Facsimile Transmittal

1280858978 - 5647

U. S. Department of Housing and Urban Development
Office of Department Grants Management and Oversight

OMB Approval No. 2525-0118
exp. Date (5/30/2008)

*** Name of Document Transmitting:** Nothing Faxed With This Application

1. Applicant Information:

* Legal Name: The Regents of the University of California

* Address:

* Street1: c/o Sponsored Projects Office

Street2: 2150 Shattuck Avenue, Suite 313

* City: Berkeley

County:

* State: CA: California

* Zip Code: 94704-5940 * Country: USA: UNITED STATES

2. Catalog of Federal Domestic Assistance Number:

* Organizational DUNS: 124726725 CFDA No.: 14.516

Title: Doctoral Dissertation Research Grants

Program Component:

3. Facsimile Contact Information:

Department: Department of Geography

Division:

4. Name and telephone number of person to be contacted on matters involving this facsimile.

Prefix: * First Name: Anna

Middle Name:

* Last Name: Lau

Suffix:

* Phone Number: 510-642-8114

Fax Number: 510-642-8236

*** 5. Email:** annalau@berkeley.edu

*** 6. What is your Transmittal? (Check one box per fax)**

☐ a. Certification ☐ b. Document ☒ c. Match/Leverage Letter ☐ d. Other

*** 7. How many pages (including cover) are being faxed?** 1

Form **HUD-96011** (10/12/2004)

Survey on Ensuring Equal Opportunity For Applicants

OMB No. 1890-0014 Exp. 2/28/2009

Purpose:

The Federal government is committed to ensuring that all qualified applicants, small or large, non-religious or faith-based, have an equal opportunity to compete for Federal funding. In order for us to better understand the population of applicants for Federal funds, we are asking nonprofit private organizations (not including private universities) to fill out this survey.

Upon receipt, the survey will be separated from the application. Information provided on the survey will not be considered in any way in making funding decisions and will not be included in the Federal grants database. While your help in this data collection process is greatly appreciated, completion of this survey is voluntary.

Instructions for Submitting the Survey

If you are applying using a hard copy application, please place the completed survey in an envelope labeled "Applicant Survey." Seal the envelope and include it along with your application package. If you are applying electronically, please submit this survey along with your application.

Applicant's (Organization) Name: The Regents of the University of California

Applicant's DUNS Name: 124726725

Federal Program: Doctoral Dissertation Research Grant Program

CFDA Number: 14.516

1. Has the applicant ever received a grant or contract from the Federal government?

   ☒ **Yes** ☐ **No**

2. Is the applicant a faith-based organization?

   ☐ **Yes** ☒ **No**

3. Is the applicant a secular organization?

   ☒ **Yes** ☐ **No**

4. Does the applicant have 501(c)(3) status?

   ☒ **Yes** ☐ **No**

5. Is the applicant a local affiliate of a national organization?

   ☐ **Yes** ☒ **No**

6. How many full-time equivalent employees does the applicant have? (Check only one box).

   ☐ **3 or Fewer** ☐ **15-50**
   ☐ **4-5** ☐ **51-100**
   ☐ **6-14** ☒ **over 100**

7. What is the size of the applicant's annual budget? (Check only one box.)

   ☐ **Less Than $150,000**
   ☐ **$150,000 - $299,999**
   ☐ **$300,000 - $499,999**
   ☐ **$500,000 - $999,999**
   ☐ **$1,000,000 - $4,999,999**
   ☒ **$5,000,000 or more**

Survey Instructions on Ensuring Equal Opportunity for Applicants

OMB No. 1890-0014 Exp. 2/28/2009

Provide the applicant's (organization) name and DUNS number and the grant name and CFDA number.

1. Self-explanatory.
2. Self-identify.
3. Self-identify.
4. 501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c)(3) status. Other grant programs do not.
5. Self-explanatory.
6. For example, two part-time employees who each work half-time equal one full-time equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
7. Annual budget means the amount of money your organization spends each year on all of its activities.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1890-0014.** The time required to complete this information collection is estimated to average five (5) minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: The Agency Contact listed in this grant application package.

Grant Applications Detailed Budget

U.S. Department of Housing and Urban Development

OMB Approval No. 2501-0017 (expires 01/31/2008)

* Organization Name: The Regents of the University of California

* Project/Activity Name: Contested Renewal: The Rebuilding of the South Bronx

Functional Categories Year 1: ☒ Year 2: ☐ Year 3: ☐ All Years : ☐

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9
	HUD Share ($)	Applicant Match ($)	Other HUD Funds ($)	Other Fed Share ($)	State Share ($)	Local/Tribal Share ($)	Other Share ($)	Program Income ($)	Total ($)
a. Personnel (Direct Labor)									
b. Fringe Benefits									
c. Travel	1,250.00								
d. Equipment (only items > $5,000 depreciated value)									
e. Supplies (only items < $5,000 depreciated value)									
f. Contractual									
g. Construction									
1. Administration and Legal Expenses									
2. Land, Structures, Rights-of-Way, Appraisals, etc.									
3. Relocation Expenses and Payments									
4. Architectural and Engineering Fees									
5. Other Architectural and Engineering Fees									
6. Project Inspection Fees									
7. Site Work									
8. Demolition and Removal									
9. Construction									
10. Equipment									
11. Contingencies									
12. Miscellaneous									
h. Other Direct Costs	18,435.00								
i. Subtotal of Direct Costs	19,685.00								
j. Indirect Costs (% Approved Indirect Cost Rate: 0.00 %)									
Grand Total (Year 1):									19,685.00
Grand Total (All Years):									24,505.00

form **HUD-424-CB** (1/2004)

Grant Applications Detailed Budget

U.S. Department of Housing and Urban Development

OMB Approval No. 2501-0017
(expires 01/31/2008)

* Organization Name: The Regents of the University of California

* Project/Activity Name: Contested Renewal: The Rebuilding of the South Bronx

Functional Categories Year 1: ☐ Year 2: ☒ Year 3: ☐ All Years : ☐

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9
	HUD Share ($)	Applicant Match ($)	Other HUD Funds ($)	Other Fed Share ($)	State Share ($)	Local/Tribal Share ($)	Other Share ($)	Program Income ($)	Total ($)
a. Personnel (Direct Labor)									
b. Fringe Benefits									
c. Travel	500.00								
d. Equipment (only items > $5,000 depreciated value)									
e. Supplies (only items < $5,000 depreciated value)									
f. Contractual									
g. Construction									
1. Administration and Legal Expenses									
2. Land, Structures, Rights-of-Way, Appraisals, etc.									
3. Relocation Expenses and Payments									
4. Architectural and Engineering Fees									
5. Other Architectural and Engineering Fees									
6. Project Inspection Fees									
7. Site Work									
8. Demolition and Removal									
9. Construction									
10. Equipment									
11. Contingencies									
12. Miscellaneous									
h. Other Direct Costs	4,320.00								
i. Subtotal of Direct Costs	4,820.00								
j. Indirect Costs (% Approved Indirect Cost Rate: %)									
Grand Total (Year 2):									4,820.00
Grand Total (All Years):									24,505.00

form **HUD-424-CB** (1/2004)

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Prefix: * First Name: Anna
Middle Name:
* Last Name: Lau
Suffix:
* Phone Number: 510-642-8114
Fax Number: 510-642-8236

*** 5. Email:** annalau@berkeley.edu

*** 6. What is your Transmittal? (Check one box per fax)**

☐ a. Certification ☐ b. Document ☒ c. Match/Leverage Letter ☐ d. Other

*** 7. How many pages (including cover) are being faxed?** 2

UNIVERSITY OF CALIFORNIA, BERKELEY

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO | SANTA BARBARA • SANTA CRUZ

DEPARTMENT OF GEOGRAPHY
507 McCone Hall # 4740
BERKELEY, CALIFORNIA 94720-4740
(510) 642-3903
FAX (510) 642-3370

August 16, 2010

Sherone Ivey, Deputy Assistant Secretary
Office of University Partnerships

RE: HUD Doctoral Dissertation Research Grant Program

I am writing to certify that the Department of Geography will provide [redacted] in institutional support of [redacted] dissertation project if she receives funding from your program. The [redacted] will be a research stipend issued directly to Ms. Guimond to assist her in covering research expenses for this project.

Sincerely,

Kurt M. Cuffey

Kurt M. Cuffey
Chair

This letter was included with the Grant.gov application Submitted on 8.16.2010 GRANT 10677419, but block 7 of the "Facsimile Transmittal" wouldn't let us enter "ϕ".

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission:
  - [ ] Preapplication
  - [x] Application
  - [ ] Changed/Corrected Application

* 2. Type of Application:
  - [x] New
  - [ ] Continuation
  - [ ] Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received: 08/16/2010

4. Applicant Identifier:

5a. Federal Entity Identifier:

* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name: The Regents of the University of California

* b. Employer/Taxpayer Identification Number (EIN/TIN): 94-6002123

* c. Organizational DUNS: 124726725

d. Address:

* Street1: c/o Sponsored Projects Office

Street2: 2150 Shattuck Avenue, Suite 313

* City: Berkeley

County:

* State: CA: California

Province:

* Country: USA: UNITED STATES

* Zip / Postal Code: 94704-5940

e. Organizational Unit:

Department Name: Department of Geography

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name: Anna

Middle Name:

* Last Name: Lau

Suffix:

Title: Research Administrator

Organizational Affiliation:

The Regents of the University of California

* Telephone Number: 510-642-8114

Fax Number: 510-642-8236

* Email: annalau@berkeley.edu

Application for Federal Assistance SF-424 Version 02

9. Type of Applicant 1: Select Applicant Type:

H: Public/State Controlled Institution of Higher Education

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.516

CFDA Title:

Doctoral Dissertation Research Grants

*** 12. Funding Opportunity Number:**

FR-5415-N-10

* Title:

Doctoral Dissertation Research Grant Program

13. Competition Identification Number:

DDRG-10

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

New York, NY
Bronx, NY
New York State

*** 15. Descriptive Title of Applicant's Project:**

Contested Renewal: The Rebuilding of the South Bronx

Attach supporting documents as specified in agency instructions.

Add Attachments | Delete Attachments | View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant: CA-009
* b. Program/Project: NY-016

Attach an additional list of Program/Project Congressional Districts if needed.

Addl_NYC_districts.pdf | Add Attachment | Delete Attachment | View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2010
* b. End Date: 01/31/2012

18. Estimated Funding ($):

* a. Federal	24,505.00
* b. Applicant	[redacted]
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	[redacted]

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on ______.

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:
* First Name: Patricia
Middle Name:
* Last Name: Gates
Suffix:

* Title: Associate Director

* Telephone Number: (510)642-8109
Fax Number: (510)642-8236

* Email: spo_grants_gov@lists.berkeley.edu

* Signature of Authorized Representative: Patricia Gates
* Date Signed: 08/16/2010

Application for Federal Assistance SF-424 Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Additional New York City congressional districts of this project

NY-007
NY-015
NY-017
NY-008
NY-009
NY-010
NY-011
NY-012
NY-013
NY-014
NY-005
NY-006
NY-013

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	DDRG_GuimondDissNarrative.pdf	Add Attachment	Delete Attachment	View Attachment
2) Please attach Attachment 2	DDRG_Logic-GuimondDiss.xls	Add Attachment	Delete Attachment	View Attachment
3) Please attach Attachment 3	HUD_Guimond_instLTR.pdf	Add Attachment	Delete Attachment	View Attachment
4) Please attach Attachment 4	DDRG_UCB-501c3-letter.pdf	Add Attachment	Delete Attachment	View Attachment
5) Please attach Attachment 5	HUD2993-Receipt_Guimond.pdf	Add Attachment	Delete Attachment	View Attachment
6) Please attach Attachment 6		Add Attachment	Delete Attachment	View Attachment
7) Please attach Attachment 7		Add Attachment	Delete Attachment	View Attachment
8) Please attach Attachment 8		Add Attachment	Delete Attachment	View Attachment
9) Please attach Attachment 9		Add Attachment	Delete Attachment	View Attachment
10) Please attach Attachment 10		Add Attachment	Delete Attachment	View Attachment
11) Please attach Attachment 11		Add Attachment	Delete Attachment	View Attachment
12) Please attach Attachment 12		Add Attachment	Delete Attachment	View Attachment
13) Please attach Attachment 13		Add Attachment	Delete Attachment	View Attachment
14) Please attach Attachment 14		Add Attachment	Delete Attachment	View Attachment
15) Please attach Attachment 15		Add Attachment	Delete Attachment	View Attachment

CONTESTED RENEWAL: THE REBUILDING OF THE SOUTH BRONX

Catherine Guimond
Department of Geography
University of California, Berkeley
August 13, 2010

Table of Contents

Executive Summary

Contested Renewal: The Rebuilding of the South Bronx

In the last thirty years, the South Bronx has transformed from a national symbol of urban crisis and dystopia to a model for new forms of urban redevelopment. But the nature of this renewal, and who it benefits, is deeply contested. Capital is returning to the South Bronx, and some claim that community-driven "renewal without displacement" is producing a new, economically diverse South Bronx. But critics point to the area's continuing poverty and racialization, and some residents fear that renewal will lead to gentrification and displacement.

Urban renewal has taken a new and unique form in the South Bronx, and the **purpose** of this dissertation is to investigate and analyze the causes and consequences of this renewal. The complexity of renewal in the South Bronx poses challenging questions to the existing literature on gentrification and urban renewal and to housing policy. Critical accounts that rely on a dichotomy of gentrification and resistance to it cannot explain how reinvestment has emerged from simultaneously collaborative and conflictive efforts to fight disinvestment and displacement. Also largely unaddressed are the practices involved in transforming the spatialized racial and class difference of stigmatized areas to enable reinvestment. The **intellectual merit** of the research is that it will develop a nuanced and critical view of renewal and racial dynamics, showing how collaboration and conflict among community groups, real estate investors, and local government have created a particular form of urban renewal in the South Bronx. I expect to demonstrate that 1) processes of renewal are continuously contested and this has produced a form of urban renewal that remedies some forms of inequality while reinforcing others, and 2) renewal has necessitated renegotiating the position of the South Bronx in the city, often by attempting to manage class, racial, and ethnic difference within the South Bronx.

The rebuilding of the South Bronx has been fundamentally shaped by a shift in housing policy toward community involvement and increasingly market-oriented subsidy programs, and for this reason the proposed research is especially **relevant to HUD's Strategic Goals**. As evidenced by the recent proposal of the Preservation, Enhancement and Transition of Rental Assistance Act, HUD continues to explore new ways of involving the private market with public and assisted housing. This project will investigate the results of these kinds of relationships in the recent past.

The project asks the following questions: 1) How has a particular form of urban reinvestment and renewal emerged out of the rebuilding of the South Bronx? 2) How has the raced, classed, and historied terrain of the South Bronx shaped renewal efforts, and how has renewal reshaped difference in the South Bronx? The **research methods** used to answer these questions will include interviews, archival research, ethnographic methods such as observation and participant observation, "biographies" of selected redevelopment projects and buildings, policy and document analysis, and historical and statistical analysis of the siting of affordable housing in New York City over time.

Exploring these issues in the South Bronx has **broader impacts** because the South Bronx is increasingly emerging as a national model for urban recovery, despite the fact that New York City differs from many other cities in that its wealth and growth fuel seemingly inexorable processes of development and gentrification. The renewal of the South Bronx is not fully understood by either its critics or its advocates By looking at how renewal in the South Bronx challenges inequality in some ways at the same time that it perpetuates it in others, this project has the potential to inform efforts to reduce urban inequality and poverty and produce more just renewal efforts.

I am a U.S. citizen enrolled full-time in the Geography PhD program of the University of California, Berkeley. I have completed my qualifying examinations and required coursework. My committee approved my dissertation prospectus on May 13, 2010.

My contact information is:
[redacted]

My dissertation advisor is [redacted]. His contact information is:
[redacted]
Department of Geography
507 McCone Hall #4740
University of California, Berkeley
Berkeley, CA 94720-4740
[redacted]
Fax: 510-642-3370
[redacted]

NARRATIVE STATEMENT ADDRESSING RATING FACTORS 1-3

Rating Factor 1: Capacity to do the Research

I have developed extensive **research skills and expertise (1a)** in the course of getting my master's degree in environmental studies (2001), serving as an independent consultant on a community social science research project (2002), as an environmental educator (2001-05), and in my current doctoral program (2005-present). Through recent coursework and preliminary research (2008 and 2009), I have further developed my skills of interviewing, research design, developing collaborations with local organizations, extending my network of contacts, and ethnographic techniques, including observation, participant observation, and taking field notes. I have also analyzed policies and documents related to affordable housing and renewal in the South Bronx. I have used the Bronx County Historical Society Archive to find historical documents on early redevelopment projects.

I have further developed my **knowledge and experience (1b)** of geography, urban studies, and the South Bronx in the PhD program at UC Berkeley since 2005. In the past five years, I have taken courses in economic, political and cultural geography; ethnography and methodology; spatiality and power; urban political economy; geography of American cities; sociology of race; and race, science, and geography. The three fields of my qualifying exams (passed in December 2009) indicate areas where I have developed significant expertise: Urban Geographies / The Making of New York; Race and Politics of Difference; and Space and Difference, Theory and Method. In addition to the papers I wrote in preparation for my qualifying exams, I have written research papers on the experience of doing field research and collaborating with community organizations in the South Bronx, a literature review of urban political economy, and the relationship between place and pathology in formulations of "the ghetto" and welfare reform. In April 2010 I presented a paper titled "Uncertain engagements: Housing redevelopment, gentrification, and ghettoization in the South Bronx" at the Association of American Geographers Annual Meeting. In the fall of 2009 I was a Graduate Student Instructor for the undergraduate course Economic Geography with Professor Walker. In addition to my academic experience with this topic, 2003 to 2005 I worked for the NYC Department of Parks & Recreation as the Nature Center Coordinator in Crotona Park, the largest park in the South Bronx. I was as much a community organizer and resource as an environmental educator, and this led to extensive relationships with activist residents and local organizations. Through this, I became very familiar with the issues surrounding renewal.

The **preliminary steps (1c)** for this project began in the summer of 2007 when I clarified my general research interests based on my personal experiences in the South Bronx and the theoretical and methodological perspectives I developed through coursework at UC Berkeley. I developed a project focus and specific research interests in 2008 and 2009 through four months of preliminary field research in New York City, preliminary research into renewal programs and policies, and a review of the existing urban studies literature. Because of my previous role as an active participant in the area, I have been able to develop my project in some depth during my preliminary research, conducting interviews with leaders of CDCs and other community organizations, local officials, and South Bronx residents. I got to know the holdings of the Bronx County Historical Society and attended cultural and community events such as the annual Crotona Park Old School Hip Hop Jams, a ribbon-cutting ceremony for the restoration of the lake edge in Crotona Park, local art exhibits, public hearings on development projects, and local conferences such as the Center for an Urban Future's "New York's Human Capital: The Next Generation." I developed research questions and hypotheses in the fall of 2009 and continued my literature review in preparation for my qualifying exams. I further refined my research questions, hypotheses, and design in the spring and summer of 2010 in the process of writing my prospectus and beginning my research. My committee approved my dissertation prospectus and research design in May 2010.

Rating Factor 2: Need for the Research

The proposed research is needed and significant (2a & 2b) because it will contribute to our understanding of urban renewal by bringing attention to areas that are neglected in the existing literature: 1) the complexity of resistance and negotiation that are involved in urban renewal, and 2) the relationships between difference, space, and economic forces illuminated by renewal politics. The complexity of resistance has been neglected because many critical accounts of gentrification rely on a dichotomy of gentrification and resistance to it, and this framing cannot explain how reinvestment has happened through contestation and novel collaborations in the South Bronx. But promoters of new forms of urban renewal miss the significant points these critical accounts make about the importance and action of capital flows. For example, Smith and Harvey emphasize the importance of cycles of investment in the built environment in disinvestment and the transformation of cities in the wake of the "urban crisis" of the 1970s. Smith argues that as inner city land rise in value over time, buildings lose value, becoming uncompetitive and unprofitable long before they crumble physically.[i] In working class and low-income neighborhoods disinvestment and abandonment are more likely than reinvestment. But in the neoliberalizing city of the 1970s on, the built environment increasingly becomes a site of capital accumulation, and gentrification may follow. This accompanies the deindustrialization and financialization of urban economies.[ii] But while capital flows are essential to understanding the collapse and recovery of the South Bronx, these accounts tend to be relatively abstract and ill-prepared to deal with the intellectual and political problem of negotiating the boundary between disinvestment and gentrification[iii]. For example, the only kind of resistance present in Smith's account is overt and militant. There is also a literature critical of community development corporations (CDCs) as compromised by their funding, unrepresentative of their communities, and largely ineffective in promoting true social change[iv]. But this literature neglects the effects CDCs may have despite, and through, the tensions and compromises of their position.

The simultaneous renewal and continued ghettoization of the South Bronx raises the question of how to think about race, space, and economic forces together. The critical gentrification literature described above tends to subsume race and difference under economic dynamics, and accounts that focus more on race tend to lose sight of the logics of capital. Urban historians have shown how the second ghetto of the postwar period was rooted in processes of suburbanization and the formation of racialized, especially white, identities[v]. Only a few accounts simultaneously investigate shifts in investment, racial dynamics, and space. Gregory frames his ethnography of activism in peripheral New York in terms of an epistemology of the American ghetto, an epistemology of race and place that has contributed to the depoliticization and isolation of black poverty.[vi] Also in New York, Mele tells a story of successful gentrification in the Lower East Side where difference has been turned to capital's advantage.[vii]

The **expected findings (2c)** of the proposed research are 1) that while they are not necessarily radical, contestation and negotiation are constitutive of renewal, and these struggles produce a particular space of renewal; and 2) that renewal has required the transformation of difference within the South Bronx and a reinvention of its perceived difference and position in the city's spatial hierarchy. Every renewal effort must deal with the causes of the urban crisis in some way, and that means dealing with the racial and spatial legacy of the postwar ghetto.

Contestation and negotiation have been so important in the renewal of the South Bronx because the various interests involved need each other but have different agendas. As New York was reinvented in the 1980s as a "global city," dominated by finance, insurance, and real estate, devastated working class areas became an untenable liability. The South Bronx had to be recuperated in some way, but the exact nature of that renewal continues to be contested. Some Manhattan elites still see the South Bronx as a site for cheap land and labor, but some smaller local real estate interests seek to capitalize on cheap land by developing higher end uses. Citywide developers that specialize in affordable housing have concentrated in the South Bronx, making it the city's premiere site for affordable housing. However, this concentration has been criticized by some community groups as "reghettoizing" the South Bronx. This diversity of strategies and the continuing threat of disinvestment have made possible a confluence of interests between the local state, community groups, and some real estate interests, and this has led to the development of what I am calling rebuilding coalitions.

Exploring the recovery of the South Bronx will have **broader impacts (2c)** because it is the South Bronx, rather than Detroit or another Rust Belt city, that is increasingly emerging as a national model for urban recovery and life-among-the-ruins. But the South Bronx's recovery is not fully understood by either its critics or its advocates. By exploring the complicated processes by which renewal has happened and its consequences for integration, diversity, and poverty, this project has the potential to inform efforts to reduce urban inequality and poverty and produce more socially just urban renewal. The proposed research **will be generally accepted by researchers in the field (2c)** because while it asks questions from a new perspective and combines methods in a novel way, it is thoroughly grounded in the existing literature and established methods. The project has been carefully designed so that its use of theory, method, and evidence are realistic and support each other, and this is the foundation of academic rigor.

This project addresses several HUD Goals: 2: Meet the Needs for Quality Affordable Rental Homes and 4: Build Inclusive and Sustainable Communities Free from Discrimination, especially 2D: Expand families' choices of affordable rental homes located in a broad range of communities, and 4C: Ensure open, diverse, and equitable communities. The project is relevant to these goals in its attention to the relationship between affordability and diversity, and the difficulty of achieving these goals simultaneously. In the South Bronx, it is likely that housing policies and programs have contributed to the concentration of affordable housing in the area. More broadly, the proposed research will show how housing policies and programs have interacted with market cycles and local dynamics, shaping the affordability of housing, the diversity of communities, and the location of affordable housing.

Rating Factor 3: Soundness of Approach

3a. Quality of Research

The following **research questions, subquestions, and hypotheses** address the need to better understand the role of contestation and negotiation (Question 1) and the need to think about difference, space, and the economic together through renewal (Question 2).

Question 1: How has a particular form of urban renewal emerged in the South Bronx?

1a) How have reinvestment and renewal emerged out of and alongside disinvestment?

1b) As reinvestment took off in the South Bronx in the 2000s, how has it been shaped by tensions and collaborations among developers, community organizations, and the local state?

1c) How has rebuilding in the South Bronx related to citywide and national politics?

Hypothesis: While theorizations of capital flows and the rent gap are one part of understanding the return of capital to the inner city, I argue that collaborations and institutions emerging out of the need to fight disinvestment have also been necessary. Community organizations, certain factions of real estate capital, and the local state have engaged with each other in complex ways in response to disinvestment, producing new social formations I am calling rebuilding coalitions. The tensions in and around these coalitions have allowed community organizations to contest and shape renewal from within to some extent. Rebuilding also required new city, state, and national flows and institutions that in some cases were inspired by or developed in the South Bronx, such as the Local Initiatives Support Corporation and the development of syndicators of federal Low Income Housing Tax Credits in the 1980s.

Question 2: How has the racial and class terrain of the South Bronx shaped renewal efforts?

2a) How is reinventing and managing the difference of the South Bronx part of renewal?

2b) How is the South Bronx's position in the city's spatial hierarchy contested and reproduced?

2c) How do everyday practices of difference and renewal shape each other?

Hypothesis: The South Bronx was deeply shaped by the racialized processes of the urban crisis and has been at the bottom of the city's spatial hierarchy, acting as a dumping ground for unwanted people and industries. Renewal efforts must address this, and repositioning the South Bronx within the spatial hierarchy of the city is a key site of reform and contestation. Bronx-based rebuilding coalitions often try to address this by managing the perceived difference of the area and the class and race difference of residents. But these efforts can be especially difficult in the face of redevelopment, especially affordable housing, that reproduces the unequal relationship between the outer boroughs and Manhattan. Tensions around redevelopment also play out in identities and everyday practices of difference, centering on the questions of who is an appropriate resident for a rebuilt South Bronx and who is authentically "from the Bronx," often understood through class and ethnic differences between older and newer residents.

Because these questions address complex, shifting social relations, the **proposed research design** must address flows and relationships rather than pre-given categories. Triangulation of data from multiple research subjects, perspectives, and methods is the most effective way of addressing flows and relations concretely. The research subjects of this project are redevelopment projects, particular buildings, housing and economic development policies and programs, the siting of affordable housing in the city and the region, and everyday neighborhood practices. The methods used to investigate these study subjects will include ethnographic exploration of current social relations through observation, participant observation, and interviews; policy and document analysis; "biographies" of redevelopment projects that detail their inception, financing, management, and the organizations involved over the years; "biographies" of particular buildings that detail their ownership history, financing, and experiences of residents; and the synthesis of historical and statistical data on the siting of affordable housing. Based on preliminary research, I have chosen four study sites: Charlotte Street and Crotona Park East, Melrose, the Grand Concourse near Yankee Stadium, and "SoBro," near Alexander Avenue and Bruckner Boulevard. These study sites will cover early South Bronx renewal (Charlotte Street), later renewal efforts that involve innovative community activism[viii] (Melrose), and the main sites of private-sector-led gentrification in the South Bronx.

This proposed **research design is appropriate** because each element addresses a particular facet of the research questions. Ethnographic methods allow the researcher to see how social relations function in a holistic and relational way through interviews, texts, and observation and participant observation. “Biographies” of redevelopment projects and particular buildings will investigate social relations as they are concretized in spaces and buildings, and document the production of particular spaces and forms. Analysis of key policies, state offices, and national organizations will complement the more local focus of ethnographic research and project and building biographies. Lastly, investigating the evolving geography of affordable housing in New York City and the region historically and through current statistical data will show some of the consequences of this form of renewal for integration and segregation.

The **methodology will be used to complete the proposed dissertation** by answering each research question (see above for questions and subquestions). To answer Question 1, I will focus on the new social formations, actors, and capital flows that have emerged over the last thirty years at each study site. This will include a biography of the Charlotte Gardens projects, building on Jonnes[ix] and journalistic accounts, and extending my historical reach through use of archives at the Bronx County Historical Society and city archives and records at the Municipal Archives and the Department of Buildings. I will also interview people active in the making of Charlotte Gardens as residents and through the local CDC Mid-Bronx Desperados and the national organization Local Initiatives Support Corporation. In Melrose, local CDC Nos Quedamos’ name explicitly refers to how residents stayed through disinvestment, and I will use the founding of the organization and a biography of the Melrose Commons projects as a way to explore the next phase of rebuilding, in the 1990s. I will interview staff of Nos Quedamos, the Bronx Borough President’s office, the City Department of City Planning, the independent and university-affiliated planners and architects Nos Quedamos has worked with, developers, and community organizations. I will use Bronx and City archives to complement these interviews. Because I will be living in Melrose, I will have the opportunity to observe and participate in the everyday practices and effects of reinvestment. For the Grand Concourse and SoBro, I will develop “biographies” of several buildings by investigating their ownership and financing histories using tax, building permit, and ownership records available through the New York City and Bronx Department of Buildings and the Real Property Records office. I will connect this archival information with a more detailed view of the life of, and in, these buildings through interviews with current and former residents. I will also track real estate industry publications, classified ads, and advertising to assess speculative interest in the buildings. I will examine citywide and national redevelopment politics through policy and document analysis and archival research. For city politics, I will focus on the activities of the NYC Economic Development Commission, one of the mayor’s most important tools for encouraging redevelopment, to see how the treatment of the outer boroughs has changed. For national redevelopment politics, I will focus on the Low Income Housing Tax Credit and other subsidy programs and the institutions and mechanisms associated with them.

To answer Question 2, I will analyze the documents of renewal efforts and interview members of rebuilding coalitions to explore how race and difference are treated in official discourses, and where they are not addressed. I will compare these official discourses with a broader view gleaned from participant observation, interviews with residents, and newspaper reports of local issues. Understanding the changing position of the South Bronx in the city as a whole will involve policy analysis, interviews with city officials and members of rebuilding coalitions, and analysis of the siting of affordable housing. I will develop a history of city government policies and renewal initiatives regarding the South Bronx since 1960. I will analyze where affordable housing has been sited throughout the city since 1960 by using existing accounts, using records and interviews with staff at the New York City Housing Authority and Department of Housing Preservation and Development, and collaborating with other housing researchers who are producing similar data. To address how race and class are being remade, I will build primarily on my experience living in Melrose, where I will have more access to class, racial, and ethnic dynamics through my own experiences, the relationships I develop with my neighbors, observation of my neighborhood practices, and interviews.

Potential obstacles will largely be dealt with through the data triangulation built into my research design, as the project does not depend on any one source. It is possible that I will not be able to interview some key individuals, either because they do not consent or are not available. I will deal with this by using published accounts, archives, and interviews with people who know their work. I will do my best to gain consent from those reluctant to talk to me by making it very clear what my research is about and that they can remain anonymous. Lastly, there is a danger that time will be an obstacle. I will deal with the problem of a relatively short time frame by bringing a sharp focus to the most important flows and relations through a targeted, specific research design and writing and analyzing my data as I go along.

Quality assurance will be integrated by consulting periodically with my advisors at UC Berkeley and my community partners to ensure that I stay focused on the most important phenomena and that my research methods are producing the most appropriate evidence. I will also start data analysis alongside data collection, summarizing interviews and field notes as I go so that gaps in information and questions will be clear as soon as possible.

3b. Specific Activities

The **major milestones, tasks, and outputs** for the proposed project are broken out into five areas: ethnographic research, project and building biographies, policy analysis, geography of affordable housing, collaboration and dissemination, and data management and analysis. These areas will be addressed simultaneously to foster data triangulation, and because each project requires enough time to develop relationships. See the attached milestone chart (last page) for the sequence and duration of specific tasks and outputs. The major tasks of the project are, in summary:

Task/Output	**Duration**
Identify study subjects and necessary resources	Oct 2010-Mar 2011
Clarify relationships and deliverables with community partners	Oct-Dec 2010
Acquire data sets related to the siting of affordable housing	Oct 2010-Mar 2011
Collect data through interviews, observation, and archival research	Oct 2010-Aug 2011
Analyze and synthesize data throughout project	Oct 2010-Jan 2012
Present research to community partners, informants, and local officials	Oct 2011-Jan 2012
Prepare a report on my findings for a general audience and disseminate	Oct 2011-Jan 2012
Present research findings at academic conferences	Apr & Oct 2011
Prepare publishable papers based on my research findings	Oct 2011-Jan 2012

Data management will largely involve managing text files on my computer: interview transcripts, field notes, and notes on archival research. At the beginning of my research, I will develop a system of unique keywords to attach to files. I will also set up a system of encrypted file folders to store data. Paper files such as newspaper articles or handwritten notes will be transcribed or summarized on the computer and kept as a backup. Significant portions of **data**

analysis will occur alongside data collection to facilitate targeting of the research. I will write field notes daily and transcribe interviews immediately. I will analyze data as I go by writing summaries that relate the data to the themes of my research and outline possible next steps. Data analysis will continue with the synthesis of the data in the preparation of reports for community partners, a general report on my research, conference presentations, and my dissertation chapters.

The only **key individuals** assisting with this research will be key informants in organizations and neighborhoods and the keepers of archives. They will not be responsible for any part of the execution of this project. That said, key informants will include [redacted] at Nos Quedamos/We Stay CDC, [redacted] at Mid-Bronx Desperados CDC, [redacted] in the Bronx Borough President's Office, [redacted] the self-proclaimed "Mayor of Melrose," the librarian of the Bronx Country Historical Society, and more.

The **dissemination strategy (3c)** for this research will include reports for research informants and partners, presenting my research to local officials and community organizations, presenting at academic and professional conferences, and publishing my results in peer-reviewed and non-peer-reviewed journals. One of the beginning steps of the project will be to reach agreement with key community organizations on what kind of data and report I will produce for them. I will deliver these reports and a general publishable report on my research in the final quarter of the life of this grant.

This project will address the **HUD Policy Priority (3d)** "Expand Cross-Cutting Policy Knowledge" by developing relationships with housing decision makers in the Bronx, including community organizations (especially CDCs) and local officials. I will present my data and analysis to a number of decision makers at the end of the grant period, but certain key local decision makers will be community partners and I will work with them to produce a specialized report. Measures of success will be number of partnerships developed, specialized reports written, development of a general report on my research that will also be publishable guide to relevant housing issues (suitable for a non-academic, policy-oriented audience), and presentation of my research findings to state and local representatives.

I will be receiving **institutional support (3e)** not provided to all PhD students from the Geography department with a value of $500. (See attached letter)

Rating Factor 4: Achieving Results and Program Evaluation

Rating factor 4 is addressed in the attached Logic Model. Please note: I am using Excel 2008 on a Mac, which does not support macros in Excel. I spoke with Loyd Lamois, I believe in the Office of Departmental Grants Management and Oversight, and he said he believed that this would not cause any problems Please contact me if there are any problems.

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[i] Smith, N. (1996). *The new urban frontier: gentrification and the revanchist city*. New York: Routledge.
[ii] Harvey, D. (2006). *The limits to capital*. London ; New York: Verso.
[iii] i.e., Lees, L., Slater, T., & Wyly, E. (2008). *Gentrification*. New York: Routledge.
[iv] See Stoecker, R. (1997). The CDC Model Of Urban Redevelopment: A Critique and an Alternative. *Journal of Urban Affairs*, 19(1), 1-22 and Newman, K., & Lake, R. (2006). Democracy, bureaucracy and difference in US community development politics since 1968. *Progress in Human Geography*, 30(1), 44-61.
[v] Hirsch, A. (1998). *Making the second ghetto : race and housing in Chicago, 1940-1960.* Chicago, Ill.: The University of Chicago Press.; Sugrue, T. (2005). *The origins of the urban crisis : race and inequality in postwar Detroit*. Princeton: Princeton University Press.; Self, R. (2003). *American Babylon : race and the struggle for postwar Oakland.* Princeton, N.J.: Princeton University Press.; Jackson, K. (1987). *Crabgrass frontier : the suburbanization of the United States*. New York ; Oxford: Oxford University Press.
[vi] Gregory, S. (1998). *Black Corona: Race and the Politics of Place in an Urban Community.* Princeton, New Jersey: Princeton University Press.
[vii] Mele, C. (2000). Selling the Lower East Side : culture, real estate, and resistance in New York City. Minneapolis: University of Minnesota Press.
[viii] Melrose, and specifically Nos Quedamos' model, is taken by some as a model for the future of "community-driven" urban renewal. See Angotti, T. (2008). *New York for sale : community planning confronts global real estate.* Cambridge, Mass.: MIT Press.
[ix] Jonnes, J. (2002). *South Bronx rising : the rise, fall, and resurrection of an American city*. New York: Fordham University Press.

3b-2. Milestone Chart (X = 1 month)

Area	Task/Output	Est. Duration	Oct-Dec 2010	Jan-Mar 2011	Apr-Jun 2011	Jul-Sep 2011	Oct 2011 – Jan 2012
Ethnographic	Identify long-term interview subjects and activities	3 mos.	XXX				
Biographies	Identify projects and buildings to do biographies of	3 mos.	XXX				
Policy	Identify policies and offices to be followed	3 mos.	XXX				
Bios & Policy	Identify necessary archives and resources	6 mos.	XXX	XXX			
Collab & Dissem	Clarify relationships with community partners	3 mos.	XXX				
Affordable hous.	Evaluate existing data sets and historical sources	6 mos.	XXX	XXX			
Data M&A	Develop system of keywords to manage data	3 mos.	XXX				
Ethnographic	Periodic interviews with key informants	11 mos.	XXX	XXX	XXX	XX	
Data M&A	Write up ethnographic field notes and summarize	11 mos.	XXX	XXX	XXX	XX	
Data M&A	Transcribe interviews and summarize	16 mos.	XXX	XXX	XXX	XXX	XXXX
Data M&A	Consult with UC advisors about research design	2 weeks	X		X		
Collab & Dissem	Prepare an initial plan for a general report on research	3 mos.		XXX			
Collab & Dissem	Decide with community partners about reports for them	3 mos.		XXX			
Ethnographic	Interview and interact with long-term subjects	8 mos.		XXX	XXX	XX	
Ethnographic	Expand reach of research through snowball sampling	6 mos.		XXX	XXX		
Biographies	Interview past and present residents of buildings	8 mos.		XXX	XXX	XX	
Bios & Policy	Archival research on buildings, projects, and policies	8 mos.		XXX	XXX	XX	
Policy	Identify and interview informants in key offices	8 mos.		XXX	XXX	XX	
Affordable hous.	Assess needs for presentation of data on affordable housing in consultation with community organizations	3 mos.		XXX			
Affordable hous.	Analysis of affordable housing data	3 mos.			XXX		
Data M&A	Review data for loose ends, remaining questions	1 mo.			X		
Collab & Dissem	Present data at academic and professional conferences	3 mos.			XX		X
Ethnographic	Notify informants that I am leaving the Bronx	2 mos.				XX	
Affordable hous.	Synthesize historical and contemporary data, draft a report, and consult with community organizations	3 mos.				XXX	
Collab & Dissem	Draft reports for & consult with community partners	2 mos.				XXX	
Collab & Dissem	Draft general report on my research	3 mos.				XXX	
Data M&A	Synthesis and writing up of data	4 mos.					XXXX
Collab & Dissem	Present research to officials & community partners	1 mo.					X

Budget Narrative

My budget centers around the costs of research and data analysis. The main costs are a monthly stipend and travel between Berkeley and New York City. The total funds requested are $24,505.

Year One Expenses

Stipend	
NY monthly expenses (Oct. 1, 2010 to Aug. 31, 2011)	
Rent	$1,100
Utilities	$170
Transportation	$125
Monthly total	$1,395
11 months subtotal	$15,345
California monthly expenses (September 2011)	
Rent	$900
Transportation	$30
Utilities	$150
1 month subtotal	$1,080
Yearly expenses	
Health insurance	$2,010
Year 1 stipend total	**$18,435**
Year 1 travel total	**$1,250**
Year 1 total	**$19,685**

The stipend amount for Year One covers 11 months of living in New York City and one month of living in Berkeley, California. In New York City, my monthly expenses include rent, utilities, and local transportation. The cost of utilities includes approximately $90/month for cell phone service, $30/month for Internet service, and $50/month for electricity. The cost of local transportation includes $89-99/month for a monthly subway card (rates will go up Jan. 1) and $26-36/month in cab fare. I have included the cost of occasional cab rides because many of the areas I am working in are not entirely safe after dark, and I will sometimes have to attend evening community meetings, events, and interviews. See below under Year Two for an explanation of my monthly expenses in Berkeley, California.

Stipend costs for the year also include the cost of the UC Berkeley Student Health Insurance Plan, which is $1,005/semester ($2,010/year).

Travel costs for Year One cover two round trip airfares from New York to Berkeley to consult with my advisors, at an estimated cost of $500 each, and one one-way airfare from New York to Berkeley when I move back to Berkeley, at an estimated cost of $250.

Year Two Expenses

Stipend	
California monthly expenses (Oct. 2011 – Jan. 2012)	
Rent	$900
Transportation	$30
Utilities	$150
Monthly total	$1,080
4 months subtotal	$4,320
Year 2 stipend total	**$4,320**
Year 2 travel total	**$500**
Year 2 total	**$4,820**

The stipend amount for Year Two simply covers the estimated cost of rent, transportation, and utilities of living in Berkeley, California for four months. The travel amount for Year Two includes one round trip airfare to New York City to meet with research informants and local officials, and present at a conference if possible. I have not included health insurance costs for Year Two because I expect to cover my insurance costs and tuition by working as a Graduate Student Instructor or by using a university fellowship.

IT IS RECOMMENDED THAT YOU PRINT THESE INSTRUCTIONS BEFORE CONTINUING. DO NOT MODIFY THE ELOGIC MODEL® TEMPLATE. DO NOT CUT AND PASTE INTO THE ELOGIC MODEL® TEMPLATE.
When opening the eLogic Model®, you will be asked if you want to enable "Macros"; click Yes. The eLogic Model® uses a Microsoft Excel®

platform. **"**Macros" are a form of programming used in Excel® to enable additional functionality. You will need to "enable" the "Macros" to use all functions on your eLogic Model®. To enable the macros to function, you will have to adjust the security settings on your computer.

Testing to See If the Macros are Working.

If you do not see this dialog box when you first open your eLogic Model®, then check to see if the Macros are working by opening the eLogic Model®, and going to the Tab labeled Year 1. Click on the gray area of the column labeled, "Needs." If the column expands, your Macro settings are working. To expand and return the cell to its original size, click once. Do not double click.

Depending on your version of Excel®, there are several steps you must take in order to use all the functions in your eLogic Model®. The description below provides information for the <u>four</u> most common versions of Excel® in use today, one of which is probably installed on your computer. If you are working in a network, and you cannot control your desktop settings, contact your system administrator for support.

<u>SECURITY AND THE USE OF MACROS</u>
You will need to "enable" the Macros to use all functions on your eLogic Model®. After creating and saving your your eLogic Model®, you may reset your security levels to their original settings.

Excel® 2007 - You can change macro security settings in the Trust Center, unless a system administrator in your organization has prevented you from changing the settings.

On the Developer tab, in the Code group:

 <u>Click</u> Macro Security.

Tip: If the Developer tab is not displayed, <u>click</u> the Microsoft Office Button (top left of your Excel®), <u>click</u> Excel® Options, and then in the Popular category under Top options for working with Excel®, <u>click</u> Show Developer tab in the Ribbon.
In the Macro Settings category, under Macro Settings, click the option that you want. **Note:** Any changes that you make in the Macro Settings category in Excel® apply only to Excel® and do not affect any other Microsoft Office program.

Tip: You can also access the Trust Center in the Excel® Options dialog box.

 <u>Click</u> the Microsoft Office Button, and then <u>click</u> Excel® Options in the Trust Center category.

 <u>Click</u> Trust Center Settings, and then <u>click</u> the Macro Settings category.

 If your settings are set to "Disable all macros with notification", when you open your Excel®, you will see a Security Warning stating "Macros have been disabled" and Options button to the left (this button is located under the toolbars).

If you do not change the Macro security settings, you will have to enable the Macros each time you open the Excel®.

Excel® 2003 - There are four levels of security regarding the use of Macros: Very High, High, Medium, and Low. If upon opening the eLogic Model® the dialog box states that you must change your Security setting to enable Macros, your security settings are either set to Very High or High and you must take the following steps:

 Go to the toolbar at the top of the screen and <u>click</u> on "Tools."

 Then <u>click</u> "Options" and then <u>click</u> the tab labeled "Security" located on the top right of the window.

 At the bottom right of the window, click the button that says "Macro Security" and select Medium as your setting.

 Click "OK" and then click "OK" in the Options window.

 Close your eLogic Model®. Re-open your eLogic Model®. You will now receive a dialog box with the message "Security Warning."

 Click on the button at the bottom that says "Enable Macros." Your eLogic Model® will open and be fully functional.

If upon opening the eLogic Model® the dialog box gives you an option to enable "Macros" at that moment, it means that Security is set to Medium. All you need to do is to click the button at the bottom of the dialog box that says, "Enable Macros." Your eLogic Model® will open and be fully functional.

If upon opening the eLogic Model® there is no dialog box, your Security setting is set on "Low" and your Macros are already enabled. No additional step is needed.

Excel® 2000 - There are three levels of security regarding the use of Macros: High, Medium, and Low. The High security setting automatically disables most Macros and does not alert you to the action. If when entering Services/Activities in Column 3, or Outcomes in Column 5, you select "other", the word "other" appears and remains in the cell, the Macro is not functioning. Save and close changes you have made thus far.

 From the menu, select "Tools," "Macro", "Security". A dialog box will open.

 Click on the "Security" TAB and select "Medium,"

 Click "OK." Reopen your eLogic Model®. A dialog box will open. Select "Enable Macros." Your eLogic Model® will open and be fully functional.

If your copy of Excel® is already set to "Medium" security, the enable Macros dialog box will appear and you can proceed as above.

The low security setting automatically enables all Macros and you will not receive any message. The eLogic Model® will open and be fully functional.

Excel® 1997 - If you are using this version of Excel® and need assistance, please contact HUD's NOFA Information Center for assistance at (800) HUD-8929 week days during their operating hours of 10:00 a.m. to 6:30 p.m. eastern time, Monday to Friday, except federal holidays. The NOFA Information Center cannot provide you additional help right before a deadline date. Please take into account their operating hours and allow at least 72 hours for the NOFA Information Center to be able to get you additional help.

Additional Support
If after trying the instructions for your version of Excel® and need additional assistance, please contact the NOFA Information Center at (800) HUD-8929.

Persons with hearing or speech impairments may access this number via TTY by calling the Federal Information Relay Service at (800) 877-8339. The NOFA Information Center is open between the hours of 10 a.m. and 6:30 p.m. eastern Ttme, Monday through Friday, except federal holidays.

Check that You Have the Correct eLogic Model® for your Program.
The eLogic Model® is found in the Instructions Download for the application package posted to the Grants.gov website. Before you begin completing your eLogic Model®, check the name of the program and the fiscal year that is populated on the eLogic Model®. If it contains a program name different from the program application, or does not have 2010 in the Fiscal Year data field, you have opened the wrong eLogic Model®. To correct, go back to the website and look for the program you want to apply for and download the proper eLogic Model®. **New**

Features inthe 2010 eLogic Model®
The 2010 eLogic Model® has new features and functions compared to the 2009 eLogic Model that are described below:

Coversheet

A **Coversheet** Tab has been added to collect additional data regarding the applicant and place of performance. This additional data allows **HUD** to better match the **eLogic Model®** that is submitted with the application and with the eLogic Model® that has been negotiated, and reports that are submitted as As required over theperformance period fo the award. The **Coversheet** now provides for a Logic Model Amendment Number. Program **eLogic Models®** are initially created for a three year period since it is difficult to project outputs and outcomes going beyond three years. The use of a Logic Model Amendment Number allows **HUD** to issue an amended **eLogic Model®** for programs longer than three years duration.

This Logic Model Amendment Number field will also allow HUD to review and approve amendments to the eLogic Models® where **due to circumstances in the community**, the original projects need to be modified. The modifications are not to be granted simply because an agency is not meeting its proposed goals, but rather to take into account extraordinary circumstances in a community that requires **HUD** to consider an amendment to the original **eLogic Model®** to accommodate changing needs. The amendment will also allow **HUD** to amend the eLogic Model to cover an additional one year where a 12 month extension has been granted on an award.

CCR Doing Business As (DBA) Field
When entering the applicant organization profile in the **Central Contractor Registration (CCR)**, organizations may have a **legal name** and a

"Doing Business As" (DBA) Name. Sometimes the **Legal Name** in the CCR represents that part of a large organization which is responsible for paying the federal taxes for all divisions or organizations within its structure. This may be the case with large universities or state or local governments. This may happen because the Doing Business As Name can be used to distinguish sub-organizations of the entity at different locations, e.g. Departments of a State or local government or university campuses. To ensure that we accurately reflect the organization or sub-organization of the legal entity that will be receiving the **HUD** funds, a field has been added to capture the CCR Doing Business As Name and **DUNS** Number.

Mandatory Fields
There are seven**"mandatory**" fields in your eLogic Model**®: "Applicant Legal Name", "DUNS Number", and "Project Name", "Grantee Contact Name", "Grantee Contact email", "Logic Model Contact Name", "Logic Model Contact email".** You must enter the required data in these fields as they are recorded in the CCR for the **eLogic Model®** to be complete. Before closing and saving your **eLogic Model®,** click the button at the top left of the worksheet (Tab Coversheet) that says **"Check Errors".** If you did not complete any of the **"mandatory"** fields, a message box will appear telling you what field(s) was not completed and the field will be highlighted in yellow. If you attempt to close your **eLogic Model®** without completing the **"Applicant Legal Name"** and/or the **DUNS** Number, you will receive a dialog box that reminds you that the required data has not been entered. Click **"OK"** and the cursor will go to the required field and allow you to enter the required data. The final dialog box will ask you if you want to save your data. If you want to save the data, click "**Yes"** as you would do with any Microsoft Excel® workbook. **If you click "No", the file will close and your data will not be saved.** Please remember when saving your **eLogic Model®** that **file names** must not contain any special characters or spaces which could be **"read"** as viruses File names must be no more than fifty characters including any path information in the file name. See the **FY2010 General Section** for complete details.

The eLogic Model® Workbook
The eLogic Model® workbook has 12 separate worksheets and each worksheet is identified by a Tab at the bottom of the page. If you cannot see all the Tabs, be sure to maximize your workbook by clicking the middle button in the top right corner of the workbook to expand your window or move your bottom scroll bar so all the Tabs appear.
The 12 Worksheets/Tabs are:

- Instructions
- Coversheet
- Year1
- Year2
- Year3
- Total
- GoalsPriorities
- Needs
- Services
- Outcomes
- Tools
- Reporting

Instructions for Completing the Cover Sheet
NOTE: The "**Fiscal Year**" does not appear on the Cover Sheet but in the Tabs for each year of the project, See description under,

"**INSTRUCTIONS FOR COMPLETING THE Year 1, Year 2 Year 3 and Total Tabs in the eLogic Model®."**

Program Information
"HUD Program Name" and "**Program CFDA #"** located on Rows 11 and 12 respectively are pre-populated.

"Program Component" is located on Row 13 to 19. If the program under which you are applying has components, e.g., **EOI** or **PEI** under the **Fair Housing Initiatives Program** click on the component field. A drop down menu will appear. Select as many component that you are applying under. If you are permitted by the **NOFA** to apply for funding under more than one program component, using the drop down select as many as needed in the fields provided. If there are no components in the funding opportunity for which you are seeking funding, skip this field. Once you have entered your **"Program Component"** in the **"Cover Sheet",** worksheets **Year1**, **Year2**, **Year3**, and **Total** will automatically populate the same information.

Grantee Information

"**Applicant Legal Name**" is located on Row 21 and is a **mandatory field**. Enter the **legal name**as entered in the Central Contractor Registration and which matches the applicant **Legal Name** entered in **Box 8a** in the **SF-424** in your application. Once you have entered your **"Applicant Legal Name"** in the **"Coversheet"**, **worksheets**, **Year1**, **Year2**, **Year3**, and **Total worksheets** will automatically populate the same information.

"**CCR Doing Business As Name**" is located on Row 22, is new for 2010. . Only complete this field if your **Central Contractor Registration** includes an entry in **Doing Business As (DBA).** Enter the name as it appears in CCR. Once you have entered **your "CCR Doing Business As Name"** in the **"Cover Sheet"**, worksheets, **Year1**, **Year2**, **Year3**, and **Total** worksheets will automatically populate the same information.

"**DUNS Number**" is located on Row 23 and is a **mandatory field**. Enter the **DUNS #** exactly as it appears in **box 8c** of the **SF-424** and as registered with the **Central Contractor Registration**. The **DUNS** number entered must be for the organization that is entered in **box 8a** of the **SF-424**, Application for **Federal Assistance**. Your **DUNS** number is a nine digit number or a nine digit plus four digit number. Some applicants will use a nine digit plus four digit **DUNS** number. If you do, then insert the four digits in the field provided. If you do not use a **DUNS** plus four **#**, leave the four digit field blank. Make sure you enter the **DUNS** number accurately. Once you have entered your "**DUNS** Number" in the
"Cover Sheet", worksheets **Year1**, **Year2**, **Year3**, and **Total** worksheets will automatically populate the same information

"**City**" is located on Row 24. Enter the City where your organization is located. This information must match the applicant address data in your application SF424. .

"**State**" is located on Row 25 Use the dropdown to enter the State where your organization is located, this information must match the **SF-424** data in your application.

"**Zip Code**" is located on Row 26. Enter the same nine-digit zip code used for the applicant address in your SF424.

"**Grantee Contact Name**" and "**Grantee Contact email**" are located on Rows 27 and 28 respectively. Enter the Grantee Contact Name and email address in the fields provided.

"**Logic Model Contact Name**" and "**Logic Model Contact email**" are located on Rows 28 and 29 respectively. Enter the name of the person that completed the **eLogic Model®** and their **email address** in the field provided or the name and email of a person to contact who can address questions concerning the eLogic Model submitted with the application and, if you are selected for an award, **eLogic Model reporting®.**

Project Information
"**Project Name**" is located on Row 32 and is a **mandatory field**. Enter the name of your project in the field provided. Use exactly the same name as you did on box 15 of the form SF424. If you did not provide a project name on the **SF424**, please make sure that you provide a project name in your **eLogic Model®.** The project name is helpful in distinguishing logic models submitting by the same grantee over multiple years and for differing projects.

If you are submitting multiple funding requests for the 2010 fiscal year funding under the same applicant name for the same **HUD** program, you **must** include a **project name** that can distinguish between the two applications and logic models submitted. The **project name** may be based upon the location of the project, the address at which it is located, anything that would distinguish one project from another for the same applicant. If you are not sure what to name your project, using your applicant name or acronym and then adding a 1 or 2, or 3 , etc., to distinguish the projects would be sufficient to distinguish the two logic models being processed.

Once you have entered your **"Project Name" in** the **"Cover Sheet"**, worksheets", **Year1**, **Year2**, **Year3**, and **Total worksheets** will automatically populate the same information.

“**Project Location City/County/Parish”** is located on Row 33. Applicants, except Indian Tribes, will enter the city or township or County/Parish where the project will be located. If there are multiple locations, enter the location where the majority of the work will be done. Indian Tribes, including multi-state tribes, should enter the city or county associated with their business address location.

"**Project Location State**" is located on Row 34. Use the dropdown menu to select the location of your project. The data field label, **“Project Location State”** includes all fifty states and American Samoa, District of Columbia, Federated States of Micronesia, Guam, Marshall Islands, Northern Mariana Islands, Palau, Puerto Rico, and the Virgin Islands. In the case of multi-state or regional entities, enter the State location where the majority of activities are to occur. For Indian Tribes, enter the state applicable to the business address of the Tribal entity.

“**Zip Code**” is located on Row 35 and is to be entered for the “Project Location State”. Please enter the nine digit zip code.
“**Project Type” is located on Row 36**.” Project Type describes the type of project you are doing, Please see the program **NOFA** for specific instructions. If no instructions are provided, provide a project type that would categorize the nature of the program e.g. housing counseling; family self-sufficiency program; research; regional development, community development, fair housing; technical assistance; etc.“**Construction Type”** is located on Row 37 and describes the type of Construction you are doing, e.g., new construction, rehabilitation, acquisition, mixed use development, etc. A logic model may provide specific drop down selections for this field based upon program **NOFA** If you are not involved with a construction program, leave the field blank.

Additional Information- Leave Blank At the Time of Application

“**Grants.gov Application Number**”, “**HUD Award Number**”, and “**Logic Model Amendment Number**” are located on Rows 39, 40 and 41 respectively. THESE ARE FIELDS THAT ARE TO BE COMPLETED ONLY IF YOU ARE SELECTED AS A GRANTEE AND ARE SUBMITTING YOUR REPORTS TOHUD.
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NSTRUCTIONS FOR COMPLETING THE Year 1, Year 2 Year 3 and Total Tabs in the eLogic Model®
The "**Fiscal Year**" represents the fiscal year of the Notice of Funding Availability **(NOFA)** under which the award will be made. This field is pre-populated and located in **Tabs Year1**, **Year2**, **Year3**, and **Total** in cell [O6] below the **HUD** logo.
The **“Year1”** Tab is the first sheet of the **eLogic Model**® workbook to be used to enter your data for columns labeled:

- **HUD Goals**
- **Policy Priority**
- **Needs**
- **Services/Activities**
- **Measures**
- **Outcomes**
- **Measures**
- **Evaluation Tools**

If you have a multi-year award, you will enter data in the **Year2**, **Year3**, and **Total** worksheets. These worksheets are identical in format as Year1. Applicants applying for a multiple year award must complete a worksheet for each year of performance showing what is to be accomplished per year. The **“Total”** worksheet should be used to show the *sum of cumulative* accomplishments achieved for all Services/Activities and Outcomes for all years covered by the award. For example, a two-year award would include worksheets showing Services/Activities and Outcomes covering **Year1**. The **Year2** worksheet would show Services/Activities covering **Year2.** The **“Total”** worksheet would show the *cumulative* totals for all Services/Activities and Outcomes for both **Year1** and **Year2**. A three-year award would include the worksheets showing all Services/Activities and Outcomes for **Year1**, **Year2**, **Year3**, and the **“Total”** worksheet would show the *cumulative* totals for all **Services/Activities** and **Outcomes** for **Year1**, **Year2**, and **Year3**.

A one-year award would include ONLY Year1. A Total Worksheet is not required for a one year award
Note: Some cells of the worksheet are "lock protected" so you can only make entries in cells that are for input as directed by these instructions.

“**Reporting Period**”, “**Reporting Start Date**” and “**Reporting End Date**” are fields located in **Year1**, **Year2**, **Year3**, and **Total** worksheets. The **Reporting Dates remain blank at the time of application** and are completed when submitting a report to HUD. See “INSTRUCTIONS FOR REPORTING PERFORMANCE TO HUD” later in these Instructions.

COLUMNS OF THE eLogic Model® (1-7)
Column 1 – Policy
Under the “Policy” Column (1), there are actually two columns; one labeled HUD Goals, and the other labeled Policy Priority. Review the HUD Goals and Policy Priorities by clicking on the Tab labeled, “Goals Priorities” at the bottom of the **eLogic Model®.** For each of the **eLogic Model®** worksheets used in your application, select the HUD Goals and Policy Priorities that your program will address. You do this by clicking the mouse in one of the cells in Column (1) of the worksheets labeled **(Year1, Year2, Year3, Total)**. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of letters and numbers that correspond to the HUD Goals and Policy Priorities will appear. Select one or more of the HUD Goals and Policy Priorities number/letter in the list by clicking it. If you make an error and want to remove the listing, select the cell and click the DELETE KEY on your keyboard. The item will be deleted.

To associate the HUD Goals and Policy Priorities to particular Services/Activities, select a HUD Goal and Policy Priority in Column 1 and then select related Services/Activities in Column 3, Programming. Please remember that not every Activity and Outcome is related to a HUD Policy Priority so that you can select a HUD goal without selecting a HUD policy priority. Also your activities and outcomes may be associated to more than one HUD goal and one policy priority.

If there is more than one Service/Activity to be administered related to the HUD Goal and Policy Priority, select all the related Services/Activities and associated Outcomes and skip as many rows as needed to identify the activities and outcomes associated to the HUD Strategic Goal and/or Policy Priority. Then before entering the next HUD Goal and Policy Priority, skip a row and then enter the next Strategic Goal and/or HUD Policy Priority and all the associated activities and outcomes to ensure that the association is clear.

Applicants/Grantees can make clear during each Year of their award, what Services/Activities are related to the achievement of the HUD Goal and Policy Priority selected.

Repeat this process until you have selected all HUD Goals and Policy Priorities that apply to your application.

Column 2 – Planning
Under the “Planning” Column (3), select a “Needs” statement. Do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of “Needs” statement(s) will appear. Select one or more of these Needs statements in the list by clicking it. Because the column may be too narrow to show the full Needs statement in the dropdown list, you may wish to refer to the Tab labeled "Needs" to see the full statements or you can (using your mouse) click on the shaded cell [D7] labeled “Needs” and this will expand the cell. To return the cell to its original size, click again on cell [D7] labeled “Needs.”

When expanding and returning the cell to its original size, click once. Do not double click. When you select a “Needs” statement, the full statement will fill the cell. If you don’t want this statement, you can simply click the dropdown arrow again and select another item; or, you can delete a statement by selecting the cell and clicking the **DELETE KEY** on your keyboard. If you want to select more than one statement, go to the next cell in the column and repeat the process selecting the appropriate statement(s). You can do this until you have selected all the statements that are appropriate to your proposed program.

The selections should reflect the Needs identified in your response to your Rating Factor narratives. There is no need to select all the Needs statements if they do not apply to what you plan to address or accomplish with the funding requested. When developing your eLogic Model®, associate the Needs statement(s) selected to the Services/Activities and Outcome(s) you select. To show relationships, you can skip rows when making your Needs statement(s) selection(s) and remember to place the associated Services/Activities and Outcome(s) in the same row.

Column 3 – Programming
Under the "Programming" Column (3), select Services/Activities. You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of eligible Services/Activities appears. Select one of the Services/Activities in the list by clicking it. Identify your Year1 Services/Activities using the Year1 worksheet. Identify Year2 Services/Activities using the Year2 worksheet. Identify Year3 Services/Activities using the Year3 worksheet. <u>Make a composite eLogic Model® of all years on the Total worksheet</u>. *If you are only applying for a one year award, you do not need to create a composite eLogic Model® on the Total Tab.* Because the column may be too narrow to show the full Services/Activities statement in the dropdown list, you may wish to refer to the Tab labeled "Services" to see the full range of eligible Services/Activities, or you can (using your mouse) click on the shaded cell [E7] Services/Activities. This will expand the cell. To return the cell to its original size, click on shaded cell [E7] Services/Activities. When expanding and returning the cell to its original size, click once. **<u>Do not double click.</u>**

NOTE: If the Services/Activities that you are looking for does not appear on the dropdown list, choose "other" from the dropdown list and follow the instructions in the dialog boxes which are also described below:

- A dialog box will appear that says **"Year1".** Click **"OK"** and another dialog box will appear that says, "You have selected 'other' and have the option to create a new Service/Activity or Outcome and a Unit of Measure. Are you prepared to do this now?"

- Click **"Yes"** if you wish to continue.

- You will see an input window that says, "Enter a new Activity/Service or Outcome to your dropdown list." Enter your new Service/Activity in the field provided and click **"OK."**

- A second window will appear that says, "Specify a Unit of Measure." Enter the Unit of Measure in the field provided and click **"OK".** The new Service/Activity will appear in the **eLogic Model®** cell and it will be added to the dropdown list.

- The new Service/Activity which you added will be displayed with the prefix "new".

If this function does not occur when working with your **eLogic Model®** please look at the directions for enabling macros. If after following the directions and this function still does not work, please call the NOFA Information Center at 800-HUD-8929 week days during operating hours of 10:00 a.m. to 6:30 p.m. eastern time, Monday to Friday, except federal holidays. The NOFA Information Center cannot provide you additional help right before a deadline date. Please take into account their operating hours and allow at least 72 hours for the NOFA Information Center to be able to get you additional help.

YOU ARE ONLY PERMITTED TO ADD A TOTAL OF THREE NEW SERVICES/ACTIVITIES PER ELOGIC MODEL®.

- In the event that you want to delete, or change your newly created Service/Activity, follow the instructions in the dialog boxes which are also described below:

- Click the Tab labeled Services at the bottom of your screen and then click cell [B1], "Click here to allow deletion of New Activities" at the top right of the window.

- A dialog box will appear that says "Do you want to delete this new Service/Activity?", click "OK."

- A dialog box will appear that says "Caution! This will delete all instances of 'new Service/Activity in your Logic Model. Do you wish to continue?" Click "Yes."

<u>You can only delete new Services/Activities</u>.
To find out how to associate a Service/Activity and Outcome to a HUD Goal and Policy Priority, see the instructions under Column 1, Policy.

Column 4 – Measure
Notice that as the Services/Activities you selected appears in Column 3, a corresponding Unit of Measure appears or populates in the Column 4, Measure. The Unit of Measure could be "persons", "dollars", "square feet", "houses", "date", or some other Unit of Measure that relates to the selected Services/Activities. Immediately below the Unit of Measure are three blank cells. Enter the projected number of persons or units (or dates if applicable) you are proposing to deliver or accomplish in the "Pre" column. When entering the date, use the format MM/DD/YYYY. When entering your projection in the "Pre" column, type the number or date in the cell and tab down or use your mouse to go to the next cell. If you click the Enter key, you will see the error message, "Run-time error '13':".If you see this message, click the button labeled End to continue. The "Run-time error '13':" will not affect your work. (What happens if a date field comes up 1 Jan rather than MM/DD/YYYY? Will that occur in the model? Will we need to tell them how to set the field format?)

Please note that the "Post" and the Year-to-Date (YTD) columns are locked to be used later for reporting purposes so that at the time of application, you cannot enter data in these fields.

Column 5 – Impact
Under Column 5, “Impact”, select the Outcome that best corresponds to the “Needs” statement, Column 2 and Services/Activities, Column 3, which you just previously identified and selected for your eLogic Model®. This is the same procedure used for completing Column 3. When you select an Outcome from the dropdown list, a Unit of Measure automatically appears in the next column, “Measure." Since the column may be too narrow to show the full Outcome statement in the dropdown list, you may wish to refer to the Tab labeled "Outcomes” to see the full range of Outcomes, or you can (using your mouse) click on the shaded cell [J7] Outcome. This will expand the cell. To return the cell to its original size, click on shaded cell [J7] Outcome.

NOTE: When expanding and returning the cell to its original size, click once. **Do not double click**.

NOTE: If the Outcome that you are looking for does not appear on the dropdown list, choose "other" from the dropdown list and follow the instructions in the dialog boxes which are also described below:

- A dialog box will appear that says **"Year1".** Click **"OK"** and another dialog box will appear that says, "You have selected ’other’ and have the option to create a new Service/Activity or Outcome and a Unit of Measure. Are you prepared to do this now?"

- Click **"Yes"** if you wish to continue.

- You will see an input window that says, **"Enter a new Activity/Service or Outcome to your dropdown list."** Enter your new Outcome in the field provided and click "OK."

- A second window will appear that says, **"Specify a Unit of Measure.”** Enter the Unit of Measure in the field provided and click **"OK".** The new Outcome will appear in the **eLogic Model®** cell and it will be added to the dropdown list.

- The new Service/Activity which you added will be displayed with the prefix **"new".**

If this function does not occur when working with your **eLogic Model®** please look at the directions for enabling macros. If after following the directions and this function still does not work, please call the **NOFA Information Center** at 800-HUD-8929 week days during operating hours of 10:00 a.m. to 6:30 p.m. eastern time, Monday to Friday, except federal holidays. The NOFA Information Center cannot provide you additional help right before a deadline date. Please take into account their operating hours and allow at least 72 hours for the NOFA Information Center to be able to get you additional help.

YOU ARE ONLY PERMITTED TO ADD A TOTAL OF THREE NEW OUTCOMES PER ELOGIC MODEL®.
In the event that you want to delete, or change your newly created Outcome, follow the instructions in the dialog boxes which are also described below:

- Click the Tab labeled Outcomes at the bottom of your screen and then click cell [B1], "Click here to allow deletion of New Outcomes" at the top right of the window.

- A dialog box will appear that says "Do you want to delete this Outcome?", click "OK."

- A dialog box will appear that says **"Caution!** This will delete all instances of ‘new Outcome’ in your Logic Model. Do you wish to continue?" Click **"Yes."**

- **You can only delete new Outcomes**.

To find out how to associate a Service/Activity and Outcome to a HUD Goal and Policy Priority, see the instructions under Column 1, Policy.

Column 6 – Measure
As the Outcomes you selected appear in the cell, a corresponding Unit of Measure appears or populates in Column 6, Measure. The Unit of Measure could be "persons", "dollars", "square feet", "houses", "date", or some other Unit of Measure that relates to the selected Outcome. Immediately below the Unit of Measure are three blank cells. Enter the projected number of persons or units (or dates if applicable) you are proposing to deliver or accomplish in the "Pre" column. When entering the date, use the format MM/DD/YYYY. When entering your projection in the "Pre" column, type the number or date in the cell and tab down or use your mouse to go to the next cell. If you click the Enter key, you will see the error message, "Run-time error '13' " If you see this message, click the button labeled End to continue. The "Run-time error '13':" will not affect your work.
The "Post" and the Year-to-Date (YTD) columns are locked to be used later for reporting purposes.

Review for Using Columns 2, 3, 4, 5, and 6 of the eLogic Model®

How To Demonstrate the Relationship between a HUD Goal, Policy Priority, Services/Activities and Outcomes
In the eLogic Model®, applicants can select Services/Activities and Outcomes as appropriate to how they conduct business. There are four possible types of associations among Services/Activities and Outcomes:

<u>One to One</u> - A single Service/Activity can yield a single Outcome. For example, referral to an employer can yield job placement; the Service/Activity is referral and the Outcome is job placement.

<u>One to Many</u> - A single Service/Activity can yield more than one Outcome. For example, a Service/Activity such as referral to an employer can yield several Outcomes such as job placement, job retention lasting 30 days, and job retention lasting longer than 90 days.

<u>Many to One</u> - More than one Service/Activity can yield one Outcome. For example, Services/Activities such as providing resume writing, job search classes, pre-employment counseling, and referrals to employers can result in a single job placement, the Outcome.

<u>Many to Many</u> - More than one Service/Activity can yield more than one Outcome. For example, multiple Services/Activities such as providing resume writing, job search classes, pre-employment counseling, and referrals to employers can result in multiple Outcomes including job placement, job retention more than 30 days, job retention more than 90 days, and increased household income.
There is no predesigned way to complete your eLogic Model®. It depends on how you operate your program.

Demonstrating Relationships Between Services/Activities and Outcomes
Show the relationships between the Services/Activities and Outcomes as you create your eLogic Model® using one or more of these models described above:

- One to One
- One to Many
- Many to One
- Many to Many

Between each Service/Activity, skip a row and then start entering the next set of Services/Activities. Use the same structure to enter your associated Outcomes. There is more than enough space to do this within the eLogic Model® Template.

Repeat the process of specifying “Policy”, “Needs”, “Service/Activity” and ”Outcome” using as many rows as is necessary to fully describe your proposal. Applicants must skip a row when selecting new HUD Goals, Policy Priorities, Needs, Activities/Services and Outcomes. The eLogic Model® form extends to six pages when printed out. You may view a preprint of your eLogic Model® at any time by selecting FILES | Print Preview from the Menu bar at the very top of the Excel® Window. It is recommended that you do this periodically to get a better view of the eLogic Model® you are creating.

Associating Services/Activities with Outcomes Over Multiple Years
You can adjust the look of your eLogic Model® by skipping rows, so that “Needs”, “Services/Activities” and ”Outcomes” are grouped or associated together. If you are conducting a multi-year project and the “Services/Activities” occurs in Year1 with the resulting Outcomes occurring in Year2, make sure that you show the relationship between the Services/Activities in Year1 with the Outcomes occurring in Year2 and similarly the relationships between Year2 Services/Activities with the Outcomes occurring in Year3. You can do this by leaving blank fields corresponding to the lines in which Services/Activities were identified in the previous year or years. For example, if you have enrolled someone in General Equivalency Degree (GED) classes, the results of attending the GED Classes may not result in a person obtaining a GED degree until Year 2 or Year 3.

To show the relationship over time:

 Enter the Services/Activities in Year 1 noting to yourself the line numbers on the Excel® worksheet that the Services/Activities appear in the Year 1 Tab of the eLogic Model®.

 Move to the year Tab that you are proposing the Outcomes to occur. In the Year 2 or Year 3 Tab, place the Outcomes in the Outcomes section in the same rows that you noted the Services/Activities. You will be leaving the Outcomes blank in Year 1 and the Services/Activities blank for those corresponding rows in either Year 2 or Year 3.

 <u>Skip a row</u> in both the Year 1 and the corresponding Year that you placed the Outcomes. Do this as many times as needed, remembering to maintain the same row numbers for Services/Activities and Outcomes across the span of years.

<u>Demonstrating the Relationship To Needs Statements</u>
Similarly, if you want to demonstrate the relationship between Services/Activities, Outcomes and a Needs statement, select the Needs statement and enter the Services/Activities and the corresponding Outcomes on the same row in the Excel® worksheet. To select another Needs statement, <u>skip a row</u> and identify the Services/Activities and Outcomes on the same row in the Excel® worksheet. This can occur within a single year or across years provided you remember to maintain the row alignment to the Needs statement, Services/Activities and Outcomes. You can continue adding activities and outcomes associated to the Needs statement as needed. When done, skip a row to move to another Needs statement and set of Services/Activities,

CAUTION, DO NOT CUT & PASTE ITEMS FROM ONE COLUMN TO ANOTHER. For example, do not cut and paste an item from the “Needs” Column to the “Services/Activities” Column, or the “Services/Activities” Column to the “Outcomes” Column. Doing so will produce an unstable worksheet which will behave erratically, requiring you to start over with a new blank eLogic Model® workbook.

Column 7 – Accountability
Under the “Accountability” Column (7), enter the tools and the process of collection and processing of data in your organization to support all project management, reporting, and responses to the Management Questions. This column provides the framework for structuring your data collection efforts. If the collection and processing of data is not well planned, the likelihood of its use to further the management of the program and support evaluation activity is limited. If data are collected inconsistently, or if data are missing, not retrievable, or mishandled, the validity of any conclusions is weakened.

The structure of Column 7 contains five components in the form of dropdown fields that address the Evaluation Process. You are responsible for addressing each of the five steps that address the process of managing the critical information about your project.

A. Tools for Measurement
B. Where Data Maintained
C. Source of Data
D. Frequency of Collection
E. Processing of Data

You may select up to five choices for each of the five processes (A-E) that supports Accountability and tracks Services/Activities and Outcomes. Given the limited space, please identify the most frequent sources for the processes (A-E). As you proceed through the remaining components, B through E, specify those components in the same order as you selected the "Tools for Measurement" listed under item A. For example, if the first Tool is "Pre-post Test," then the first item under B "Where Data Maintained" must identify where the pre-post test data is maintained, and so on through E. The first entry should pertain to "Pre-post Test." Likewise, if the second item in A is "Satisfaction Surveys," then specify the second item in B through E as it pertains to "Satisfaction Surveys."

A. Tools for Measurement. A device is needed for collecting data; e.g., a test, survey, attendance log, or inspection report, etc. The tool “holds” the evidence of the realized Services/Activities or Outcomes specified in the eLogic Model®. At times, there could be multiple tools for a given event. A choice can be made to use several tools, or rely on one that is most reliable, or most efficient but still reliable. Whatever tool is identified, it is important to remain consistent throughout the project.
Instructions: Under Column 7, Accountability, select your choices of “Tools for Measurement” to Track Services/Activities and Outcomes. You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of Tools appears. Select one or more of the Tools in the list by clicking it.

B. Where Data Maintained. A record of where the data or data tool resides must be maintained. It is not required that all tools and all data are kept in one single place. You may keep attendance logs at the main office files, but keep other tools or data such as a “case record" in the case files at the service site. It is important to designate where tools and/or data are to be maintained. For example, if your program has a sophisticated computer system and all data is entered into a custom-designed database, it is necessary to designate where the original or source documents will be maintained.

Instructions: Under Column 7, Accountability, select your choices of “Where Data Maintained.” You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of Where Data Maintained appears. Select one or more of the Where Data Maintained in the list by clicking it.

C. Source of Data. This is the source where the data originates. Identify the source and make sure that it is appropriate.
Instructions: Under Column 7, Accountability, select your choices of “Source of Data.” You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of Source of Data appears. Select one or more of the Source of Data in the list by clicking it.

D. Frequency of Collection. Timing matters in data collection. In most instances, you want to get it while it occurs. Collect data at the time of the encounter; if impossible, when it is most opportune immediately thereafter. For example, collect report card data immediately upon the issuance of report cards. Do not wait until after the school year is over. Collect feedback surveys at the conclusion of the event, not a few months later when clients may be difficult to reach. Reporting can be done at anytime if the data is already collected. Another important aspect of this dimension is consistency. If some post tests are collected soon after the event, but others are attempted months later, the data are confounded by the differences in the timing. If some financial data are collected at the middle of the month and others at the end of the month, the data may be confounded by systematic timing bias.
Instructions: Under Column 7, Accountability, select your choices of “Frequency of Collection.” You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of Frequency of Collection appears. Select one or more of the Frequency of Collection in the list by clicking it.

E. Processing of Data. This is where you identify the mechanism that will be employed to process the data. Some possibilities are: manual tallies, computer spreadsheets, flat file database, relational database, statistical database, etc. The eLogic Model® is only a summary of the program and it cannot accommodate a full description of your management information system. There is an implicit assumption that the grantee has thought through the process to assure that the mechanism is adequate to the task(s).
Instructions: Under Column 7, Accountability, select your choices of “Processing of Data.” You do this by clicking the mouse in one of the cells of this column. A little dropdown arrow appears. Click the dropdown arrow and a dropdown list of Processing of Data appears. Select one or more of the Process of Data in the list by clicking it.

Saving Your eLogic Model®
The 2010 eLogic Model® was constructed using Excel™ 2007. The models are posted on Grants.gov as Excel® 2003. You can save your eLogic Model® as an Excel® 97-2003 Workbook or as an Excel® 2007 Workbook. If you are using Excel® 97-2003 and if you see [Compatibility Mode] at the top of your Excel® where the name of the Excel® Workbook is located, it will not affect the functionality of the eLogic Model®. You can run the eLogic Model® in either Excel® version without functionality issues.
When you have completed the eLogic Model®, or wish to stop and continue later, save the file by going to the Excel® Menu bar and choosing FILE | Save As. Then specify a name for the file, and note where you save the file on your computer.

- Use the name of the HUD Program and your organization name to form a file name for your eLogic Model®. **For example, FHIP_DillardAffordableHousing.** Please note that there is an “underscore” between FHIP and no spaces between Dillard Affordable Housing separating the Program Name from the Project Name which is needed to identify the eLogic Model® in the database. This is the only convention allowed to separate these two terms. Do not use an underscore to separate words in your project name. The database will read “DillardAffordableHousing” as one name.

Do not use spaces or special characters such as dashes, periods, asterisks, and symbols when saving your eLogic Model®, only use letters and numbers. Only underscores are permitted. If you fail to follow these directions by using special characters or spaces, or the file name exceeds 50 characters, grants.gov will reject your submission as JAVA code treats your submission as containing a virus.

If your program has a program component, please follow the example below adding the Program Component “EOI” with an underscore:

- **FHIP_EOI_DillardAffordableHousing**

Please remember, if you are submitting multiple applications under the same applicant name for the same HUD program, you must distinguish between the two applications as is shown below:

- **FHIP_EOI_DillardAffordableHousing1**
- **FHIP_EOI_DillardAffordableHousing2**

Please be sure to review the file formats and naming requirements contained in the General Section.

Excel® automatically adds the file extension “.xls” or “xlsx” to your file name. Make sure the file extension is not capitalized. <u>In following these directions, if your organizational name exceeds the 50 character limit for space, you should abbreviate your organizational name by either using its initials or a recognizable acronym, e.g., South Carolina State University maybe written as SCSU, or Howard University maybe written as HOWDU.</u>

If you attempt to close the eLogic Model® without entering the Applicant Legal Name, the DUNS Number or Project Name, you receive a message that says “You still need to enter the Applicant Legal Name, the DUNS Number or Project Name. Dialog boxes have been created as reminders. Click OK on the dialog boxes. You will then get to the default Excel® dialog box asking if you want to save changes. Clicking CANCEL will allow you to go back and enter the missing mandatory fields. Clicking YES will save your work and close the Workbook but the mandatory fields will not be completed. **Clicking NO WILL NOT SAVE your work and will close the Workbook.”**

Later, you will "Attach" this file to your application. Please remember the name of the file that you are saving. Be sure to delete any earlier version so that when you go to attach the file to your application, you select the appropriate and final file.

A single Workbook will be adequate for completing your eLogic Model®.

This ends the instructions for completing your eLogic Model® for application submission.

INSTRUCTIONS FOR REPORTING PERFORMANCE TO HUD
Do not modify or change the integrity of the eLogic Model® by adding additional Tabs or worksheets. The Instructions provided here will meet your needs. When saving your eLogic Model®, save it in the Excel® format. Do not convert it into PDF.
If your project is selected for funding, the eLogic Model® will be used as a monitoring and reporting tool upon final approval from the HUD program office. Upon approval, HUD will open the reporting side of the eLogic Model® allowing you to submit the actual Services/Activities and Outcomes against the approved (projected) Services/Activities and Outcomes. Specifically, HUD will open the "Post" and "YTD" fields in both Columns 4 and 6, and will close the "Pre" fields in the same columns. HUD will also open the Reporting Tab for you to meet the reporting requirements that are discussed below. The HUD program office will send back to you or post to a website, the approved eLogic Model® to be used for reporting purposes.

Identify the Reporting Period Covered by the Report
On the Coversheet are three fields that must be completed when you submit your reports to HUD: "**Grants.gov Application Number**", "**HUD Award Number", and "Logic Model Amendment Number**" which are located on Rows 33, 34 and 35 respectively. These fields allow HUD to associate the eLogic Model® submitted with the application with the negotiated logic model, and reports submitted. On the Year1, Year2, Year3 and Total Tabs are three additional fields labeled "Reporting Period", "Reporting Start Date" and "Reporting End Date." These three fields are not to be used at time of application. At the time of reporting they are "mandatory. They are used during the reporting process to record the Start and End date of your reporting period.
." The required data must be entered to have a complete eLogic Model® report.

Before closing and saving your eLogic Model® report, click the worksheet Tab, "Coversheet" and at the top left, click "Check Errors." If you did not complete any of the "mandatory" fields, a message box will appear telling you what field(s) were not completed and the field(s) will be highlighted in yellow. When actually reporting performance on your approved eLogic Model®, select the "Reporting Period" using the dropdown feature for:

- Yr1 1st Quarter
- Yr1 2nd Quarter
- Yr1 3rd Quarter
- Yr1 4th Quarter
- Yr2 5th Quarter
- Yr2 6th Quarter
- Yr2 7th Quarter
- Yr2 8th Quarter
- Yr3 9th Quarter
- Yr3 10th Quarter
- Yr3 11th Quarter
- Yr3 12th Quarter
- Final Report.

Note: For those reporting on a semi-annual basis, the reporting period identified in the eLogic Model® report would be Yr1 2nd Quarter, and Yr1 4th Quarter for the first year reports and Yr2 6th Quarter and Yr2 8th Quarter, etc.. For those reporting on an annual basis, the eLogic Model® reporting period would be selected as Yr1 4th Quarter. If the award was a one year award, and the award was completed, the reporting period selected would be Final Report. If the report was multi-year, for the 2nd year report, the reporting period would be Yr2 8th Quarter.

Then enter a "Reporting Start Date" and the "Reporting End Date" that reflects the reporting period you will be submitting in accordance with required reporting time frames indicated in the HUD Program NOFA and the Award Agreement. When entering the dates, you must use this format, MM/DD/YYYY including the slashes. Using the MM/DD/YYYY format will allow HUD to enter your eLogic Model® into the database. If not, you may have to resubmit your eLogic Model® if it is not accepted by HUD.

Completing Performance Information in YEAR1, YEAR2, YEAR3, and TOTAL Tabs
Your projections approved by HUD that were entered in the "Pre" Column will be locked in and the "Post" and "YTD" will be opened for reporting purposes. When reporting enter:

- Year1 accomplishments utilizing the Year1 Tab
- Year2 accomplishments utilizing the Year2 Tab
- Year3 accomplishments utilizing the Year3 Tab

For multi-year awards, use the Total Tab to capture cumulative reporting during years 2 and 3 and for your final report. *If you have a one year award you only need to complete Year1 for your final report.* If you have a two year award, use Year1, Year2, and Total. If you have a three year award, use Year1, Year2, Year3, and Total.

In each reporting period, enter your data for the reporting period cover by the report. Do not enter cumulative data in this column. The column labeled YTD is used to capture the cumulative data for the current reporting period as well as all past reports submitted covering the first year of the award. For example, if you report quarterly.

When reporting Activities in Year1:

 Enter your first quarter accomplishments in the "Post" column and the cumulative accomplishments in the "YTD" column.For the first quarter reporting, the numbers or dates will be the same in both columns.

 For the second quarter of Year1 reporting, enter the data covering second quarter activities and outcomes which occurred in that quarter only. In the "YTD" column, you will enter the cumulative total of both the first and second quarter accomplishments.

 Follow this same process for all quarters in Year1.

When reporting Activities in Year2:

 Only enter your first quarter accomplishments of Year2 in the "Post" column. The information should only reflect activities and outcomes that occur in the 1st quarter of year 2. Cumulative accomplishment from year 1 nad year 2 acitiviite nad outcones will be recorded in the Total Worksheet.

 Enter the Year 2 Quarter 1 accomplishments in the "YTD" column. For the first quarter reporting the numbers or dates will be the same for both the Actual and the YTD columns.

 For the second quarter of Year2 reporting, you will only enter the second quarter results (what actually occurred in the second quarter independent of the previous quarter) of theYear2 in the "Post" column.

 In the "YTD" column, you will enter the cumulative total of both the first and second quarter accomplishments for Year2. In the Total worksheet enter the cumulative total (the YTD from Year1 and the YTD from Year2). Follow these instructions for all quarters in Year2.

When reporting Activities in Year3, enter your first quarter accomplishments of Year3 non-cumulative in the "Post" column and the cumulative accomplishment of Year3 in the "YTD" column.

 For the first quarter reporting the numbers or dates will be the same in both columns.

 For the second quarter of Year3 reporting, you will enter the non-cumulative second quarter results (what actually occurred in the second quarter independent of the previous quarter) of the Year3 in the "Post" column.

 In the "YTD" column you will enter the cumulative total of both the first and second quarter accomplishments for Year3. In the Total worksheet enter the cumulative total (the YTD from Year1, the YTD from Year2 and the YTD from Year3). Follow these instructions for all quarters in Year3.

Using the Total Worksheet

If you have a multi-year award, you will begin to use the "Total" Tab at the beginning of the second year. The "Total" Tab is designed to show cumulative totals of Year1, Year2, and Year3. The "Total" worksheet will show the cumulative progress for Year1, Year2, and Year3. In the Total worksheet, when you are reporting accomplishments for the first quarter of Year2, add the "YTD" number from Year1 and the "YTD" number for Year2. Remember, the first quarter of Year2 and the "Post" is the same number as the "YTD" number. If you are reporting accomplishments for the second quarter of Year2, add the "YTD" number from Year1 and the "YTD" number from Year2 and add them to reach a cumulative total or

"YTD" of Year1 and the first two quarters of Year2.
Follow these instructions for all quarters in Year2, and Year3. At the end of the award period, the "Total" Worksheet will contain the cumulative total for all years.

Using the Reporting Worksheet

The Reporting Tab (worksheet) serves three functions: 1) Respond to the Management Questions, 2) Describe or explain actual performance compared to what was projected, and 3) Provide an explanation of any deviation (positive or negative) from the projections in your approved eLogic Model® .

Each program has different Management Questions that are applicable to that program only. The Management Questions contained in the eLogic Model® ask key questions related to all Services/Activities and Outcomes in the drop-down lists in the eLogic Model® forms for each HUD program. Grantees are required to report on the Management Questions which relate to the specific Services/Activities and Outcomes that are in their HUD approved eLogic Model®. These are determined during negotiations with HUD. HUD will use the approved eLogic Model® for monitoring program performance throughout the project. The Services/Activities and Outcomes identified in your approved eLogic Model®, and resultant data reported in your eLogic Model® over the award performance period should enable you to address most or all of the Management Questions reflective of your project. The data collected during the course of your work and captured in the eLogic Model® will also be useful to you in evaluating the effectiveness of your program.

Use the Reporting Tab to enter your responses to the Management Questions by entering the appropriate "Count/Amount" in the fields provided. The last question asks, "Describe the population you are serving in the space below." Enter a brief summary description of the demographic and socio-economic characteristics of the area and clients you are serving. Your description should be short and to the point -- a paragraph or less.

Narrative Description - Positive/Negative Deviation from Approved eLogic Model® Projections

In addition to your submission of your eLogic Model® results, if there are deviations from what you projected, then you must include a narrative indicating any positive or negative deviations from projected Services/Activities and Outcomes as contained in your approved eLogic Model® and explain the basis for the actual performance as compared to what was projected. In your narrative be sure to identify the Services/Activities and Outcomes from your approved eLogic Model® that you are describing and the reason why this deviation occurred. When doing this, create a paragraph header labeled, "Narrative Description - Positive/Negative Deviation from Approved Logic Model Projections." By identifying the deviations and the reasons, HUD is able to obtain information on what impacts affect the timeline for program activity and outcomes, and also will be able to share and disseminate best practices to help grantees learn from each other and to also increase the effectiveness of the program.

Saving Your Report
Save the eLogic Model® file you receive from HUD. Each time you submit your report to HUD, add the fiscal year of the NOFA in which the award was made and the reporting period to the file name. For example:
This is for a 1st quarter report.

 FHIP_EOI_DillardAffordableHousing2010qtr1

This is for a 2nd quarter or semi-annual report.

 FHIP_EOI_DillardAffordableHousing2010qtr2

This is for a 3rd quarter report.

 FHIP_EOI_DillardAffordableHousing2010qtr3

This is for a 4th quarter or annual report.

 FHIP_EOI_DillardAffordableHousing2010qtr4

This is for a 5th quarter or the first reporting period in year 2 of the project.

 FHIP_EOI_DillardAffordableHousing2010qtr5

Please remember, if you are reporting on multiple projects under the award for the same HUD program, you must distinguish between the two reports as is shown below. **Please note that an underscore was added before the fiscal year. Only add the underscore if there are multiple projects:**

 FHIP_EOI_DillardAffordableHousing1_2010qtr1
 FHIP_EOI_DillardAffordableHousing2_2010qtr2

For eLogic Model® Training via webcast, consult the webcast schedule found at HUD's website at: http://www.hud.gov/offices/adm/grants/fundsavail.cfm. If you have any questions regarding reporting requirements, please contact your HUD program representative.

Reporting Requirements
As part of your required reports to HUD, you must also submit an eLogic Model® report in either Excel® 2003 or 2007. (See the FY2010 General Section of the NOFA in the HUD approved electronic formats.)

2010 eLogic Model® Information Coversheet

Instructions

When completing this section there are "mandatory" fields that must be completed. These fields are highlighted in yellow. The required data must be entered correctly to complete an eLogic Model®. Applicant Legal Name must match box 8a in the SF-424 in your application. Enter the legal name by which you are incorporated and pay taxes. CCR Doing Business is new for 2010 eLogic Model®. Only complete this field if your registration at CCR includes an entry in Doing Business as: (dba). Enter the DUNS # as entered into box 8c of the SF-424 Application for Federal Assistance form. Enter the City where your organization is located, this information must match the SF-424 data in your application. Use the dropdown to enter the State where your organization, this information must match the SF-424 data in your application. This information must match the SF-424 data in your application. Enter the Grantee Contact Name and email address in the field provided. Enter the name of the person that completed the eLogic Model® and their email address in the field provided. When completing the Project Information Section, applicants except Indian Tribes must enter their Project Name, Project Location City/County/Parish, State, Project Type, and Construction Type. If there are multiple locations, enter the location where the majority of the work will be done. Indian tribes, including multi-state tribes, should enter the City or County associated with their business address location. For Indian Tribes, enter the state applicable to the business address of the Tribal entity.

Program Information

HUD Program	**Doctoral Dissertation**
Program CFDA #	**14.516**
Program Component	

Build Inclusive and Sustainable Communities Free from Discrimination (4E) Build the capacity of local, state and regional public and private organizations.

Applicant Legal Name	The Regents of the University of California		
CCR Doing Business As Name			
DUNS Number	124726725	-	
City	Berkeley		
State	CALIFORNIA		
Zip Code	94704	-	5940
Grantee Contact Name	Patricia A. Gates		
Grantee Contact email	spoawards@berkeley.edu		
Logic Model Contact Name	Catherine Guimond		
Logic Model Contact email	cguimond@berkeley.edu		

Project Information

Project Name	Contested Renewal: The Rebuilding of the South		
Project Location City/County/Parish	Bronx		
Project Location State	NEW YORK		
Zip Code	10451	-	4509
Project Type	Dissertation Research		
Construction Type	N/A		

Additional Information for Reporting (Leave Blank At the Time of Application)

Grants.gov Application Number	
HUD Award Number	

eLogic Model® **Year 1** *OMB Approval 2535-0114 exp. 2/28/2011*

Applicant Legal Name	The Regents of the University of California
CCR Doing Business As Name	0
HUD Program	Doctoral Dissertation
Program Component	
Project Name	tested Renewal: The Rebuilding of the South Bronx
Reporting Period	
Reporting Start Date	
Reporting End Date	
DUNS No.	124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
4E	4c	There is insufficient relevant research activity to support the following HUD goals: Goal 4: Build Inclusive and Sustainable Communities Free from Discrimination.	new- Identify research subjects	Research Subjects			Dissertation-Dissertation completed and approved	Dissertation			
				30				1			**A. Tools for Measurement**
			Data-Relevant data sets acquired	Data Sets			Dissertation-Dissertation completed and approved	Dissertation			Program specific form(s)
				8				1			
			Policy Priority-Crosscutting Policy-Identify partnerships/relationships that will be	Partnerships			Policy Priority-Crosscutting Policy-Development of at least two (2)	Partnerships			
				3				3			
			Data-Original data collected	Data Collected			Dissertation-Dissertation completed and approved	Dissertation			
				120				1			**B. Where Data Maintained**
			Policy Priority-Capacity Building-Implementation of a research dissemination	Plan			Policy Priority-Crosscutting Policy-Development of a publishable document other	Published Guide			Specialized database
				1				1			
			new- Data analyzed and synthesized	Data Summaries			Dissertation-Dissertation completed and approved	Dissertation			
				30				1			
			Policy Priority-Capacity Building-Presentation of research findings at an academic and/or	Conference			Policy Priority-Capacity Building-Presentation of research finding at two (2) or more	Conferences			
				2				2			**C. Source of Data**
			Policy Priority-Capacity Building-Integration of the research findings with other researchers	Researchers/Practitioners			Papers-Study findings presented at conference, symposium, or other forum	Dissertations			Progress reports
				4				1			
			Policy Priority-Crosscutting Policy-Conduct presentations of research to policymakers	Presentations			Policy Priority-Capacity Building-Development of at least one (1) new activity by partner	Needs Assessment			
				4				2			
				#N/A				#N/A			
											D. Frequency of Collection
				#N/A				#N/A			Quarterly
				#N/A				#N/A			
				#N/A				#N/A			
											E. Processing of Data
				#N/A				#N/A			Manual tallies
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			

eLogic Model® **Year 1** *OMB Approval 2535-0114 exp. 2/28/2011*

Applicant Legal Name	The Regents of the University of California		
CCR Doing Business As Name	0		
HUD Program	Doctoral Dissertation	**Reporting Period**	
Program Component		**Reporting Start Date**	
Project Name	tested Renewal: The Rebuilding of the South Bronx	**Reporting End Date**	

DUNS No. 124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

eLogic Model® **Year 1** *OMB Approval 2535-0114 exp. 2/28/2011*

Applicant Legal Name The Regents of the University of California

CCR Doing Business As Name 0

HUD Program Doctoral Dissertation **Reporting Period**

Program Component **Reporting Start Date**

Project Name tested Renewal: The Rebuilding of the South Bronx **Reporting End Date**

DUNS No. 124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

eLogic Model®

Year 1

OMB Approval 2535-0114 exp. 2/28/2011

Applicant Legal Name	The Regents of the University of California
CCR Doing Business As Name	0
HUD Program	Doctoral Dissertation
Program Component	
Project Name	tested Renewal: The Rebuilding of the South Bronx
Reporting Period	
Reporting Start Date	
Reporting End Date	
DUNS No.	124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

eLogic Model®

Year 1

OMB Approval 2535-0114 exp. 2/28/2011

Applicant Legal Name The Regents of the University of California

CCR Doing Business As Name 0

HUD Program Doctoral Dissertation

Program Component

Project Name tested Renewal: The Rebuilding of the South Bronx

Reporting Period

Reporting Start Date

Reporting End Date

DUNS No. 124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

eLogic Model® **Year 1** **OMB Approval 2535-0114 exp. 2/28/2011**

Applicant Legal Name The Regents of the University of California

CCR Doing Business As Name 0

HUD Program Doctoral Dissertation **Reporting Period**

Program Component **Reporting Start Date** **DUNS No.** 124726725 - 0

Project Name tested Renewal: The Rebuilding of the South Bronx **Reporting End Date**

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

eLogic Model® **Year 2** *OMB Approval 2535-0114 exp. 2/28/2011*

Applicant Legal Name The Regents of the University of California

CCR Doing Business As Name 0

HUD Program Doctoral Dissertation **Reporting Period**

Program Component **Reporting Start Date** **DUNS No.** 124726725 - 0

Project Name ntested Renewal: The Rebuilding of the South Bronx **Reporting End Date**

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
4E	4c	There is insufficient relevant research activity to support the following HUD goals: Goal 4: Build Inclusive and Sustainable Communities Free from Discrimination.	new- Data analyzed and synthesized	Data Summaries			Dissertation-Dissertation completed and approved	Dissertation			
				30				1			**A. Tools for Measurement**
			Goals-Findings examined in relation to one or more HUD Goals	Goals			Policy Priority-Crosscutting Policy-Development of a publishable document other than your	Published Guide			Program specific form(s)
				2				1			
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan			Policy Priority-Crosscutting Policy-Development of a publishable document other than your	Published Guide			
				1				1			
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan			Policy Priority-Crosscutting Policy- Presentation of research findings at one (1) or more	State/Local Conferences			
				1				2			**B. Where Data Maintained**
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan			Papers-Study findings published in non peer-reviewed media	Dissertations			Specialized database
				1				1			
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan			Papers-Study findings published in peer-reviewed journal	Dissertations			
				1				1			
				#N/A				#N/A			
											C. Source of Data
				#N/A				#N/A			Progress reports
				#N/A				#N/A			
				#N/A				#N/A			
											D. Frequency of Collection
				#N/A				#N/A			Quarterly
				#N/A				#N/A			
				#N/A				#N/A			
											E. Processing of Data
				#N/A				#N/A			Manual tallies
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
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Reporting End Date	
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
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				#N/A				#N/A			

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
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				#N/A				#N/A			
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				#N/A				#N/A			

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
											A. Tools for Measurement
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
											B. Where Data Maintained
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
											C. Source of Data
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
											D. Frequency of Collection
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
											E. Processing of Data
					#N/A				#N/A		
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
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					#N/A				#N/A		
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Program Component		**Reporting Start Date**	
Project Name	ntested Renewal: The Rebuilding of the South Bronx	**Reporting End Date**	

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
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Reporting End Date	
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

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Reporting Start Date	
Reporting End Date	
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		

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HUD Program	Doctoral Dissertation
Program Component	
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Reporting Period	
Reporting Start Date	
Reporting End Date	
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			

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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
4E	4c	There is insufficient relevant research activity to support the following HUD goals: Goal 4: Build Inclusive and Sustainable Communities Free from Discrimination.	new- Identify research subjects	Research Subjects 30			Dissertation-Dissertation completed and approved	Dissertation 1			 **A. Tools for Measurement**
			Data-Relevant data sets acquired	Data Sets 8			Dissertation-Dissertation completed and approved	Dissertation 1			Program specific form(s)
			Policy Priority-Crosscutting Policy-Identify partnerships/relationships that will be	Partnerships 3			Policy Priority-Crosscutting Policy-Development of at least two (2) partnerships/relationships to	Partnerships 3			
			Data-Original data collected	Data Collected 120			Dissertation-Dissertation completed and approved	Dissertation 1			 **B. Where Data Maintained**
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan 1			Policy Priority-Crosscutting Policy-Development of a publishable document other than your	Published Guide 1			Specialized database
			new- Data analyzed and synthesized	Data Summaries 30			Dissertation-Dissertation completed and approved	Dissertation 1			
			Policy Priority-Capacity Building-Presentation of research findings at an academic and/or	Conference 2			Policy Priority-Capacity Building-Presentation of research finding at two (2) or more academic	Conferences 2			 **C. Source of Data**
			Policy Priority-Capacity Building-Integration of the research findings with other researchers	Researchers/Practitioners 4			Papers-Study findings presented at conference, symposium, or other forum	Dissertations 1			Progress reports
			Policy Priority-Crosscutting Policy-Conduct presentations of research to policymakers	Presentations 4			Policy Priority-Capacity Building-Development of at least one (1) new activity by partner	Needs Assessment 2			
			new- Data analyzed and synthesized	Data Summaries 30			Dissertation-Dissertation completed and approved	Dissertation 1			 **D. Frequency of Collection**
			Goals-Findings examined in relation to one or more HUD Goals	Goals 2			Policy Priority-Crosscutting Policy-Development of a publishable document other than your	Published Guide 1			Quarterly
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan 1			Policy Priority-Crosscutting Policy-Development of a publishable document other than your	Published Guide 1			
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan 1			Policy Priority-Crosscutting Policy- Presentation of research findings at one (1) or more	State/Local Conferences 2			 **E. Processing of Data**
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan 1			Papers-Study findings published in non peer-reviewed media	Dissertations 1			Manual tallies
			Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan 1			Papers-Study findings published in peer-reviewed journal	Dissertations 1			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
				#N/A				#N/A			
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1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
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					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
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					#N/A				#N/A		
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eLogic Model® **_Total_** ***OMB Approval 2535-0114 exp. 2/28/2011***

Applicant Legal Name The Regents of the University of California
CCR Doing Business As Name 0
HUD Program Doctoral Dissertation **Reporting Period**
Program Component **Reporting Start Date**
Project Name ntested Renewal: The Rebuilding of the South Bronx **Reporting End Date**

DUNS No. 124726725 - 0

2010

HUD Goals	Policy Priority	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
1		2	3	4			5	6			7
Policy		Planning	Programming	Pre	Post	YTD	Impact	Pre	Post	YTD	Accountability
					#N/A				#N/A		
					#N/A				#N/A		
					#N/A				#N/A		
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	HUD Goals		HUD Priorities
1A	**Strengthen the Nation's Housing Market to Bolster the Economy and Protect Consumers** (1A) Stem the foreclosure crisis.	1a	**Job Creation/Employment** (1a) Improving access to job opportunities through information sharing, coordination with federal, state, and local entities, and other means.
1B	**Strengthen the Nation's Housing Market to Bolster the Economy and Protect Consumer** (1B) Protect and educate consumers when they buy, refinance or rent a home.	1b	**Job Creation/Employment** (1b) Increasing access to job training, career services, and work, supports through coordination with federal, state, and local entities.
1C	**Strengthen the Nation's Housing Market to Bolster the Economy and Protect Consumers** (1C) Create financially sustainable homeownership opportunities.	1c	**Job Creation/Employment** (1c) Expanding economic and job creation opportunities for low-income residents and creating better transportation access to those jobs and other economic opportunities by partnering with federal and nonprofit agencies, private industry, and planning and economic development organizations and by leveraging federal and private resources.
1D	**Strengthen the Nation's Housing Market to Bolster the Economy and Protect Consumers** (1D) Establish an accountable and sustainable housing finance system.	2a	**Sustainability** (2a) Promote and preserve community assets including small businesses, fresh food markets, parks, hospitals, and quality schools by incentivizing comprehensive and inclusive local economic development planning.
2A	**Meet the Need for Quality Affordable Rental Homes** (2A) End homelessness and substantially reduce the number of families and individuals with severe housing needs.	2b	**Sustainability** (2b) Give consumers more information about the true cost of living by incorporating both housing and transportation costs into measures of affordability.
2B	**Meet the Need for Quality Affordable Rental Homes** (2B) Expand the supply of affordable rental homes where most needed.	2c	**Sustainability** (2c) Improve residents' health and safety, particularly that of children and other vulnerable populations, by promoting green and healthy design, construction, rehabilitation, and maintenance of housing and communities.
2C	**Meet the Need for Quality Affordable Rental Homes** (2C) Preserve the affordability and improve the quality of federally assisted and private unassisted affordable rental homes.	2d	**Sustainability** (2d) Support and promote an energy-efficient, green, and healthy housing market by retrofitting existing housing, supporting energy-efficient new construction, improving home energy labeling, and promoting financing products that reduce the carbon footprint of non-HUD-supported residential buildings.
2D	**Meet the Need for Quality Affordable Rental Homes** (2D) Expand families' choices of affordable rental homes located in a broad range of communities.	2e	**Sustainability** (2e) Reduce energy consumption and incorporate green building practices in the design and operation of HUD-supported affordable housing.
3A	**Utilize Housing as a Platform for Improving Quality of Life** (3A) Utilize HUD assistance to improve educational outcomes and early learning and development.	2f	**Sustainability** (2f) Promote coordinated planning, integrating federal resources, and targeting technical assistance at the local, state, and regional levels for sustainable housing and communities.
3B	**Utilize Housing as a Platform for Improving Quality of Life** (3B) Utilize HUD assistance to improve health outcomes.	2g	**Sustainability** (2g) Promote the design and construction of buildings and communities that are accessible and visitable by people with disabilities.
3C	**Utilize Housing as a Platform for Improving Quality of Life** (3C) Utilize HUD assistance to increase economic security and self-sufficiency.	2h	**Sustainability** (2h) Promote the use of climate-resilient and disaster-resistant building design, construction and siting.
3D	**Utilize Housing as a Platform for Improving Quality of Life** (3D) Utilize HUD assistance to improve housing stability through supportive services for vulnerable populations including the elderly, people with disabilities, homeless people, and those individuals and families at risk of becoming homeless.	2i	**Sustainability** (2i) Encourage metropolitan and regional focus in planning and community development.
3E	**Utilize Housing as a Platform for Improving Quality of Life** (3E) Utilize HUD assistance to improve public safety.	3a	**Affirmatively Furthering Fair Housing** (3a) Regional coordination of affirmatively furthering fair housing plans, including such activities as developing regional analyses of impediments.
4A	**Build Inclusive and Sustainable Communities Free from Discrimination** (4A) Catalyze economic development and job creation, while enhancing and preserving community assets.	3b	**Affirmatively Furthering Fair Housing** (3b) Regional strategies to reduce racially segregated living patterns and other effects of formely de jure segregated public or assisted housing in metropolitan areas with a year 2000 dissimilarity index of 70 or higher and where the minority population is at least 20,000 or 3 percent of the total population in the Core Based Statistical Area (CBSA), whichever is greater.
4B	**Build Inclusive and Sustainable Communities Free from Discrimination** (4B) Promote energy efficient buildings and location efficient communities that are healthy, affordable and diverse.	3c	**Affirmatively Furthering Fair Housing** (3c) Decreasing the concentration of poverty and racial segregation in neighborhoods and communities through strategic targeting of resources.
4C	**Build Inclusive and Sustainable Communities Free from Discrimination** (4C) Ensure open, diverse, and equitable communities.	3d	**Affirmatively Furthering Fair Housing** (3d) Promoting visitability for persons with disabilities in single-family housing.
4D	**Build Inclusive and Sustainable Communities Free from Discrimination** (4D) Facilitate disaster preparedness, recovery and resiliency.	4a	**Capacity Building and Knowledge Sharing** (4a) Develop, target and deliver technical assistance for increasing affordability in areas experiencing increased rental costs due to development.
4E	**Build Inclusive and Sustainable Communities Free from Discrimination** (4E) Build the capacity of local, state and regional public and private organizations.	4b	**Capacity Building and Knowledge Sharing** (4b) Strengthen the capacity of state and local partners, including governments and nonprofit organizations, to implement HUD programs, participate in decision making and planning processes, and coordinate on cross-programmatic, place-based approaches through grantmaking and technical assistance.
5A	**Transform the Way HUD Does Business** (5A) Build Capacity: Create a flexible and high performing learning organization with a motivated, skilled workforce.	4c	**Capacity Building and Knowledge Sharing** (4c) Support knowledge sharing and innovation by disseminating best practices, encouraging peer learning, publishing data analysis and research, and helping to incubate and test new ideas.
5B	**Transform the Way HUD Does Business** (5B) Focus on Results: Create an empowered organization that is customer-centered, place based, collaborative, and responsive to employee feedback and focused on results.	5a	**Using Housing as a Platform for Improving Other Outcomes** (5a) Increasing access to high quality early learning programs and services through coordination with local programs.

5C	**Transform the Way HUD Does Business** (5C) Bureaucracy Busting: Create flexible, modern rules and systems that promote responsiveness, openness and transparency.		5b	**Using Housing as a Platform for Improving Other Outcomes** (5b) Providing physical space to co-locate healthcare and wellness services with housing (e.g., on-site health clinics).
5D	**Transform the Way HUD Does Business** (5D) Culture Change: Create a healthy, open, flexible work environment that reflects the values of HUD's mission.		5c	**Using Housing as a Platform for Improving Other Outcomes** (5c) Increasing access to public benefits (such as Temporary Assistance to Needy Families and Supplemental Security Income) through outreach and other means.
			5d	**Using Housing as a Platform for Improving Other Outcomes** (5d) Maintaining or improving the physical environment and design of HUD-assisted residences, giving attention to physical safety and crime prevention.
			5e	**Using Housing as a Platform for Improving Other Outcomes** (5e) Providing mobility counseling to increase access to neighborhoods of opportunity.
			6a	**Expand Cross-Cutting Policy Knowledge (6a)** Support knowledge sharing and innovation by disseminating best practices, encouraging peer learning, publishing data analysis and research, and helping to incubate and test new ideas.

CAMP eLogic Model®
Column 2
NEEDS
There is insufficient relevant research activity to support the following HUD goals: Goal 1: Strengthen the Nation's Housing Market to Bolster the Economy and Protect Consumers.
There is insufficient relevant research activity to support the following HUD goals: Goal 2: Meet the Needs for Quality Affordable Rental Homes.
There is insufficient relevant research activity to support the following HUD goals: Goal 3: Utilize Housing as a Platform for Improving Quality of Life.
There is insufficient relevant research activity to support the following HUD goals: Goal 4: Build Inclusive and Sustainable Communities Free from Discrimination.

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CAMP eLogic Model®	*Click here to allow deletion of 'New' Activities*
Column 3	
SERVICES/ACTIVITIES	**UNITS**
Analysis-Faculty approve statistical methods of analysis	Analysis
new- Data analyzed and synthesized	Data Summaries
new- Identify research subjects	Research Subjects
Data-Original data collected	Data Collected
Data-Relevant data sets acquired	Data Sets
Design-Faculty approve study design	Design
Goals-Findings examined in relation to one or more HUD Goals	Goals
Policy Priority-Capacity Building-Implementation of a research dissemination plan	Plan
Policy Priority-Capacity Building-Integration of the research findings with other researchers and/or practitioners in the related field of study	Researchers/Practitioners
Policy Priority-Capacity Building-Presentation of research findings at an academic and/or professional conference	Conference
Policy Priority-Crosscutting Policy-Conduct presentations of research to policymakers and/or decision makers to enhance knowledge of housing issues	Presentations
Policy Priority-Crosscutting Policy-Identify partnerships/relationships that will be established with other entities to capture and track the data collected as a result of the research conducted	Partnerships
Policy Priority-Crosscutting Policy-Meet with representatives from State and local government to share research findings	State/Local officials
other	Other

CAMP eLogic Model®

Click here to allow deletion of 'New' Outcomes

Column 5

OUTCOMES	UNITS
Dissertation-Dissertation completed and approved	Dissertation
Dissertation-Dissertation published in Dissertation Abstracts or other media	Publications
Papers-Study findings presented at conference, symposium, or other forum	Dissertations
Papers-Study findings published in non peer-reviewed media	Dissertations
Papers-Study findings published in peer-reviewed journal	Dissertations
Policy Priority-Capacity Building-Development of at least one (1) new activity by partner organizations as a result of the outcomes of the research to enhance the current program	Needs Assessment
Policy Priority-Capacity Building-Development of at least one (1) new activity by partner organizations as a result of the outcomes of the research to enhance the current program	Data Analysis
Policy Priority-Capacity Building-Development of at least one (1) new activity by partner organizations as a result of the outcomes of the research to enhance the current program	Technology Improvement
Policy Priority-Capacity Building-Development of at least one (1) new activity by partner organizations as a result of the outcomes of the research to enhance the current program	Program Performance
Policy Priority-Capacity Building-Development of at least one (1) new activity by partner organizations as a result of the outcomes of the research to enhance the current program	Program Evaluation
Policy Priority-Capacity Building-Presentation of research finding at two (2) or more academic and/or professional conferences in the related field of study	Conferences
Policy Priority-Crosscutting Policy- Presentation of research findings at one (1) or more conferences/gatherings to State and/or local government representatives	State/Local Conferences
Policy Priority-Crosscutting Policy-Development of a publishable document other than your dissertation that will serve as a guide to implement a reliable crosscutting program as related to housing issues	Published Guide
Policy Priority-Crosscutting Policy-Development of a publishable document other than your dissertation that will serve as a guide to implement a non-housing cross cutting program or a modification to existing program policies	Published Guide
Policy Priority-Crosscutting Policy-Development of at least two (2) partnerships/relationships to capture and track the data collected as a result of the research conducted	Partnerships
Projects-Study project completed and approved by faculty	Approved Project
other	other

CAMP eLogic Model®
A. Tools For Measurement
Bank accounts
Construction log
Database
Enforcement log
Financial aid log
Intake log
Interviews
Mgt. Info. System-automated
Mgt. Info. System-manual
Outcome scale(s)
Phone log
Plans
Pre-post tests
Post tests
Program specific form(s)
Questionnaire
Recruitment log
Survey
Technical assistance log
Time sheets
B. Where Data Maintained
Agency database
Centralized database
Individual case records
Local precinct
Public database
School
Specialized database
Tax Assessor database
Training center
C. Source of Data
Audit report
Business licenses
Certificate of Occupancy
Code violation reports
Counseling reports
Employment records
Engineering reports
Environmental reports
Escrow accounts
Financial reports
GED certification/diploma
Health records
HMIS
Inspection results
Lease agreements
Legal documents
Loan monitoring reports
Mortgage documents
Payment vouchers
Permits issued
Placements
Progress reports
Referrals
Sale documents
Site reports
Statistics
Tax assessments
Testing results
Waiting lists
Work plan reports
D. Frequency of Collection
Daily
Weekly
Monthly
Quarterly
Biannually
Annually
Upon incident
E. Processing of Data
Computer spreadsheets
Flat file database
Manual tallies
Relational database
Statistical database

Carter-Richmond Methodology

The Management Questions developed for your program are based on the Carter-Richmond Methodology.* A description of the Carter-Richmond Methodology appears in the General Section of the NOFA.

* © The Accountable Agency – How to Evaluate the Effectiveness of Public and Private Programs," Reginald Carter, ISBN Number 9780978724924

Evaluation Process
An evaluation process will be part of the on-going management of the program.

The following are standard requirements that HUD expects of every program manager as part of their project management.

• Comparisons will be made between projected and actual numbers for both outputs and outcomes.
• Deviations from projected outputs and outcomes will be documented and explained on space provided on the "Reporting" Tab.
• Analyze data to determine relationship of outputs to outcomes; what outputs produce which outcomes.

The reporting requirements are specified in the program specific NOFA and your funding award.

HUD Will Use The Following Management Questions To Evaluate Your Program:

	Response to Management Questions	Measure	Answer
1	Please provide the title of your dissertation.	Title	
2	Briefly describe the specific purpose of your dissertation. Limit your response to 250 words.	Description of Purpose	
3	What is the anticipated impact your findings will have on advancing the HUD goals? Limit your response to 250 words.	Findings	
4	Identify up to five major findings resulting from the research topic you chose. Limit your response to 250 words.	Findings	
5a	**Policy Priority-Capacity Building-Presentations.** Identify the conference where the research was presented.	Conference	
5b	Identify the City where the research was presented.	City	
5c	Use the dropdown to identify the State where the research was presented.	State	
5d	**Policy Priority-Capacity Building-Presentations.** Identify the conference where the research was presented.	Conference	
5e	Identify the City where the research was presented.	City	
5f	Use the dropdown to identify the State where the research was presented.	State	
6a	**Policy Priority-Crosscutting-Presentations.** Identify the conference and/or gathering in which the research was presented to State and/or local government.	Conference	
6b	Identify the State and/or City officials who were present at the conference and/or gathering at which the research was presented.	Officials	
6c	Identify the City where the conference and/or gathering was held where the research was presented to State and/or local government.	City	
6d	Use the dropdown to identify the State where the conference and/or gathering was held where research was presented to State and/or local government.	State	
6e	**Policy Priority-Crosscutting-Presentations.** Identify the conference and/or gathering in which research was presented to State and/or local government.	Conference	
6f	Identify the State and/or City officials who were present at the conference and/or gathering in which the research was presented.	Officials	
6g	Identify the City where the conference and/or gathering was held where the research was presented to State and/or local government.	City	

6h	Use the dropdown to identify the State where the conference and/or gathering was held where research was presented to State and/or local government.	State	
7a	**Policy Priority-Capacity Building-Data Analysis.** Identify the academic or professional conference where you presented your research findings.	Conference	
7b	Identify the City where you presented your research findings.	City	
7c	Use the dropdown to identify the State where you presented your research findings.	State	
7d	**Policy Priority-Capacity Building-Data Analysis.** Identify the academic or professional conference where you presented your research findings.	Conference	
7e	Identify the City where you presented your research findings.	City	
7f	Use the dropdown to identify the State where you presented your research findings.	State	
8a	**Policy Priority-Capacity Building-Data Analysis.** Identify where the new activity is being undertaken by the partner organization.	Partners	
8b	Identify the City where the new activity is being undertaken by the partner organization.	City	
8c	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
8d	**Policy Priority-Capacity Building-Data Analysis.** Identify where the new activity is being undertaken by the partner organization.	Partners	
8e	Identify the City where the new activity is being undertaken by the partner organization.	City	
8f	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
8g	**Policy Priority-Capacity Building-Data Analysis.** Identify where the new activity is being undertaken by the partner organization.	Partners	
8h	Identify the City where the new activity is being undertaken by the partner organization.	City	
8i	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
8j	**Policy Priority-Capacity Building-Data Analysis.** Identify where the new activity is being undertaken by the partner organization.	Partners	
8k	Identify the City where the new activity is being undertaken by the partner organization.	City	
8l	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
9a	**Policy Priority-Capacity Building-Needs Assessment.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
9b	Identify the City where you presented your research findings.	City	
9c	Use the dropdown to identify the State where you presented your research findings.	State	
9d	**Policy Priority-Capacity Building-Needs Assessment.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
9e	Identify the City where you presented your research findings.	City	
9f	Use the dropdown to identify the State where you presented your research findings.	State	
10a	**Policy Priority-Capacity Building-Needs Assessment**. Identify where the new activity is being undertaken by the partner organization.	Partners	
10b	Identify the City where the new activity is being undertaken by the partner organization.	City	
10c	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
10d	**Policy Priority-Capacity Building-Needs Assessment**. Identify where the new activity is being undertaken by the partner organization.	Partners	
10e	Identify the City where the new activity is being undertaken by the partner organization.	City	
10f	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
11a	**Policy Priority-Capacity Building-Program Evaluation.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	

11b	Identify the City where you presented your research findings.	City	
11c	Use the dropdown to identify the State where you presented your research findings.	State	
11d	**Policy Priority-Capacity Building-Program Evaluation.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
11e	Identify the City where you presented your research findings.	City	
11f	Use the dropdown to identify the State where you presented your research findings.	State	
12a	**Policy Priority-Capacity Building-Program Evaluation.** Identify where the new activity is being undertaken by the partner organization.	Partners	
12b	Identify the City where the new activity is being undertaken by the partner organization.	City	
12c	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
12d	**Policy Priority-Capacity Building-Program Evaluation.** Identify where the new activity is being undertaken by the partner organization.	Partners	
12e	Identify the City where the new activity is being undertaken by the partner organization.	City	
12f	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
12g	**Policy Priority-Capacity Building-Program Evaluation.** Identify where the new activity is being undertaken by the partner organization.	Partners	
12h	Identify the City where the new activity is being undertaken by the partner organization.	City	
12i	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
12j	**Policy Priority-Capacity Building-Program Evaluation.** Identify where the new activity is being undertaken by the partner organization.	Partners	
12k	Identify the City where the new activity is being undertaken by the partner organization.	City	
12l	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
13a	**Policy Priority-Capacity Building-Program Performance.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
13b	Identify the City where you presented your research findings.	City	
13c	Use the dropdown to identify the State where you presented your research findings.	State	
13d	**Policy Priority-Capacity Building-Program Performance.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
13e	Identify the City where you presented your research findings.	City	
13f	Use the dropdown to identify the State where you presented your research findings.	State	
14a	**Policy Priority-Capacity Building-Program Performance.** Identify where the new activity is being undertaken by the partner organization.	Partners	
14b	Identify the City where the new activity is being undertaken by the partner organization.	City	
14c	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
14d	**Policy Priority-Capacity Building-Program Performance.** Identify where the new activity is being undertaken by the partner organization.	Partners	
14e	Identify the City where the new activity is being undertaken by the partner organization.	City	
14f	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
14g	**Policy Priority-Capacity Building-Program Performance.** Identify where the new activity is being undertaken by the partner organization.	Partners	
14h	Identify the City where the new activity is being undertaken by partner organization.	City	
14i	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
14j	**Policy Priority-Capacity Building-Program Performance.** Identify where the new activity is being undertaken by the partner organization.	Partners	
14k	Identify the City where the new activity is being undertaken by the partner organization.	City	
14l	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
15a	**Policy Priority-Capacity Building-Technology Improvement.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
15b	Identify the City where you presented your research findings.	City	
15c	Use the dropdown to identify the State where you presented your research findings.	State	
15d	**Policy Priority-Capacity Building-Technology Improvement.** Identify the academic or professional conferences where you presented your research findings.	Academic or Professional Conferences	
15e	Identify the City where you presented your research findings.	City	
15f	Use the dropdown to identify the State where you presented your research findings.	State	
16a	**Policy Priority-Capacity Building-Technology Improvement.** Identify where the new activity is being undertaken by the partner organization.	Partners	

16b	Identify the City where the new activity is being undertaken by the partner organization.	City	
16c	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
16d	**Policy Priority-Capacity Building-Technology Improvement.** Identify where the new activity is being undertaken by the partner organization.	Partners	
16e	Identify the City where the new activity is being undertaken by the partner organization.	City	
16f	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
16g	**Policy Priority-Capacity Building-Technology Improvement.** Identify where the new activity is being undertaken by the partner organization.	Partners	
16h	Identify the City where the new activity is being undertaken by the partner organization.	City	
16i	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
16j	**Policy Priority-Capacity Building-Technology Improvement.** Identify where the new activity is being undertaken by the partner organization.	Partners	
16k	Identify the City where the new activity is being undertaken by the partner organization.	City	
16l	Use the dropdown to identify the State where the new activity is being undertaken by the partner organization.	State	
17a	**Policy Priority-Crosscutting-Partnerships.** Identify partnership/relationship initiated to capture and track data collected related to your research.	Partnership/ Relationship	
17b	Identify the City where the partnership/relationship was initiated to capture and track data collected related to your research.	City	
17c	Use the dropdown to identify the State where the partnership/relationship was initiated to capture and track data collected related to your research.	State	
17d	**Policy Priority-Crosscutting-Partnerships.** Identify partnership/relationship initiated to capture and track data collected related to your research.	Partnership/ Relationship	
17e	Identify the City where the partnership/relationship was initiated to capture and track data collected related to your research.	City	
17f	Use the dropdown to identify the State where the partnership/relationship was initiated to capture and track data collected related to your research.	State	
18a	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a housing crosscutting program where crosscutting issues were addressed.	Housing Crosscutting Program	
18b	Identify the City where the crosscutting issue was addressed.	City	
18c	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
18d	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a housing crosscutting program where crosscutting issues were addressed.	Housing Crosscutting Program	
18e	Identify the City where the crosscutting issue was addressed.	City	
18f	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
18g	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a housing crosscutting program where crosscutting issues were addressed.	Housing Crosscutting Program	
18h	Identify the City where the crosscutting issue was addressed.	City	
18i	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
18j	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a housing crosscutting program where crosscutting issues were addressed.	Housing Crosscutting Program	
18k	Identify the City where the crosscutting issue was addressed.	City	
18l	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
18m	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a housing crosscutting program where crosscutting issues were addressed.	Housing Crosscutting Program	
18n	Identify the City where the crosscutting issue was addressed.	City	
18o	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
19a	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a non-housing crosscutting program related to issues other than housing.	Non-Housing Crosscutting Program	
19b	Identify the City where the crosscutting issue was addressed.	City	
19c	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
19d	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a non-housing crosscutting program related to issues other than housing.	Non-Housing Crosscutting Program	
19e	Identify the City where the crosscutting issue was addressed.	City	
19f	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
19g	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a non-housing crosscutting program related to issues other than housing.	Non-Housing Crosscutting Program	
19h	Identify the City where the crosscutting issue was addressed.	City	
19i	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
18j	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a non-housing crosscutting program related to issues other than housing.	Non-Housing Crosscutting Program	
19k	Identify the City where the crosscutting issue was addressed.	City	

19l	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
19m	**Policy Priority-Crosscutting Programs.** Use the dropdown to identify a non-housing crosscutting program related to issues other than housing.	Non-Housing Crosscutting Program	
19n	Identify the City where the crosscutting issue was addressed.	City	
19o	Use the dropdown to identify the State where the crosscutting issue was addressed.	State	
20a	**Policy Priority-Crosscutting-Published Guide-Housing Related.** Identify the guide for decision-making and/or policy implementation.	Guide	
20b	Identify the City where the guide was used to affect decision-making and/or policy implementation.	City	
20c	Use the dropdown to identify the State where the guide was used to affect decision-making and/or policy implementation.	State	
21a	**Policy Priority-Crosscutting-Published Guide-Not Housing Related.** Identify the guide for decision-making and/or policy implementation.	Guide	
21b	Identify the City where the guide was used to affect decision-making and/or policy implementation.	City	
21c	Use the dropdown to identify the State where the guide was used to affect decision-making and/or policy implementation.	State	

Explanation of Any Deviations From the Approved eLogic Model®

UNIVERSITY OF CALIFORNIA, BERKELEY

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO   SANTA BARBARA * SANTA CRUZ

DEPARTMENT OF GEOGRAPHY
507 McCone Hall # 4740
BERKELEY, CALIFORNIA 94720-4740
(510) 642-3903
FAX (510) 642-3370

August 16, 2010

Sherone Ivey, Deputy Assistant Secretary
Office of University Partnerships

RE: HUD Doctoral Dissertation Research Grant Program

I am writing to certify that the Department of Geography will provide [redacted] in institutional support of [redacted] dissertation project if she receives funding from your program. The [redacted] will be a research stipend issued directly to Ms. Guimond to assist her in covering research expenses for this project.

Sincerely,

Kurt M. Cuffey

Kurt M. Cuffey
Chair

Internal Revenue Service

Date: June 22, 2005

Department of the Treasury
P. O. Box 2508
Cincinnati, OH 45201

Person to Contact:
Ms. Julius 3108345
Customer Service Representative
Toll Free Telephone Number:
8:30 a.m. to 5:30 p.m. ET
877-829-5500
Fax Number:
513-263-3756
Federal Identification Number:
94-6002123

REGENTS OF THE UNIVERSITY OF
CALIFORNIA AT BERKELEY
% BUSINESS SERVICES-PAYROLL
171 UNIVERSITY HALL
BERKELEY CA 94720-1104 994

Dear Sir or Madam:

This is in response to your request of June 22, 2005, regarding your organization's tax-exempt status.

In September 1939 we issued a determination letter that recognized your organization as exempt from federal income tax. Our records indicate that your organization is currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records indicate that your organization is also classified as a public charity under sections 509(a)(1) and 170(b)(1)(A)(ii) of the Internal Revenue Code.

Our records indicate that contributions to your organization are deductible under section 170 of the Code, and that you are qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Internal Revenue Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,

Janna K. Skufca

Janna K. Skufca, Director, TE/GE
Customer Account Services

Acknowledgment of Application Receipt

U.S. Department of Housing and Urban Development

OMB Approval No. 2577-0259 expires 2/29/2012

Type or clearly print the Applicant's name and full address in the space below.

[redacted]

(fold line)

Type or clearly print the following information:

Name of the Federal Program to which the applicant is applying: HUD DDRG Program CFDA 14.516

To Be Completed by HUD

☐ HUD received your application by the deadline and will consider it for funding. In accordance with Section 103 of the Department of Housing and Urban Development Reform Act of 1989, no information will be released by HUD regarding the relative standing of any applicant until funding announcements are made. However, you may be contacted by HUD after initial screening to permit you to correct certain application deficiencies.

☐ HUD did not receive your application by the deadline; therefore, your application will not receive further consideration. Your application is:

- ☐ Enclosed
- ☐ Being sent under separate cover

Processor's Name ______________________________

Date of Receipt ______________