3. Agency Component Abbreviations

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| Component Abbreviation | Component Name |
| HQ | Headquarters |
| R01 | Region 1 - Boston Regional Office |
| R02 | Region 2 - New York Regional Office |
| R03 | Region 3 - Philadelphia Regional Office |
| R04 | Region 4 - Atlanta Regional Office |
| R05 | Region 5 - Chicago Regional Office |
| R06 | Region 6 - Fort Worth Regional Office |
| R07 | Region 7 - Kansas Regional Office |
| R08 | Region 8 - Denver Regional Office |
| R09 | Region 9 - San Francisco Regional Office |
| R0X | Region 10 - Seattle Regional Office |
| OIG | Office of Inspector General |
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| **IV. Exemption 3 Statutes** |

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| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 41 USC 4702(b) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35223, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Department of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004) | HQ | 1 | 5 |
|  |  | R04 | 3 |
|  |  | R08 | 1 |
| 42 USC 3610(d) | Records pertaining to conciliatoin of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final invetigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R07 | 1 | 6 |
|  |  | R09 | 2 |
|  |  | R0X | 3 |
| 42 USC 3537a | Covered selected information during the grant selection process |  | HQ | 1 | 1 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| HQ | 187 | 1,082 | 1,139 | 130 |
| R01 | 5 | 64 | 66 | 3 |
| R02 | 9 | 104 | 102 | 11 |
| R03 | 6 | 129 | 123 | 12 |
| R04 | 12 | 256 | 245 | 23 |
| R05 | 18 | 109 | 109 | 18 |
| R06 | 6 | 152 | 147 | 11 |
| R07 | 2 | 36 | 36 | 2 |
| R08 | 2 | 114 | 94 | 22 |
| R09 | 12 | 205 | 189 | 28 |
| R0X | 6 | 73 | 76 | 3 |
| OIG | 13 | 64 | 74 | 3 |
| AGENCY OVERALL | 278 | 2,388 | 2,400 | 266 |

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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| HQ | 151 | 148 | 14 | 111 | 368 | 208 | 29 | 11 | 46 | 5 | 48 | 0 | 1,139 |
| R01 | 34 | 11 | 0 | 6 | 5 | 4 | 3 | 1 | 1 | 1 | 0 | 0 | 66 |
| R02 | 52 | 5 | 1 | 27 | 8 | 5 | 0 | 1 | 2 | 1 | 0 | 0 | 102 |
| R03 | 42 | 22 | 6 | 25 | 18 | 0 | 0 | 1 | 8 | 0 | 1 | 0 | 123 |
| R04 | 108 | 39 | 18 | 35 | 12 | 17 | 5 | 1 | 0 | 3 | 7 | 0 | 245 |
| R05 | 42 | 18 | 1 | 37 | 9 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 109 |
| R06 | 84 | 11 | 3 | 24 | 7 | 3 | 7 | 5 | 3 | 0 | 0 | 0 | 147 |
| R07 | 7 | 8 | 0 | 7 | 6 | 1 | 4 | 2 | 1 | 0 | 0 | 0 | 36 |
| R08 | 40 | 14 | 1 | 33 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 94 |
| R09 | 74 | 28 | 6 | 23 | 14 | 28 | 1 | 5 | 3 | 1 | 6 | 0 | 189 |
| R0X | 20 | 31 | 1 | 17 | 1 | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 76 |
| OIG | 34 | 11 | 0 | 14 | 7 | 4 | 3 | 1 | 0 | 0 | 0 | 0 | 74 |
| AGENCY OVERALL | 688 | 346 | 51 | 359 | 460 | 274 | 54 | 29 | 65 | 12 | 62 | 0 | 2,400 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | N/A | 0 | 0 |
|  |  |
| R01 | N/A | 0 | 0 |
|  |  |
| R02 | N/A | 0 | 0 |
|  |  |
| R03 | N/A | 0 | 0 |
|  |  |
| R04 | N/A | 0 | 0 |
|  |  |
| R05 | N/A | 0 | 0 |
|  |  |
| R06 | N/A | 0 | 0 |
|  |  |
| R07 | N/A | 0 | 0 |
|  |  |
| R08 | N/A | 0 | 0 |
|  |  |
| R09 | N/A | 0 | 0 |
|  |  |
| R0X | N/A | 0 | 0 |
|  |  |
| OIG | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 2 | 42 | 20 | 69 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 5 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 1 | 0 | 3 | 0 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 1 | 3 | 26 | 9 | 24 | 3 | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 11 | 6 | 5 | 1 | 1 | 4 | 2 | 1 | 1 | 0 | 0 |
| R06 | 0 | 3 | 0 | 20 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| R07 | 0 | 0 | 1 | 0 | 0 | 5 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 1 | 2 | 1 | 15 | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 1 | 2 | 6 | 9 | 32 | 2 | 0 | 6 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 1 | 3 | 19 | 6 | 25 | 0 | 0 | 4 | 0 | 4 | 1 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 5 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 7 | 12 | 129 | 72 | 199 | 31 | 1 | 19 | 4 | 6 | 2 | 2 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| HQ | 3 | 25 | 24 | 4 |
| R01 | 0 | 1 | 1 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 1 | 3 | 4 | 0 |
| R04 | 0 | 4 | 4 | 0 |
| R05 | 0 | 3 | 3 | 0 |
| R06 | 0 | 3 | 3 | 0 |
| R07 | 0 | 1 | 1 | 0 |
| R08 | 0 | 1 | 1 | 0 |
| R09 | 0 | 4 | 4 | 0 |
| R0X | 1 | 0 | 1 | 0 |
| OIG | 0 | 1 | 1 | 0 |
| AGENCY OVERALL | 5 | 46 | 47 | 4 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| HQ | 18 | 2 | 4 | 0 | 24 |
| R01 | 1 | 0 | 0 | 0 | 1 |
| R02 | 0 | 0 | 0 | 0 | 0 |
| R03 | 2 | 2 | 0 | 0 | 4 |
| R04 | 3 | 0 | 1 | 0 | 4 |
| R05 | 1 | 0 | 2 | 0 | 3 |
| R06 | 2 | 1 | 0 | 0 | 3 |
| R07 | 0 | 1 | 0 | 0 | 1 |
| R08 | 0 | 0 | 1 | 0 | 1 |
| R09 | 1 | 1 | 2 | 0 | 4 |
| R0X | 1 | 0 | 0 | 0 | 1 |
| OIG | 1 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 30 | 7 | 10 | 0 | 47 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 0 | 2 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 0 | 0 | 0 | 2 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| HQ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | N/A | 0 | 0 |
|  |  |
| R01 | N/A | 0 | 0 |
|  |  |
| R02 | N/A | 0 | 0 |
|  |  |
| R03 | N/A | 0 | 0 |
|  |  |
| R04 | N/A | 0 | 0 |
|  |  |
| R05 | N/A | 0 | 0 |
|  |  |
| R06 | N/A | 0 | 0 |
|  |  |
| R07 | N/A | 0 | 0 |
|  |  |
| R08 | N/A | 0 | 0 |
|  |  |
| R09 | N/A | 0 | 0 |
|  |  |
| R0X | N/A | 0 | 0 |
|  |  |
| OIG | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
|  |  |  |  |

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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 20.00 | 33.54 | 12.00 | 158.00 |
| R01 | 36.00 | 36.00 | 36.00 | 36.00 |
| R02 | 0.00 | 0.00 | 0.00 | 0.00 |
| R03 | 21.00 | 21.00 | 18.00 | 24.00 |
| R04 | 19.00 | 17.00 | 9.00 | 22.00 |
| R05 | 17.00 | 18.00 | 13.00 | 24.00 |
| R06 | 8.00 | 14.00 | 4.00 | 30.00 |
| R07 | 19.00 | 19.00 | 19.00 | 19.00 |
| R08 | 20.00 | 20.00 | 20.00 | 20.00 |
| R09 | 24.00 | 25.00 | 24.00 | 27.00 |
| R0X | 9.00 | 9.00 | 9.00 | 9.00 |
| OIG | 36.00 | 36.00 | 36.00 | 36.00 |
| AGENCY OVERALL | 19.50 | 20.71 | 4.00 | 158.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| HQ | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-27 | 2013-09-17 | 2013-08-15 | 2013-03-19 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 9 | 29 | 129 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-27 | 2013-09-17 | 2013-08-15 | 2013-03-19 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 9 | 29 | 129 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 16 | 34.85 | 1 | 276 | 27.5 | 77.63 | 1 | 660 | 35 | 40.2 | 12 | 89 |
| R01 | 14 | 12.73 | 1 | 31 | 16 | 18.1 | 1 | 58 | 18 | 18 | 18 | 18 |
| R02 | 19 | 18.11 | 1 | 79 | 23.5 | 22.36 | 2 | 58 | 3 | 4.5 | 2 | 10 |
| R03 | 10 | 12.9 | 1 | 54 | 14 | 21.19 | 1 | 64 | 23 | 23 | 23 | 23 |
| R04 | 15 | 15.09 | 1 | 96 | 19 | 21.44 | 1 | 96 | 3 | 3 | 3 | 3 |
| R05 | 19 | 19.49 | 5 | 17 | 17 | 13.71 | 1 | 35 | 0 | 0 | 0 | 0 |
| R06 | 13 | 14.79 | 1 | 72 | 6 | 12.88 | 1 | 50 | 0 | 0 | 0 | 0 |
| R07 | 11.5 | 13.74 | 1 | 54 | 11 | 11 | 8 | 14 | 0 | 0 | 0 | 0 |
| R08 | 20 | 20.27 | 24 | 92 | 0.5 | 0.5 | 1 | 1 | 0 | 0 | 0 | 0 |
| R09 | 14 | 18.86 | 1 | 72 | 15 | 14.39 | 1 | 49 | 0 | 0 | 0 | 0 |
| R0X | 14 | 15.74 | 1 | 81 | 28.5 | 46 | 11 | 115 | 0 | 0 | 0 | 0 |
| OIG | 9 | 9.98 | 1 | 93 | 28 | 28 | 15 | 41 | 18 | 18 | 18 | 18 |
| AGENCY OVERALL | 14 | 17.21 | 1 | 276 | 16.5 | 23.89 | 1 | 660 | 1.5 | 8.89 | 0 | 89 |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 46 | 62.74 | 2 | 264 | 91 | 134.62 | 11 | 660 | 89 | 40.2 | 12 | 89 |
| R01 | 13.5 | 12.31 | 1 | 28 | 45.5 | 45.5 | 33 | 58 | 18 | 18 | 18 | 18 |
| R02 | 19 | 18.4 | 2 | 34 | 22 | 22.61 | 5 | 45 | 3 | 4.5 | 2 | 10 |
| R03 | 13 | 15.51 | 1 | 54 | 31.5 | 32.5 | 12 | 56 | 0 | 0 | 0 | 0 |
| R04 | 16 | 16.71 | 1 | 77 | 23 | 22.43 | 2 | 53 | 3 | 3 | 3 | 3 |
| R05 | 19 | 22.2 | 1 | 0 | 19 | 19 | 17 | 21 | 0 | 0 | 0 | 0 |
| R06 | 13 | 14.2 | 1 | 40 | 25 | 25 | 1 | 50 | 0 | 0 | 0 | 0 |
| R07 | 15 | 16.6 | 1 | 54 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| R08 | 22 | 23.69 | 1 | 92 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| R09 | 18 | 20.54 | 2 | 111 | 19 | 20.33 | 7 | 49 | 0 | 0 | 0 | 0 |
| R0X | 17.5 | 17.38 | 1 | 81 | 44.5 | 52.17 | 11 | 115 | 0 | 0 | 0 | 0 |
| OIG | 10 | 10.33 | 1 | 21 | 28 | 28 | 15 | 41 | 18 | 18 | 18 | 18 |
| AGENCY OVERALL | 16.75 | 20.88 | 1 | 264 | 24 | 33.68 | 1 | 660 | 3 | 6.98 | 2 | 89 |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 318 | 86 | 39 | 29 | 14 | 13 | 5 | 13 | 6 | 4 | 12 | 0 | 0 | 539 |
| R01 | 2 | 45 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 55 |
| R02 | 46 | 18 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 70 |
| R03 | 85 | 20 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 106 |
| R04 | 165 | 27 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 200 |
| R05 | 50 | 52 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 102 |
| R06 | 126 | 15 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 147 |
| R07 | 26 | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 34 |
| R08 | 47 | 32 | 7 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 91 |
| R09 | 100 | 32 | 14 | 7 | 4 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 159 |
| R0X | 53 | 13 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 69 |
| OIG | 10 | 45 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 63 |
| AGENCY OVERALL | 1,028 | 392 | 91 | 49 | 19 | 13 | 6 | 15 | 6 | 4 | 12 | 0 | 0 | 1,635 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 265 | 74 | 44 | 34 | 19 | 26 | 20 | 12 | 15 | 12 | 43 | 23 | 8 | 595 |
| R01 | 1 | 4 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| R02 | 11 | 15 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 |
| R03 | 10 | 3 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| R04 | 13 | 13 | 4 | 13 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 44 |
| R05 | 1 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R08 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R09 | 23 | 6 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| R0X | 0 | 2 | 3 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 7 |
| OIG | 1 | 4 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| AGENCY OVERALL | 327 | 128 | 66 | 50 | 21 | 26 | 21 | 12 | 15 | 12 | 43 | 23 | 8 | 752 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 1 | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R02 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| R03 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R04 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 8 | 2 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| HQ | 40 | 29.5 | 56 | 90 | 64 | 96 | 0 | N/A | N/A |
| R01 | 3 | 10 | 9.3 | 0 | N/A | N/A | 0 | N/A | N/A |
| R02 | 8 | 6.5 | 7 | 3 | 13 | 13 | 0 | N/A | N/A |
| R03 | 9 | 9 | 10 | 3 | 11 | 11 | 0 | N/A | N/A |
| R04 | 11 | 13.5 | 16.5 | 12 | 19 | 19 | 0 | N/A | N/A |
| R05 | 9 | 15 | 25 | 9 | 34 | 34 | 0 | N/A | N/A |
| R06 | 11 | 23 | 23 | 31 | 31 | 31 | 0 | N/A | N/A |
| R07 | 1 | 6 | 6 | 1 | 0 | 0 | 0 | N/A | N/A |
| R08 | 10 | 163 | 173 | 12 | 0 | 0 | 0 | N/A | N/A |
| R09 | 14 | 69 | 68 | 14 | 15 | 20.5 | 0 | N/A | N/A |
| R0X | 3 | 12 | 13 | 0 | N/A | N/A | 0 | N/A | N/A |
| OIG | 1 | 10 | 9.3 | 2 | 0 | 0 | 0 | N/A | N/A |
| AGENCY OVERALL | 120 | 12.75 | 34.68 | 146 | 12 | 18.71 | 0 | N/A | N/A |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| HQ | Date of Receipt | 2012-07-03 | 2012-06-21 | 2012-05-23 | 2012-05-18 | 2012-05-07 | 2012-05-03 | 2012-04-30 | 2012-04-11 | 2012-02-07 | 2012-01-30 |
| Number of Days Pending | 226 | 293 | 313 | 316 | 323 | 327 | 330 | 343 | 377 | 394 |
| R01 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-19 | 2013-09-16 | 2013-09-13 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 10 | 11 |
| R02 | Date of Receipt | 2013-09-26 | 2013-09-23 | 2013-09-23 | 2013-09-23 | 2013-09-18 | 2013-09-18 | 2013-09-16 | 2013-09-11 | 2013-09-10 | 2013-08-23 |
| Number of Days Pending | 2 | 5 | 5 | 5 | 8 | 8 | 10 | 13 | 14 | 24 |
| R03 | Date of Receipt | 2013-09-24 | 2013-09-23 | 2013-09-19 | 2013-09-17 | 2013-09-17 | 2013-09-17 | 2013-09-10 | 2013-09-05 | 2013-09-04 | 2013-08-27 |
| Number of Days Pending | 4 | 5 | 7 | 9 | 9 | 9 | 14 | 17 | 18 | 22 |
| R04 | Date of Receipt | 2013-09-30 | 2013-09-24 | 2013-09-23 | 2013-09-20 | 2013-09-19 | 2013-09-18 | 2013-09-10 | 2013-08-22 | 2013-08-21 | 2013-08-20 |
| Number of Days Pending | 1 | 4 | 5 | 6 | 7 | 8 | 14 | 27 | 28 | 29 |
| R05 | Date of Receipt | 2013-09-10 | 2013-09-10 | 2013-09-06 | 2013-08-29 | 2013-08-09 | 2013-08-08 | 2013-08-08 | 2013-07-29 | 2013-06-18 | 2013-05-09 |
| Number of Days Pending | 14 | 14 | 16 | 20 | 33 | 34 | 34 | 41 | 67 | 92 |
| R06 | Date of Receipt | 2013-09-30 | 2013-09-27 | 2013-09-26 | 2013-09-19 | 2013-09-16 | 2013-09-16 | 2013-09-16 | 2013-09-15 | 2013-09-11 | 2013-01-01 |
| Number of Days Pending | 1 | 2 | 3 | 7 | 10 | 10 | 10 | 11 | 15 | 65 |
| R07 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-16 | 2013-07-24 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 45 |
| R08 | Date of Receipt | 2013-09-25 | 2013-09-23 | 2013-09-23 | 2013-09-20 | 2013-09-19 | 2013-09-19 | 2013-09-17 | 2013-09-17 | 2013-06-27 | 2013-05-29 |
| Number of Days Pending | 5 | 5 | 5 | 6 | 7 | 7 | 9 | 9 | 60 | 80 |
| R09 | Date of Receipt | 2013-08-22 | 2013-08-14 | 2013-08-13 | 2013-08-07 | 2013-07-31 | 2013-06-27 | 2013-05-29 | 2013-05-29 | 2013-01-03 | 2012-10-25 |
| Number of Days Pending | 25 | 30 | 31 | 35 | 39 | 60 | 80 | 80 | 180 | 226 |
| R0X | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-30 | 2013-09-12 | 2013-08-19 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 28 |
| OIG | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2013-09-19 | 2013-09-16 | 2013-09-13 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 10 | 11 |
| AGENCY OVERALL | Date of Receipt | 2012-07-03 | 2012-06-21 | 2012-05-23 | 2012-05-19 | 2012-05-07 | 2012-05-03 | 2012-04-30 | 2012-04-11 | 2012-02-07 | 2012-01-30 |
| Number of Days Pending | 226 | 293 | 313 | 316 | 323 | 327 | 330 | 343 | 377 | 394 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| HQ | 5 | 257 | 1 | 0.64 | 262 |
| R01 | 1 | 0 | 21 | 21 | 0 |
| R02 | 4 | 0 | 1 | 1 | 4 |
| R03 | 1 | 8 | 1 | 7 | 9 |
| R04 | 1 | 3 | 5.5 | 5.5 | 4 |
| R05 | 0 | 6 | 1 | 0.71 | 6 |
| R06 | 0 | 4 | 11 | 10 | 3 |
| R07 | 0 | 1 | 1 | 1 | 1 |
| R08 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 3 | 15 | 18 | 0 |
| R0X | 0 | 2 | 1 | 1 | 2 |
| OIG | 1 | 0 | 18 | 18 | 0 |
| AGENCY OVERALL | 13 | 284 | 1 | 6.98 | 290 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| HQ | 1 | 69 | 1 | 0.14 |
| R01 | 2 | 0 | 7.5 | 7.5 |
| R02 | 1 | 0 | 1 | 1 |
| R03 | 5 | 9 | 1 | 3.11 |
| R04 | 4 | 1 | 16 | 19 |
| R05 | 4 | 0 | 1 | 1 |
| R06 | 0 | 2 | 9 | 9 |
| R07 | 0 | 1 | 7 | 7 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 4 | 1 | 10.75 | 10.75 |
| R0X | 0 | 4 | 0.5 | 1 |
| OIG | 2 | 0 | 7.5 | 7.5 |
| AGENCY OVERALL | 23 | 87 | 4 | 5.58 |

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| **IX. FOIA Personnel and Costs** |

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| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 7 | 10.5 | 17.5 | $1,162,878.00 | $59,145.86 | $1,222,023.86 |
| R01 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R02 | 0 | 2 | 2 | $214,842.00 | $0.00 | $214,842.00 |
| R03 | 0 | 2.1 | 2.1 | $15,844.43 | $0.00 | $15,844.43 |
| R04 | 0 | 3.4 | 3.4 | $365,231.00 | $0.00 | $365,231.00 |
| R05 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R06 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R07 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R08 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R09 | 0 | 7.5 | 7.5 | $8,135.45 | $0.00 | $8,135.45 |
| R0X | 0 | 0.77 | 0.77 | $81,122.45 | $0.00 | $81,122.45 |
| OIG | 0 | 1 | 1 | $6,880.29 | $0.00 | $6,880.29 |
| AGENCY OVERALL | 7 | 31.77 | 38.77 | $2,338,328.62 | $59,145.86 | $2,397,474.48 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | $12,886.09 | 1.11% |
| R01 | $661.04 | 0.62% |
| R02 | $1,337.80 | 0.62% |
| R03 | $7,993.26 | 50.45% |
| R04 | $5,408.28 | 1.48% |
| R05 | $9,547.00 | 8.89% |
| R06 | $1,310.90 | 1.22% |
| R07 | $829.16 | 1.03% |
| R08 | $1,746.94 | 2.17% |
| R09 | $680.20 | 8.36% |
| R0X | $590.57 | 0.73% |
| OIG | $661.04 | 9.61% |
| AGENCY OVERALL | $43,652.28 | 1.87% |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| HQ | 130 | 4 |
| R01 | 3 | 0 |
| R02 | 11 | 0 |
| R03 | 12 | 0 |
| R04 | 23 | 0 |
| R05 | 18 | 0 |
| R06 | 11 | 0 |
| R07 | 2 | 0 |
| R08 | 22 | 0 |
| R09 | 28 | 0 |
| R0X | 3 | 0 |
| OIG | 3 | 0 |
| AGENCY OVERALL | 266 | 4 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| HQ | 0 | 4 | 4 | 0 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 2 | 2 | 0 |
| R04 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 6 | 6 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 1,058 | 1,082 | 978 | 1,139 |
| R01 | 102 | 64 | 98 | 66 |
| R02 | 125 | 104 | 117 | 102 |
| R03 | 140 | 129 | 135 | 123 |
| R04 | 412 | 256 | 400 | 245 |
| R05 | 127 | 109 | 109 | 109 |
| R06 | 147 | 152 | 141 | 147 |
| R07 | 44 | 36 | 44 | 36 |
| R08 | 110 | 114 | 108 | 94 |
| R09 | 218 | 205 | 208 | 189 |
| R0X | 96 | 73 | 90 | 76 |
| OIG | 115 | 64 | 116 | 74 |
| AGENCY OVERALL | 2,694 | 2,388 | 2,544 | 2,400 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| HQ | 187 | 130 |
| R01 | 5 | 3 |
| R02 | 9 | 11 |
| R03 | 6 | 12 |
| R04 | 12 | 23 |
| R05 | 18 | 18 |
| R06 | 6 | 11 |
| R07 | 2 | 2 |
| R08 | 2 | 22 |
| R09 | 12 | 28 |
| R0X | 6 | 3 |
| OIG | 13 | 3 |
| AGENCY OVERALL | 278 | 266 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 33 | 25 | 32 | 24 |
| R01 | 0 | 1 | 0 | 1 |
| R02 | 1 | 0 | 1 | 0 |
| R03 | 1 | 3 | 0 | 4 |
| R04 | 3 | 4 | 3 | 4 |
| R05 | 1 | 3 | 1 | 3 |
| R06 | 4 | 3 | 4 | 3 |
| R07 | 0 | 1 | 0 | 1 |
| R08 | 1 | 1 | 1 | 1 |
| R09 | 1 | 4 | 1 | 4 |
| R0X | 1 | 0 | 0 | 1 |
| OIG | 10 | 1 | 10 | 1 |
| AGENCY OVERALL | 56 | 46 | 53 | 47 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| HQ | 3 | 4 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 1 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 1 | 0 |
| OIG | 0 | 0 |
| AGENCY OVERALL | 5 | 4 |

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