3. Agency Component Abbreviations

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| Component Abbreviation | Component Name |
| HQ | Headquarters |
| OIG | G-Office of the Inspector General |
| R01 | Kristine G. FoyeDeputy Regional AdministratorU.S. Department of Housing & Urban Development10 Causeway Street--Room 301Boston, MA 02222-1092(617) 994-8218 Office |
| R02 | Mirza Negron MoralesDeputy Regional AdministratorU.S. Department of Housing and Urban Development26 Federal Plaza Suite 3541New York, NY 10278-0068(212) 542-7109 office |
| R03 | Sheppard V. WilliamsDeputy Regional AdministratorU.S. Department of Housing and Urban DevelopmentThe Wanamaker Building100 Penn Square EastPhiladelphia, PA 10107(215) 656-0600 |
| R04 | Joy BeslowDeputy Regional AdministratorU.S. Department of Housing and Urban Development40 Marietta Street Atlanta, GA 30303(678) 732-2776 Office |
| R05 | James CunninghamDeputy Regional DirectorU.S. Department of Housing and Urban Development77 W. Jackson BoulevardChicago, IL 60604(312) 913-8139 office |
| R06 | Patricia Campbell - FOIA Liaison801 Cherry Street, Unit #45, Suite 2500Ft. Worth, TX 76102(817) 978-5974, ext. 1010 - Office |
| R07 | Bradley E. StreeterDeputy Regional AdministratorU.S. Department of Housing and Urban Development400 State Ave.Kansas City, KS 66101(913) 551- 5485 office |
| R08 | Denise HernandezDeputy Regional AdministratorU.S. Department of Housing and Urban Development1670 BroadwayDenver, CO 80202-4801(303) 672-5440 |
| R09 | Suzie HernandezFreedom of Information Act (FOIA) Liaison point of contactU.S. Department of Housing and Urban DevelopmentJohn E. Moss Federal BuildingSuite 4-200650 Capitol MallSacramento, CA 95814-3702(916) 498-7381 |
| R0X | Patty LeongRegional LeadU.S. Department of Housing and Urban DevelopmentSeattle Federal Office Building909 First Avenue, Suite 200Seattle, WA 98104-1000(206) 220-5101 office |
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| **IV. Exemption 3 Statutes**  |

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| --- | --- | --- | --- | --- | --- |
| Statute | Type of InformationWithheld | Case Citation | Agency / Component | Number of TimesRelied uponby Agency / Component | Total Number ofTimes Relied uponby Agency Overall |
| 41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | R04 | 9 | 10 |
| Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | R05 | 1 |
| Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319 | Certain records pertaining to grand jury proceedings | Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at \*2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008). | R05 | 1 | 1 |
|   |   |   |   |
| 42 U.S.C. § 3610(d)(Fair Housing Act) | Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R01 | 1 | 16 |
| Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R05 | 2 |
| Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R09 | 10 |
| Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R0X | 3 |
| 42 U.S.C. §3537a | Covered selected information during the grant selection process | N/A | HQ | 2 | 2 |
|   |   |   |   |
| 42 U.S.C. §§ 2000e-5(b)¸ 2000e-8(e) (Civil Rights Act of 1964) | Information pertaining to charges of unlawful employment practices; information obtained by the EEOC in investigating charges of unlawful employment practices | Frito-Lay v. EEOC, 964 F. Supp. 236, 240-43 (W.D. Ky. 1997); Am. Centennial Ins. Co. v. EEOC, 722 F. Supp. 180, 184 (D.N.J. 1989). | OIG | 1 | 1 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of RequestsPending as of Startof Fiscal Year | Number ofRequests Receivedin Fiscal Year | Number ofRequests Processedin Fiscal Year | Number of RequestsPending as of Endof Fiscal Year |
| HQ | 130 | 1,039 | 881 | 288 |
| OIG | 3 | 139 | 135 | 7 |
| R01 | 3 | 65 | 64 | 4 |
| R02 | 11 | 90 | 97 | 4 |
| R03 | 12 | 167 | 174 | 5 |
| R04 | 23 | 240 | 242 | 21 |
| R05 | 18 | 119 | 120 | 17 |
| R06 | 11 | 115 | 121 | 5 |
| R07 | 2 | 34 | 35 | 1 |
| R08 | 22 | 97 | 111 | 8 |
| R09 | 28 | 192 | 178 | 42 |
| R0X | 3 | 70 | 66 | 7 |
| AGENCY OVERALL | 266 | 2,367 | 2,224 | 409 |

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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions |   |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| HQ | 127 | 115 | 14 | 86 | 333 | 115 | 13 | 10 | 23 | 9 | 36 | 0 | 881 |
| OIG | 6 | 51 | 30 | 19 | 16 | 3 | 0 | 3 | 5 | 1 | 1 | 0 | 135 |
| R01 | 35 | 5 | 4 | 7 | 3 | 9 | 0 | 0 | 1 | 0 | 0 | 0 | 64 |
| R02 | 34 | 11 | 4 | 37 | 5 | 4 | 0 | 0 | 0 | 0 | 2 | 0 | 97 |
| R03 | 69 | 41 | 5 | 18 | 13 | 17 | 1 | 0 | 7 | 2 | 1 | 0 | 174 |
| R04 | 102 | 42 | 15 | 38 | 8 | 14 | 10 | 2 | 4 | 1 | 6 | 0 | 242 |
| R05 | 39 | 23 | 5 | 34 | 10 | 4 | 1 | 0 | 3 | 0 | 1 | 0 | 120 |
| R06 | 61 | 17 | 2 | 13 | 5 | 17 | 2 | 0 | 4 | 0 | 0 | 0 | 121 |
| R07 | 10 | 4 | 1 | 6 | 4 | 2 | 1 | 2 | 3 | 1 | 1 | 0 | 35 |
| R08 | 45 | 11 | 3 | 35 | 1 | 11 | 2 | 0 | 3 | 0 | 0 | 0 | 111 |
| R09 | 70 | 35 | 10 | 29 | 7 | 20 | 1 | 0 | 4 | 0 | 2 | 0 | 178 |
| R0X | 12 | 35 | 2 | 8 | 2 | 2 | 3 | 0 | 1 | 0 | 1 | 0 | 66 |
| AGENCY OVERALL | 610 | 390 | 95 | 330 | 407 | 218 | 34 | 17 | 58 | 14 | 51 | 0 | 2,224 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | N/A | 0 | 0 |
| N/A | 0 |
| OIG | N/A | 0 | 0 |
| N/A | 0 |
| R01 | N/A | 0 | 0 |
| N/A | 0 |
| R02 | N/A | 0 | 0 |
| N/A | 0 |
| R03 | N/A | 0 | 0 |
| N/A | 0 |
| R04 | N/A | 0 | 0 |
| N/A | 0 |
| R05 | N/A | 0 | 0 |
| N/A | 0 |
| R06 | N/A | 0 | 0 |
| N/A | 0 |
| R07 | N/A | 0 | 0 |
| N/A | 0 |
| R08 | N/A | 0 | 0 |
| N/A | 0 |
| R09 | N/A | 0 | 0 |
| N/A | 0 |
| R0X | N/A | 0 | 0 |
|   |   |
| AGENCY OVERALL |   |   | 0 |
|   |   |   |   |

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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 2 | 28 | 22 | 58 | 8 | 0 | 4 | 0 | 5 | 0 | 2 | 0 |
| OIG | 0 | 29 | 1 | 7 | 25 | 17 | 22 | 0 | 48 | 1 | 19 | 0 | 0 | 0 |
| R01 | 0 | 0 | 1 | 3 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 1 | 0 | 2 | 4 | 5 | 5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 1 | 0 | 17 | 7 | 24 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 1 | 9 | 21 | 9 | 18 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 4 | 9 | 6 | 15 | 5 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 1 | 1 | 8 | 5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 1 | 0 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 3 | 4 | 7 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| R09 | 0 | 2 | 10 | 6 | 13 | 26 | 12 | 0 | 17 | 0 | 3 | 0 | 0 | 0 |
| R0X | 0 | 0 | 3 | 6 | 2 | 28 | 11 | 0 | 10 | 0 | 3 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 34 | 30 | 104 | 93 | 214 | 77 | 0 | 84 | 1 | 31 | 0 | 2 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of AppealsPending as of Startof Fiscal Year | Number ofAppeals Receivedin Fiscal Year | Number ofAppeals Processedin Fiscal Year | Number of AppealsPending as of Endof Fiscal Year |
| HQ | 4 | 31 | 29 | 6 |
| OIG | 0 | 11 | 9 | 2 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 1 | 1 | 0 |
| R03 | 0 | 2 | 2 | 0 |
| R04 | 0 | 6 | 5 | 1 |
| R05 | 0 | 7 | 6 | 1 |
| R06 | 0 | 3 | 3 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 1 | 1 | 0 |
| R09 | 0 | 3 | 1 | 2 |
| R0X | 0 | 2 | 2 | 0 |
| AGENCY OVERALL | 4 | 67 | 59 | 12 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| HQ | 13 | 6 | 4 | 6 | 29 |
| OIG | 8 | 1 | 0 | 0 | 9 |
| R01 | 0 | 0 | 0 | 0 | 0 |
| R02 | 1 | 0 | 0 | 0 | 1 |
| R03 | 1 | 1 | 0 | 0 | 2 |
| R04 | 2 | 0 | 3 | 0 | 5 |
| R05 | 3 | 2 | 1 | 0 | 6 |
| R06 | 2 | 0 | 0 | 1 | 3 |
| R07 | 0 | 0 | 0 | 0 | 0 |
| R08 | 1 | 0 | 0 | 0 | 1 |
| R09 | 1 | 0 | 0 | 0 | 1 |
| R0X | 1 | 0 | 1 | 0 | 2 |
| AGENCY OVERALL | 33 | 10 | 9 | 7 | 59 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 0 | 7 | 2 | 3 | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 5 | 0 | 1 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 2 | 1 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 10 | 4 | 9 | 7 | 0 | 5 | 0 | 4 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| HQ | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | N/A | 0 | 0 |
|   |   |
| OIG | N/A | 0 | 0 |
|   |   |
| R01 | N/A | 0 | 0 |
|   |   |
| R02 | N/A | 0 | 0 |
|   |   |
| R03 | N/A | 0 | 0 |
|   |   |
| R04 | N/A | 0 | 0 |
|   |   |
| R05 | N/A | 0 | 0 |
|   |   |
| R06 | N/A | 0 | 0 |
|   |   |
| R07 | N/A | 0 | 0 |
|   |   |
| R08 | N/A | 0 | 0 |
|   |   |
| R09 | N/A | 0 | 0 |
|   |   |
| R0X | N/A | 0 | 0 |
|   |   |
| AGENCY OVERALL |   |   | 0 |
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 41.50 | 61.41 | 9.00 | 339.00 |
| OIG | 22.00 | 29.78 | 2.00 | 56.00 |
| R01 | 0.00 | 0.00 | 0.00 | 0.00 |
| R02 | 41.00 | 41.00 | 41.00 | 41.00 |
| R03 | 127.50 | 127.50 | 16.00 | 239.00 |
| R04 | 31.50 | 34.67 | 20.00 | 65.00 |
| R05 | 15.50 | 14.33 | 10.00 | 17.00 |
| R06 | 5.00 | 4.67 | 1.00 | 8.00 |
| R07 | 0.00 | 0.00 | 0.00 | 0.00 |
| R08 | 23.00 | 23.00 | 23.00 | 23.00 |
| R09 | 19.00 | 19.00 | 19.00 | 19.00 |
| R0X | 22.50 | 22.50 | 15.00 | 30.00 |
| AGENCY OVERALL | 33.75 | 53.76 | 1.00 | 339.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| HQ | Date of Appeal | N/A | N/A | N/A | N/A | 2014-06-23 | 2014-06-18 | 2014-01-29 | 2013-10-18 | 2013-10-17 | 2013-08-15 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 69 | 72 | 170 | 238 | 239 | 269 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-06-24 | 2014-05-19 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 68 | 93 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-08-27 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 23 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-09-26 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-08-08 | 2014-07-24 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 47 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | 2014-07-31 | 2014-07-24 | 2014-06-24 | 2014-06-23 | 2014-06-18 | 2014-05-19 | 2014-01-29 | 2013-10-18 | 2013-10-17 | 2013-08-15 |
| Number of Days Pending | 42 | 47 | 68 | 69 | 72 | 93 | 170 | 238 | 239 | 269 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 11 | 33.26 | <1 | 439 | 76 | 115.23 | 1 | 577 | 22 | 22 | 22 | 22 |
| OIG | 11 | 12.13 | <1 | 108 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R01 | 6 | 9.25 | <1 | 64 | 22 | 18.33 | 5 | 28 | N/A | N/A | N/A | N/A |
| R02 | 20 | 18.97 | <1 | 154 | 20 | 20.41 | <1 | 45 | 5 | 5 | 5 | 5 |
| R03 | 12 | 13.84 | <1 | 71 | 32.5 | 37.11 | <1 | 67 | 4.5 | 4.5 | 1 | 8 |
| R04 | 14 | 16.6 | <1 | 154 | 20 | 21.62 | 2 | 49 | 10 | 10 | 10 | 10 |
| R05 | 14.5 | 16.29 | <1 | 43 | 5.5 | 8.12 | <1 | 20 | N/A | N/A | N/A | N/A |
| R06 | 12 | 14.87 | <1 | 93 | 6 | 11.33 | <1 | 38 | 17 | 17 | 17 | 17 |
| R07 | 13 | 13.15 | <1 | 38 | 18.5 | 18.5 | 12 | 25 | N/A | N/A | N/A | N/A |
| R08 | 21 | 33.47 | <1 | 240 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 17 | 21.64 | <1 | 174 | 22 | 37.5 | 2 | 141 | 16.5 | 16.5 | 10 | 23 |
| R0X | 16 | 15.1 | <1 | 55 | 18 | 39.8 | <1 | 120 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 13 | 22.88 | <1 | 439 | 44.5 | 85.15 | <1 | 577 | 10 | 12 | 1 | 23 |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 45 | 56.01 | 8 | 218 | 94.5 | 128.21 | 2 | 577 | N/A | N/A | N/A | N/A |
| OIG | 12 | 13.3 | 2 | 51 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R01 | 6 | 10.3 | <1 | 64 | 22 | 18.33 | 5 | 28 | N/A | N/A | N/A | N/A |
| R02 | 21 | 19.28 | 3 | 48 | 22 | 23.08 | 10 | 45 | N/A | N/A | N/A | N/A |
| R03 | 15.5 | 17.03 | <1 | 71 | 57.5 | 44.77 | 8 | 67 | 4.5 | 4.5 | 1 | 8 |
| R04 | 17 | 18.45 | <1 | 122 | 23 | 24.62 | 2 | 49 | N/A | N/A | N/A | N/A |
| R05 | 15 | 16.88 | <1 | 43 | 16.5 | 16.5 | 14 | 19 | N/A | N/A | N/A | N/A |
| R06 | 14 | 15.67 | <1 | 93 | 14.5 | 14.5 | 10 | 19 | 17 | 17 | 17 | 17 |
| R07 | 23 | 21.58 | 3 | 38 | 18.5 | 18.5 | 12 | 25 | N/A | N/A | N/A | N/A |
| R08 | 20 | 28.55 | 2 | 193 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 18 | 21.59 | 1 | 100 | 19 | 30.75 | 2 | 85 | 10 | 10 | 10 | 10 |
| R0X | 18 | 16.53 | <1 | 55 | 33 | 49.75 | 13 | 120 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 17 | 22.35 | <1 | 218 | 62.5 | 97.98 | 2 | 577 | 9 | 9 | 1 | 17 |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| HQ | 405 | 75 | 64 | 24 | 18 | 8 | 15 | 6 | 8 | 6 | 9 | 2 | 2 | 642 |
| OIG | 124 | 7 | 2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 135 |
| R01 | 57 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 61 |
| R02 | 37 | 30 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 69 |
| R03 | 117 | 21 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 144 |
| R04 | 167 | 35 | 7 | 4 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 215 |
| R05 | 80 | 29 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 112 |
| R06 | 90 | 14 | 3 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 111 |
| R07 | 23 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33 |
| R08 | 54 | 33 | 11 | 3 | 5 | 1 | 0 | 1 | 0 | 1 | 2 | 0 | 0 | 111 |
| R09 | 118 | 28 | 8 | 6 | 1 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 164 |
| R0X | 54 | 6 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 61 |
| AGENCY OVERALL | 1,326 | 291 | 105 | 42 | 26 | 10 | 16 | 11 | 9 | 7 | 11 | 2 | 2 | 1,858 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| HQ | 38 | 37 | 24 | 24 | 17 | 10 | 13 | 9 | 8 | 15 | 26 | 11 | 6 | 238 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R02 | 14 | 12 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 27 |
| R03 | 10 | 5 | 3 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 |
| R04 | 14 | 9 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 |
| R05 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| R06 | 7 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| R07 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 5 | 3 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 12 |
| R0X | 3 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| AGENCY OVERALL | 101 | 71 | 34 | 34 | 18 | 11 | 13 | 10 | 8 | 15 | 26 | 11 | 6 | 358 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20Days | 21-40Days | 41-60Days | 61-80Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+Days | TOTAL |
| HQ | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R03 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R04 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 6 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | SIMPLE | COMPLEX | EXPEDITED PROCESSING |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| HQ | 107 | 34 | 58 | 180 | 84 | 102 | 1 | 89 | 89 |
| OIG | 7 | 11 | 12 | 0 | N/A | N/A | 0 | N/A | N/A |
| R01 | 4 | 4 | 14 | 0 | N/A | N/A | 0 | N/A | N/A |
| R02 | 3 | 4 | 9 | 1 | 59 | 59 | 0 | N/A | N/A |
| R03 | 4 | 17.5 | 25 | 0 | N/A | N/A | 0 | N/A | N/A |
| R04 | 15 | 14 | 75 | 6 | 21 | 29 | 0 | N/A | N/A |
| R05 | 15 | 22 | 96 | 2 | 18 | 18 | 0 | N/A | N/A |
| R06 | 4 | 15 | 13 | 0 | N/A | N/A | 1 | 30 | 30 |
| R07 | 0 | 0 | 0 | 1 | 24 | 24 | 0 | N/A | N/A |
| R08 | 8 | 20 | 23 | 0 | N/A | N/A | 0 | N/A | N/A |
| R09 | 42 | 22.5 | 57 | 0 | N/A | N/A | 0 | N/A | N/A |
| R0X | 7 | 10 | 10 | 0 | N/A | N/A | 0 | N/A | N/A |
| AGENCY OVERALL | 216 | 23.5 | 54 | 191 | 81 | 98 | 2 | 59.5 | 59 |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| HQ | Date of Receipt | 2013-10-17 | 2013-10-17 | 2013-09-11 | 2013-09-04 | 2013-08-23 | 2013-08-08 | 2013-07-18 | 2013-04-17 | 2013-01-31 | 2012-12-17 |
| Number of Days Pending | 239 | 239 | 253 | 258 | 264 | 274 | 287 | 348 | 401 | 431 |
| OIG | Date of Receipt | N/A | N/A | N/A | 2014-09-24 | 2014-09-24 | 2014-09-15 | 2014-09-15 | 2014-09-10 | 2014-09-08 | 2014-08-26 |
| Number of Days Pending | 0 | 0 | 0 | 4 | 4 | 11 | 11 | 14 | 16 | 24 |
| R01 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2014-09-29 | 2014-09-29 | 2014-09-19 | 2014-07-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 7 | 48 |
| R02 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2014-09-29 | 2014-09-24 | 2014-08-26 | 2014-07-08 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 24 | 59 |
| R03 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2014-09-24 | 2014-09-18 | 2014-09-16 | 2014-08-25 | 2014-07-01 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 3 | 4 | 10 | 25 | 63 |
| R04 | Date of Receipt | 2014-08-29 | 2014-08-28 | 2014-08-25 | 2014-08-07 | 2014-07-09 | 2014-06-27 | 2014-06-27 | 2013-12-30 | 2013-09-30 | 2012-08-09 |
| Number of Days Pending | 21 | 22 | 25 | 37 | 58 | 65 | 65 | 190 | 240 | 519 |
| R05 | Date of Receipt | 2014-09-05 | 2014-09-04 | 2014-08-28 | 2014-07-02 | 2014-04-03 | 2014-03-04 | 2013-11-20 | 2013-09-16 | 2013-09-10 | 2013-05-09 |
| Number of Days Pending | 17 | 18 | 22 | 62 | 125 | 147 | 216 | 250 | 254 | 332 |
| R06 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2014-09-30 | 2014-09-12 | 2014-09-04 | 2014-08-27 | 2014-08-18 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 18 | 23 | 30 |
| R07 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-08-26 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |
| R08 | Date of Receipt | N/A | N/A | 2014-09-30 | 2014-09-22 | 2014-09-04 | 2014-09-04 | 2014-08-28 | 2014-08-25 | 2014-08-13 | 2014-06-25 |
| Number of Days Pending | 0 | 0 | 0 | 6 | 18 | 18 | 22 | 25 | 33 | 67 |
| R09 | Date of Receipt | 2014-06-03 | 2014-05-27 | 2014-05-23 | 2014-05-08 | 2014-03-20 | 2014-02-04 | 2013-12-18 | 2013-09-24 | 2013-09-04 | 2013-08-19 |
| Number of Days Pending | 83 | 88 | 89 | 100 | 135 | 166 | 197 | 244 | 258 | 268 |
| R0X | Date of Receipt | N/A | N/A | N/A | 2014-09-29 | 2014-09-24 | 2014-09-22 | 2014-09-16 | 2014-09-10 | 2014-09-05 | 2014-09-03 |
| Number of Days Pending | 0 | 0 | 0 | 1 | 4 | 6 | 10 | 14 | 17 | 19 |
| AGENCY OVERALL | Date of Receipt | 2013-09-04 | 2013-08-23 | 2013-08-19 | 2013-08-08 | 2013-07-18 | 2013-05-09 | 2013-04-17 | 2013-01-31 | 2012-12-17 | 2012-08-09 |
| Number of Days Pending | 258 | 264 | 268 | 274 | 287 | 332 | 348 | 401 | 431 | 519 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| HQ | 1 | 180 | 1 | 1.1 | 175 |
| OIG | 0 | 8 | 1 | 1 | 8 |
| R01 | 0 | 1 | 1 | 1 | 1 |
| R02 | 1 | 3 | 4 | 5.25 | 3 |
| R03 | 2 | 12 | 1 | 5.43 | 13 |
| R04 | 1 | 10 | 1 | 7.09 | 9 |
| R05 | 0 | 2 | 6 | 6 | 1 |
| R06 | 1 | 4 | 8 | 13.6 | 3 |
| R07 | 0 | 0 | N/A | N/A | N/A |
| R08 | 0 | 3 | 1 | 10 | 2 |
| R09 | 2 | 6 | 4 | 22.71 | 5 |
| R0X | 0 | 1 | 3 | 3 | 1 |
| AGENCY OVERALL | 8 | 229 | 1 | 3.17 | 221 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| HQ | 1 | 35 | 1 | 1 |
| OIG | 0 | 5 | 1 | 2.4 |
| R01 | 0 | 0 | N/A | N/A |
| R02 | 1 | 0 | 22 | 22 |
| R03 | 3 | 1 | 7 | 7 |
| R04 | 3 | 1 | 1 | 7 |
| R05 | 0 | 1 | 1 | 1 |
| R06 | 0 | 1 | 1 | 1 |
| R07 | 0 | 0 | N/A | N/A |
| R08 | 0 | 0 | N/A | N/A |
| R09 | 1 | 1 | 32 | 32 |
| R0X | 0 | 2 | 1 | 1 |
| AGENCY OVERALL | 9 | 47 | 7 | 4.04 |

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| **IX. FOIA Personnel and Costs** |

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| --- | --- | --- |
| Agency / Component | PERSONNEL | COSTS |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 7 | 10 | 17 | $2,245,547.00 | $60,000.00 | $2,305,547.00 |
| OIG | 1 | 0 | 1 | $75,621.00 | $0.00 | $75,621.00 |
| R01 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R02 | 0 | 2 | 2 | $241,842.00 | $0.00 | $241,842.00 |
| R03 | 0 | 2.1 | 2.1 | $15,844.43 | $0.00 | $15,844.43 |
| R04 | 0 | 3.4 | 3.4 | $365,231.00 | $0.00 | $365,231.00 |
| R05 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R06 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R07 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R08 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R09 | 0 | 1 | 1 | $63,091.00 | $0.00 | $63,091.00 |
| R0X | 0 | 0.77 | 0.77 | $81,122.45 | $0.00 | $81,122.45 |
| AGENCY OVERALL | 8 | 23.77 | 31.77 | $3,571,693.88 | $60,000.00 | $3,631,693.88 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | $4,479.40 | 0.20% |
| OIG | $448.48 | 0.59% |
| R01 | $1,553.36 | 1.45% |
| R02 | $1,152.52 | 0.48% |
| R03 | $802.83 | 5.07% |
| R04 | $2,323.74 | 0.64% |
| R05 | $1,528.45 | 1.42% |
| R06 | $760.65 | 0.71% |
| R07 | $624.01 | 0.77% |
| R08 | $0.00 | 0.00% |
| R09 | $374.83 | 0.59% |
| R0X | $1,643.27 | 2.03% |
| AGENCY OVERALL | $15,691.54 | 0.44% |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| HQ | 219 | 6 |
| OIG | 0 | 2 |
| R01 | 0 | 0 |
| R02 | 1 | 0 |
| R03 | 2 | 0 |
| R04 | 0 | 1 |
| R05 | 4 | 0 |
| R06 | 1 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 10 | 2 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 237 | 11 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Startof the Fiscal Year | Number ofConsultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Endof the Fiscal Year |
| HQ | 0 | 6 | 6 | 0 |
| OIG | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 0 | 2 | 1 | 1 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 8 | 7 | 1 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |   | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-07-25 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 46 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2014-07-25 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 46 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |
| --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | NUMBER OF REQUESTS PROCESSED |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 1,082 | 1,039 | 1,139 | 881 |
| OIG | 64 | 139 | 74 | 135 |
| R01 | 64 | 65 | 66 | 64 |
| R02 | 104 | 90 | 102 | 97 |
| R03 | 129 | 167 | 123 | 174 |
| R04 | 256 | 240 | 245 | 242 |
| R05 | 109 | 119 | 109 | 120 |
| R06 | 152 | 115 | 147 | 121 |
| R07 | 36 | 34 | 36 | 35 |
| R08 | 114 | 97 | 94 | 111 |
| R09 | 205 | 192 | 189 | 178 |
| R0X | 73 | 70 | 76 | 66 |
| AGENCY OVERALL | 2,388 | 2,367 | 2,400 | 2,224 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| HQ | 130 | 219 |
| OIG | 3 | 0 |
| R01 | 3 | 0 |
| R02 | 11 | 1 |
| R03 | 12 | 2 |
| R04 | 23 | 0 |
| R05 | 18 | 4 |
| R06 | 11 | 1 |
| R07 | 2 | 0 |
| R08 | 22 | 0 |
| R09 | 28 | 10 |
| R0X | 3 | 0 |
| AGENCY OVERALL | 266 | 237 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |
| --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | NUMBER OF APPEALS PROCESSED |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 25 | 31 | 24 | 29 |
| OIG | 1 | 11 | 1 | 9 |
| R01 | 1 | 0 | 1 | 0 |
| R02 | 0 | 1 | 0 | 1 |
| R03 | 3 | 2 | 4 | 2 |
| R04 | 4 | 6 | 4 | 5 |
| R05 | 3 | 7 | 3 | 6 |
| R06 | 3 | 3 | 3 | 3 |
| R07 | 1 | 0 | 1 | 0 |
| R08 | 1 | 1 | 1 | 1 |
| R09 | 4 | 3 | 4 | 1 |
| R0X | 0 | 2 | 1 | 2 |
| AGENCY OVERALL | 46 | 67 | 47 | 59 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| HQ | 4 | 6 |
| OIG | 0 | 2 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 1 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 2 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 4 | 11 |

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