 | 67.14 | 1 | 236 | N/A | N/A | N/A | N/A |
| R04 | 18 | 19.16 | <1 | 64 | 18 | 30.28 | 3 | 104 | 2 | 2 | 2 | 2 |
| R05 | 12.5 | 17.48 | <1 | 158 | 7 | 17.53 | <1 | 109 | 3 | 3 | 3 | 3 |
| R06 | 15 | 19.68 | <1 | 80 | 43 | 50 | 10 | 194 | 26 | 26 | 26 | 26 |
| R07 | 11.5 | 13.9 | <1 | 60 | 20 | 23.73 | <1 | 55 | N/A | N/A | N/A | N/A |
| R08 | 23 | 34.38 | <1 | 203 | 62 | 118.43 | 3 | 306 | N/A | N/A | N/A | N/A |
| R09 | 11 | 21.21 | <1 | 532 | 20 | 24.9 | <1 | 84 | 1 | 1 | 1 | 1 |
| R0X | 16 | 17.87 | <1 | 81 | 70 | 77.88 | 23 | 192 | 3 | 3 | 3 | 3 |
| AGENCY OVERALL | 17 | 58.59 | <1 | 860 | 115 | 205.21 | <1 | 1148 | 3 | 29.08 | <1 | 176 |

|  |
| --- |
|  |

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 12 | 14.45 | 5 | 34 | 14 | 16.22 | 2 | 53 | 4 | 4 | 4 | 4 |
| HQ | 121 | 197.57 | <1 | 860 | 307 | 323.71 | 3 | 1148 | <1 | <1 | <1 | <1 |
| R01 | 13 | 12.42 | <1 | 37 | 59.5 | 63.88 | 24 | 158 | 2 | 2 | 2 | 2 |
| R02 | 23 | 36.39 | <1 | 178 | 29 | 32.76 | <1 | 83 | <1 | 4.67 | <1 | 14 |
| R03 | 15 | 22.8 | <1 | 85 | 60 | 87.6 | 29 | 236 | N/A | N/A | N/A | N/A |
| R04 | 19 | 23.07 | <1 | 64 | 34 | 43.8 | 3 | 104 | 2 | 2 | 2 | 2 |
| R05 | 15 | 19.98 | <1 | 158 | 14 | 12.67 | <1 | 23 | 3 | 3 | 3 | 3 |
| R06 | 18.5 | 23.5 | <1 | 79 | 45 | 54 | 10 | 194 | 26 | 26 | 26 | 26 |
| R07 | 18 | 21.8 | <1 | 60 | 24.5 | 28.2 | 13 | 55 | N/A | N/A | N/A | N/A |
| R08 | 24 | 31.93 | <1 | 103 | 62 | 104 | 50 | 280 | N/A | N/A | N/A | N/A |
| R09 | 19 | 22.84 | <1 | 92 | 35 | 39.73 | 20 | 84 | <1 | <1 | <1 | <1 |
| R0X | 21 | 23.7 | 3 | 81 | 70 | 77.88 | 23 | 192 | 3 | 3 | 3 | 3 |
| AGENCY OVERALL | 20 | 52.38 | <1 | 860 | 137 | 215.63 | <1 | 1148 | 2.5 | 6.25 | <1 | 26 |

|  |
| --- |
|  |

**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 38 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 47 |
| HQ | 113 | 35 | 27 | 22 | 17 | 13 | 12 | 9 | 10 | 10 | 33 | 26 | 52 | 379 |
| R01 | 70 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 77 |
| R02 | 61 | 28 | 12 | 8 | 4 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 116 |
| R03 | 89 | 13 | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 111 |
| R04 | 101 | 43 | 10 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 157 |
| R05 | 126 | 31 | 10 | 6 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 176 |
| R06 | 72 | 20 | 4 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 103 |
| R07 | 31 | 5 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 |
| R08 | 18 | 8 | 3 | 4 | 1 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 37 |
| R09 | 83 | 22 | 7 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 117 |
| R0X | 1 | 49 | 20 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 75 |
| AGENCY OVERALL | 803 | 270 | 100 | 57 | 30 | 18 | 12 | 10 | 12 | 10 | 34 | 26 | 53 | 1435 |

|  |
| --- |
|  |

**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 27 | 6 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 |
| HQ | 32 | 19 | 14 | 9 | 12 | 12 | 13 | 11 | 10 | 10 | 42 | 55 | 88 | 327 |
| R01 | 2 | 7 | 3 | 3 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 20 |
| R02 | 12 | 7 | 3 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 |
| R03 | 1 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 7 |
| R04 | 10 | 3 | 2 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18 |
| R05 | 13 | 2 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 |
| R06 | 3 | 3 | 5 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 14 |
| R07 | 8 | 5 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| R08 | 1 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 7 |
| R09 | 11 | 6 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 |
| R0X | 0 | 3 | 1 | 0 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 8 |
| AGENCY OVERALL | 120 | 63 | 40 | 20 | 22 | 16 | 13 | 12 | 10 | 12 | 44 | 56 | 88 | 516 |

|  |
| --- |
|  |

**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| HQ | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R02 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R05 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R06 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R0X | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 10 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 13 |

|  |
| --- |
|  |

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| OIG | 6 | 11 | 10 | 5 | 22 | 26 | 0 | N/A | N/A |
| HQ | 564 | 20 | 49 | 394 | 20 | 44 | 1 | 20 | 20 |
| R01 | 4 | 1.5 | 3 | 1 | 39 | 39 | 0 | N/A | N/A |
| R02 | 11 | 10 | 36 | 0 | N/A | N/A | 1 | 5 | 5 |
| R03 | 18 | 9 | 25 | 1 | 107 | 107 | 0 | N/A | N/A |
| R04 | 13 | 32 | 27 | 12 | 24 | 22 | 0 | N/A | N/A |
| R05 | 17 | 6 | 17 | 3 | 82 | 68 | 0 | N/A | N/A |
| R06 | 9 | 18 | 32 | 0 | N/A | N/A | 0 | N/A | N/A |
| R07 | 6 | 10 | 9 | 2 | 33 | 33 | 0 | N/A | N/A |
| R08 | 2 | 5.5 | 5 | 3 | 136 | 107 | 0 | N/A | N/A |
| R09 | 5 | 15 | 15 | 1 | 16 | 16 | 0 | N/A | N/A |
| R0X | 16 | 6.5 | 18 | 3 | 20 | 17 | 0 | N/A | N/A |
| AGENCY OVERALL | 671 | 20 | 45 | 425 | 20 | 45 | 2 | 12.5 | 12 |

|  |
| --- |
|  |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| OIG | Date of Receipt | 2019-09-18 | 2019-09-16 | 2019-09-12 | 2019-09-11 | 2019-09-03 | 2019-09-03 | 2019-08-30 | 2019-08-28 | 2019-08-20 | 2019-07-29 |
| Number of Days Pending | 9 | 11 | 13 | 14 | 20 | 20 | 21 | 23 | 29 | 45 |
| HQ | Date of Receipt | 2016-05-11 | 2016-05-04 | 2016-04-11 | 2016-03-04 | 2016-02-19 | 2016-02-16 | 2016-01-11 | 2015-11-23 | 2015-09-02 | 2014-08-15 |
| Number of Days Pending | 850 | 854 | 871 | 897 | 907 | 910 | 934 | 966 | 1021 | 1284 |
| R01 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-09-25 | 2019-09-16 | 2019-08-05 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 10 | 35 |
| R02 | Date of Receipt | 2019-09-16 | 2019-09-16 | 2019-09-16 | 2019-09-16 | 2019-09-16 | 2019-08-23 | 2019-08-16 | 2019-06-24 | 2019-04-29 | 2019-04-29 |
| Number of Days Pending | 10 | 10 | 10 | 10 | 10 | 25 | 38 | 68 | 107 | 107 |
| R03 | Date of Receipt | 2019-09-17 | 2019-09-16 | 2019-08-30 | 2019-08-27 | 2019-07-16 | 2019-07-16 | 2019-06-11 | 2019-06-11 | 2019-06-07 | 2019-04-29 |
| Number of Days Pending | 9 | 10 | 20 | 23 | 53 | 53 | 77 | 77 | 79 | 107 |
| R04 | Date of Receipt | 2019-08-26 | 2019-08-22 | 2019-08-14 | 2019-08-14 | 2019-08-14 | 2019-08-13 | 2019-08-13 | 2019-08-02 | 2019-07-31 | 2019-07-25 |
| Number of Days Pending | 24 | 26 | 32 | 32 | 32 | 33 | 33 | 40 | 42 | 46 |
| R05 | Date of Receipt | 2019-09-20 | 2019-09-16 | 2019-09-16 | 2019-09-13 | 2019-09-10 | 2019-08-01 | 2019-06-04 | 2019-06-04 | 2019-05-22 | 2019-04-30 |
| Number of Days Pending | 6 | 10 | 10 | 11 | 14 | 41 | 82 | 82 | 90 | 106 |
| R06 | Date of Receipt | N/A | 2019-09-27 | 2019-09-25 | 2019-09-20 | 2019-09-10 | 2019-09-04 | 2019-08-20 | 2019-08-09 | 2019-06-10 | 2019-04-24 |
| Number of Days Pending | 0 | 1 | 3 | 6 | 14 | 18 | 28 | 35 | 78 | 110 |
| R07 | Date of Receipt | N/A | N/A | 2019-09-23 | 2019-09-23 | 2019-09-20 | 2019-09-20 | 2019-09-10 | 2019-09-10 | 2019-09-10 | 2019-07-03 |
| Number of Days Pending | 0 | 0 | 5 | 5 | 6 | 6 | 14 | 14 | 14 | 61 |
| R08 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2019-09-30 | 2019-08-06 | 2019-03-19 | 2019-03-01 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 38 | 136 | 148 |
| R09 | Date of Receipt | N/A | N/A | N/A | N/A | 2019-09-25 | 2019-09-10 | 2019-09-09 | 2019-09-06 | 2019-09-06 | 2019-08-21 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 3 | 14 | 15 | 16 | 16 | 27 |
| R0X | Date of Receipt | 2019-09-19 | 2019-09-03 | 2019-08-22 | 2019-08-20 | 2019-08-20 | 2019-08-20 | 2019-07-15 | 2019-05-23 | 2018-10-01 | 2018-05-31 |
| Number of Days Pending | 7 | 19 | 26 | 28 | 28 | 28 | 54 | 89 | 250 | 335 |
| AGENCY OVERALL | Date of Receipt | 2016-05-11 | 2016-05-04 | 2016-04-11 | 2016-03-04 | 2016-02-19 | 2016-02-16 | 2016-01-11 | 2015-11-23 | 2015-09-02 | 2014-08-15 |
| Number of Days Pending | 850 | 854 | 871 | 897 | 907 | 910 | 934 | 966 | 1021 | 1284 |

|  |
| --- |
|  |

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| OIG | 1 | 5 | 0 | 1 | 6 |
| HQ | 2 | 44 | 2 | 7.02 | 39 |
| R01 | 1 | 2 | 1 | 1.33 | 3 |
| R02 | 6 | 10 | 7 | 13.81 | 12 |
| R03 | 0 | 0 | 0 | 0 | 0 |
| R04 | 2 | 6 | 17.5 | 16.5 | 2 |
| R05 | 1 | 2 | 48 | 57 | 1 |
| R06 | 2 | 6 | 25 | 29.12 | 1 |
| R07 | 0 | 5 | 0 | 0 | 5 |
| R08 | 0 | 1 | 4 | 4 | 1 |
| R09 | 1 | 10 | 1 | 4.91 | 9 |
| R0X | 1 | 0 | 5 | 5 | 1 |
| AGENCY OVERALL | 17 | 91 | 3 | 11.25 | 80 |

|  |
| --- |
|  |

**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| OIG | 0 | 7 | 1 | 1 |
| HQ | 2 | 19 | 1 | 2.27 |
| R01 | 1 | 0 | 3 | 3 |
| R02 | 4 | 0 | 5 | 7.8 |
| R03 | 0 | 0 | 0 | N/A |
| R04 | 7 | 0 | 2 | 2 |
| R05 | 5 | 0 | 3 | 6 |
| R06 | 5 | 0 | 1 | 2 |
| R07 | 1 | 0 | 1 | 1 |
| R08 | 2 | 0 | 2.5 | 2.5 |
| R09 | 13 | 0 | 4 | 4 |
| R0X | 0 | 0 | 0 | N/A |
| AGENCY OVERALL | 40 | 26 | 2 | 2.77 |

|  |
| --- |
|  |

|  |
| --- |
| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| OIG | 1 | 1.00 | 2.00 | 0.00 | 0.00 | 0.00 |
| HQ | 11 | 19.00 | 30.00 | 71502.72 | 19000.00 | 90502.72 |
| R01 | 3 | 0.00 | 3.00 | 5320.50 | 0.00 | 5320.50 |
| R02 | 2 | 1.00 | 3.00 | 356000.00 | 0.00 | 356000.00 |
| R03 | 1 | 1.00 | 2.00 | 74450.00 | 0.00 | 74450.00 |
| R04 | 1 | 7.00 | 8.00 | 44110.35 | 0.00 | 44110.35 |
| R05 | 2 | 4.00 | 6.00 | 2197.84 | 0.00 | 2197.84 |
| R06 | 1 | 4.00 | 5.00 | 2704.00 | 0.00 | 2704.00 |
| R07 | 2 | 4.00 | 6.00 | 1371.40 | 0.00 | 1371.40 |
| R08 | 3 | 2.00 | 5.00 | 475.00 | 0.00 | 475.00 |
| R09 | 2 | 5.00 | 7.00 | 10061.20 | 0.00 | 10061.20 |
| R0X | 0 | 1.00 | 1.00 | 32717.97 | 0.00 | 32717.97 |
| AGENCY OVERALL | 29 | 49.00 | 78.00 | 600910.98 | 19000.00 | 619910.98 |

|  |
| --- |
|  |

|  |
| --- |
| **X. Fees Collected for Processing Requests** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| OIG | 0.00 | 0.0000 |
| HQ | 9988.92 | 0.1397 |
| R01 | 156.00 | 0.0293 |
| R02 | 2076.70 | 0.0058 |
| R03 | 471.90 | 0.0063 |
| R04 | 1666.15 | 0.0378 |
| R05 | 247.00 | 0.1124 |
| R06 | 0.00 | 0.0000 |
| R07 | 848.20 | 0.6185 |
| R08 | 475.00 | 1.0000 |
| R09 | 4537.20 | 0.4510 |
| R0X | 312.00 | 0.0095 |
| AGENCY OVERALL | 20779.07 | 0.0346 |

|  |
| --- |
|  |

|  |
| --- |
| **XI.A. Number of Times Subsection (C) Used** |

|  |  |
| --- | --- |
| Agency / Component | Number of Times Subsection Used |
| OIG | 0 |
| HQ | 0 |
| R01 | 0 |
| R02 | 0 |
| R03 | 0 |
| R04 | 0 |
| R05 | 0 |
| R06 | 0 |
| R07 | 0 |
| R08 | 0 |
| R09 | 0 |
| R0X | 0 |
| AGENCY OVERALL | 0 |

|  |
| --- |
|  |

|  |
| --- |
| **XI.B. Number of Subsection (A)(2) Postings** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
| OIG | 0 | 0 |
| HQ | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 0 | 0 |

|  |
| --- |
|  |

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| OIG | 0 | 0 |
| HQ | 897 | 15 |
| R01 | 0 | 0 |
| R02 | 5 | 0 |
| R03 | 7 | 0 |
| R04 | 9 | 0 |
| R05 | 2 | 0 |
| R06 | 1 | 0 |
| R07 | 0 | 0 |
| R08 | 2 | 0 |
| R09 | 1 | 0 |
| R0X | 8 | 0 |
| AGENCY OVERALL | 932 | 15 |

|  |
| --- |
|  |

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| OIG | 0 | 0 | 0 | 0 |
| HQ | 1 | 5 | 5 | 1 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 5 | 5 | 1 |

|  |
| --- |
|  |

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-09-03 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2019-09-03 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 |

|  |
| --- |
|  |

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 91 | 95 | 101 | 85 |
| HQ | 1405 | 765 | 1249 | 714 |
| R01 | 88 | 99 | 90 | 101 |
| R02 | 108 | 149 | 108 | 147 |
| R03 | 75 | 144 | 76 | 121 |
| R04 | 121 | 211 | 124 | 198 |
| R05 | 169 | 217 | 181 | 196 |
| R06 | 84 | 121 | 79 | 120 |
| R07 | 32 | 64 | 30 | 59 |
| R08 | 39 | 42 | 37 | 44 |
| R09 | 119 | 135 | 124 | 145 |
| R0X | 52 | 98 | 46 | 88 |
| AGENCY OVERALL | 2383 | 2140 | 2245 | 2018 |

|  |
| --- |
|  |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| OIG | 0 | 0 |
| HQ | 842 | 897 |
| R01 | 1 | 0 |
| R02 | 1 | 5 |
| R03 | 0 | 7 |
| R04 | 3 | 9 |
| R05 | 1 | 2 |
| R06 | 1 | 1 |
| R07 | 0 | 0 |
| R08 | 3 | 2 |
| R09 | 5 | 1 |
| R0X | 3 | 8 |
| AGENCY OVERALL | 860 | 932 |

|  |
| --- |
|  |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 4 | 1 | 5 | 1 |
| HQ | 40 | 30 | 35 | 27 |
| R01 | 0 | 2 | 0 | 2 |
| R02 | 1 | 3 | 1 | 3 |
| R03 | 1 | 1 | 1 | 1 |
| R04 | 0 | 2 | 0 | 0 |
| R05 | 2 | 0 | 2 | 0 |
| R06 | 0 | 1 | 0 | 1 |
| R07 | 2 | 3 | 3 | 3 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 2 | 2 | 2 | 2 |
| R0X | 0 | 0 | 1 | 0 |
| AGENCY OVERALL | 52 | 45 | 50 | 40 |

|  |
| --- |
|  |

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| OIG | 0 | 0 |
| HQ | 15 | 15 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 15 | 15 |

|  |
| --- |
| *After reviewing its datase, HQ updated the number of backlogged administrative appeals from the previous Fiscal Year.* |